

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rosen, Gerald E.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address Room 730 U.S. Courthouse 231 West Lafayette Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Focus:Hope, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3. Trustee	Trust No. 1
4. Trustee	Trust No. 2
5. Professor	Wayne State University, Detroit, Michigan
6. Board of Directors	The Theodore Levin Memorial Foundation
7. Board of Directors	Detroit Symphony Orchestra

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	The Rutter Group - Publication Agreement dated November 1995, amended October 2012. Royalties to be shared in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.
3. 2005	The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication.

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4. 2007	The Michigan Baseball Ventures, LLC - See Part VIII. Additional Information (Dissolved in 2014)
5. 2013	The Rutter Group - Publication Update Agreement dated March 2013. Royalty to be paid based on projected sales of the Update.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Thomson Reuters (West Group) - Book Royalties	\$39,031.00
2. 2014	Thomson Reuters (West Group) - Speaking Fees	\$1,750.00
3. 2014	Thomson Reuters (West Group) - Editing Fees	\$500.00
4. 2014	Wayne State University - Teaching	\$22,437.00
5.		
6.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Association	01/17/14 - 01/19/14	Phoenix, AZ	Conference Attendee	Meals, Lodging, Transportation
2. Boca Grande Men's Club	03/10/14 - 03/12/14	Sasparilla Island, FL	Seminar Speaker	Meals, Lodging, Transportation
3. UCLA Law School	03/13/14 - 03/14/14	Los Angeles, CA	Seminar Speaker	Meals, Lodging, Transportation
4. Federal Bar Association	05/05/14 - 05/06/14	New York, NY	Seminar Speaker	Meals, Lodging, Transportation
5. The Rutter Group	06/16/14 - 06/18/14	San Francisco, CA & Seattle, WA	Panelist	Meals, Lodging, Transportation

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6.	IP Law Institute	07/17/14 - 07/18/14	Mackinac Island, MI	Seminar Speaker	Meals and Transportation
7.	Federal Bar Association	09/04/14 - 09/05/14	Providence, RI	Seminar Speaker	Meals, Lodging, Transportation
8.	Ford Foundation	11/17/14 - 11/18/14	Seattle, WA	Conference Attendee	Meals, Lodging, Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank Accounts	A	Interest	L	T					
2. IRA No. 1	A	Int./Div.	M	T					
3. -Federated Government Obligations Fund Money Market									
4. -Amerco					Sold	07/31/14	J	A	
5. -American Express Co					Sold	07/31/14	J	A	
6. -Apple Inc					Sold (part)	01/30/14	J		
7.					Buy (add'l)	05/08/14	J		
8.					Sold (part)	05/15/14	J		
9.					Buy (add'l)	07/08/14	J		
10.					Sold	07/31/14	J	B	
11. -Boeing Co					Sold	07/31/14	J	A	
12. -Bristol-Myers Squibb (Y)									
13. -Caterpillar Inc					Sold	07/31/14	J	A	
14. -Church & Dwight Co					Sold	07/31/14	J	A	
15. -ConAgra Foods Inc					Sold	02/03/14	J		
16. -Costco Wholesale Corp					Sold	07/31/14	J	A	
17. -CVS Health Corp					Sold	04/28/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Diageo PLC ADR					Sold	07/17/14	J	A	
19. -Ecolab Inc					Sold	07/31/14	J	A	
20. -Exxon Mobil Corp					Sold	07/17/14	J	A	
21.					Buy	10/16/14	J		
22.					Buy (add'l)	10/29/14	J		
23.					Sold	10/31/14	J	A	
24. -Ford Motor Company					Sold	07/31/14	J	A	
25. -Freeport-McMoRan					Sold	07/31/14	J	A	
26. -General Electric Co					Sold (part)	01/24/14	J		
27.					Sold	07/31/14	J	A	
28. -Google Inc Class A					Buy (add'l)	01/30/14	J		
29.					Sold	05/15/14	J	A	
30. -Hess Corp					Buy (add'l)	06/20/14	K		
31.					Sold (part)	06/20/14	K	A	
32.					Sold	07/17/14	J	A	
33. -Home Depot Inc.					Sold	07/31/14	J	A	
34. -Jarden Corp					Sold	07/31/14	J	A	

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35.					Buy	08/21/14	L		
36.					Sold	08/21/14	L	A	
37. -Lockheed Martin Corp					Sold	07/31/14	J	A	
38. -Microsoft Corp (Y)									
39. -Monsanto Co					Sold (part)	05/15/14	J	A	
40.					Sold	07/31/14	J	A	
41. -Mosaic Co					Sold	01/17/14	J	A	
42. -Occidental Petroleum					Sold	07/17/14	J	A	
43. -Perrigo Co PLC					Sold	07/17/14	J	A	
44. -Prudential Financial Inc					Sold	07/31/14	J	A	
45. -Sherwin Williams Co					Sold	01/24/14	J	A	
46. -Starbucks Corp					Sold	01/21/14	J	A	
47. -Union Pacific Corp					Sold	07/31/14	J	A	
48. -Visa Inc Cl A					Sold (part)	02/05/14	J	A	
49.					Buy (add'l)	04/22/14	J		
50.					Sold	07/31/14	J	A	
51. -Vodafone PLC ADR (Y)									

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52. -Walt Disney Co					Sold	07/31/14	J	A	
53. -Activis PLC					Buy	06/30/14	J		
54.					Sold	07/31/14	J		
55. -Alibaba Grp Holgs SP ADR					Buy	11/03/14	J		
56.					Sold	11/10/14	J	A	
57. -CBS Corp CL B (X)					Sold	07/31/14	J		
58. -Chicago Bridge & Iron					Buy	10/27/14	J		
59.					Sold	10/28/14	J	A	
60. -Chubb Corp					Buy	02/25/14	J		
61.					Sold	07/31/14	J	A	
62. -Curtiss-Wright Corp					Buy	02/26/14	L		
63.					Sold	02/26/14	L	A	
64. -Devon Energy Corp					Buy	05/07/14	L		
65.					Sold	05/07/14	L	A	
66. -DJIA ETF Tr					Buy	01/14/14	L		
67.					Sold	01/14/14	L	A	
68.					Buy	02/11/14	L		

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69.					Sold	02/11/14	L	A	
70. -El Pollo Loc Hldgs					Buy	07/31/14	L		
71.					Sold	07/31/14	L	A	
72. -Gilead Sciences Inc					Buy	09/05/14	L		
73.					Sold	09/05/14	L	B	
74. -IBM Corp					Buy	01/22/14	J		
75.					Sold	05/28/14	J	A	
76. -Illumina Inc.					Buy	02/10/14	K		
77.					Sold	02/10/14	K	A	
78. -Medtronic Inc					Buy	05/30/14	J		
79.					Sold	07/31/14	J	A	
80. -Palo Alto Networks Inc					Buy	08/14/14	J		
81.					Sold	09/03/14	J	A	
82. -Plug Power Inc.					Buy	01/07/14	K		
83.					Sold	01/07/14	K	B	
84.					Buy	01/08/14	L		
85.					Sold	01/08/14	L	B	

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86. -ProShares UltraPro S&P 500					Buy	05/12/14	J		
87.					Sold	05/13/14	J	A	
88. -Schlumberger Ltd.					Buy	04/22/14	J		
89.					Sold (part)	07/18/14	J	A	
90.					Sold	07/31/14	J	A	
91. -SPDR S&P 500 ETF Tr					Buy	01/15/14	L		
92.					Sold	01/15/14	L	A	
93.					Buy	06/05/14	L		
94.					Sold	06/05/14	L	A	
95.					Buy	11/21/14	K		
96.					Sold	12/03/14	K	A	
97. -Suncor Energy Inc.					Buy	06/16/14	J		
98.					Buy (add'l)	06/17/14	J		
99.					Sold	07/31/14	J		
100. -Tesoro Corp					Buy	10/22/14	J		
101.					Sold	10/31/14	J	A	
102. -Thermo Fisher Scientific					Buy	01/17/14	J		

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103.					Sold	07/31/14	J	A	
104. -WhiteWave Foods Cl A (X)					Sold	07/31/14	J	A	
105. -Zimmer Holdings Inc.					Buy	04/03/14	L		
106.					Sold	04/03/14	L	A	
107. -Google Inc Cl C					Spinoff (from line 28)	04/02/14	J		
108.					Sold	07/31/14	J	A	
109. IRA No. 2	D	Int./Div.	N	T					
110. -Federated Government Obligations Fund Money Market									
111. -Abbot Laboratories					Sold	05/16/14	J	A	
112. -Amerco					Buy (add'l)	05/08/14	J		
113.					Sold	10/01/14	J	A	
114. -American Water Works					Sold (part)	02/05/14	J		
115.					Sold	10/01/14	J	A	
116. -Apple Inc					Sold (part)	02/05/14	J	A	
117.					Buy (add'l)	04/09/14	J		
118.					Sold	10/01/14	J	B	
119. -Boeing Co					Sold (part)	02/05/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rosen, Gerald E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	03/18/14	J	A	
121.					Sold	10/01/14	J	A	
122. -Bristol-Myers Squibb					Sold	10/01/14	J	A	
123. -Caterpillar Inc					Sold (part)	07/17/14	J	A	
124.					Sold	10/01/14	J	A	
125. -CBS Corp Cl B					Sold	09/22/14	J		
126. -Cerner Corp					Sold	02/05/14	J	A	
127. -Church & Dwight Co					Sold (part)	02/05/14	J		
128.					Sold (part)	05/15/14	J	A	
129.					Sold	10/01/14	J	A	
130. -ConAgra Foods Inc					Sold	02/03/14	J		
131. -Costco Wholesale Corp					Sold	10/01/14	J	A	
132. -Deere & Co Bond (6.95% Due 4-25-14)					Matured	04/25/14	K		
133. -Diageo PLC ADR					Sold	07/17/14	J	A	
134. -EcoLab Inc					Sold (part)	05/15/14	J	A	
135.					Sold	10/01/14	J	A	
136. -Exxon Mobil Corp					Sold (part)	05/15/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/06/14	J		
138.					Sold (part)	07/17/14	J	A	
139.					Buy (add'l)	10/16/14	J		
140.					Buy (add'l)	10/29/14	J		
141.					Sold	10/31/14	J	A	
142. -Ford Motor Company					Sold	10/01/14	J	A	
143. -Freeport-McMoran					Sold (part)	04/09/14	J		
144.					Sold	08/25/14	J	A	
145. -General Electric Co					Sold (part)	02/05/14	J		
146.					Sold	07/31/14	J	A	
147. -Google Inc Cl A					Sold	04/30/14	J	A	
148. -Hess Corp					Sold	02/05/14	J	A	
149.					Buy	03/10/14	J		
150.					Buy (add'l)	06/20/14	L		
151.					Sold (part)	06/20/14	J	A	
152.					Sold	07/31/14	J	A	
153. -Home Depot Inc					Sold	07/31/14	J	A	

1. Income Gain Codes:	A - \$1,000 or less	B - \$1,001 - \$2,500	C - \$2,501 - \$5,000	D - \$5,001 - \$15,000	E - \$15,001 - \$50,000
(See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H1 - \$1,000,001 - \$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001 - \$50,000	L - \$50,001 - \$100,000	M - \$100,001 - \$250,000	
(See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P1 - \$1,000,001 - \$5,000,000	P2 - \$5,000,001 - \$25,000,000	
	P3 - \$25,000,001 - \$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -IBM Corp					Sold (part)	07/17/14	J	A	
155.					Sold	07/31/14	J	A	
156. -Jarden Corp					Sold (part)	02/05/14	J	A	
157.					Sold	10/01/14	J	A	
158. -Lockheed Martin Corp					Sold	10/01/14	J	B	
159. -McDonalds Corp Bond (Callable) (.0750% Due 05-29-15)					Sold	07/02/14	K		
160. -Microsoft Corp					Sold	03/13/14	J	A	
161. -Microsoft Corp Bond (2.950% Due 06-01-14)					Matured	06/01/14	J		
162. -Monsanto Co					Sold (part)	02/05/14	J		
163.					Sold	10/01/14	J	A	
164. -Mosaic Co					Sold	01/17/14	J	A	
165. -Motorola Solutions					Sold	02/05/14	J		
166. -Occidental Petroleum					Sold	07/31/14	J	A	
167. -Pepsi Americas Inc Bond (Callable) (4.375% Due 02-15-14)					Sold	01/30/14	J		
168. -Perrigo Co PLC					Sold	07/17/14	J	A	
169. -Philip Morris Intl Inc Bond (6.875% Due 03-17-14)					Matured	03/17/14	J		
170. -Prudential Financial Inc.					Sold (part)	02/05/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	10/01/14	J	A	
172. -Sherwin Williams Co					Sold (part)	07/17/14	J	A	
173.					Sold	07/31/14	J	A	
174. -Sirius SM Holdings Inc					Sold	02/05/14	J		
175. -Starbucks Corp					Sold	01/21/14	J	A	
176. -Union Pacific Corp					Sold (part)	07/17/14	J	A	
177.					Sold	07/31/14	J	A	
178. -Visa Inc Cl A					Sold (part)	09/03/14	J	A	
179.					Sold	10/01/14	J	A	
180. -Vodafone PLC ADR					Sold (part)	06/09/14	J		
181.					Sold	07/02/14	J		
182. -Walt Disney Co					Sold	10/01/14	J	B	
183. -Actavis PLC					Buy	06/30/14	J		
184.					Sold	10/01/14	J	A	
185. -Alibaba Grp Hldgs SP Adr					Buy	11/03/14	J		
186.					Sold	11/10/14	J	B	
187. -Anheuser-Busch In Bev Adr					Buy	09/03/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	10/01/14	J		
189. -ARM Hldgs PLC ADR (X)					Sold	10/01/14	J		
190. -Bitauto Hldgs Ltd ADR					Buy	07/14/14	L		
191.					Sold	07/14/14	L	B	
192. -Chicago Bridge & Iron					Buy	10/27/14	J		
193.					Sold	10/28/14	J	A	
194. -Chubb Corp					Buy	02/25/14	J		
195.					Sold	09/29/14	J	A	
196. -DJIA ETF Tr					Buy	01/14/14	K		
197.					Sold	01/14/14	K	A	
198. -FedEx Corp					Buy	03/07/14	J		
199.					Sold	03/07/14	J	A	
200. -Gilead Sciences Inc.					Buy	01/22/14	J		
201.					Sold	10/01/14	J	A	
202. -Gold Trust					Buy	10/07/14	K		
203.					Sold	10/15/14	K	A	
204. -Goodyear Tire & Rubber					Buy	04/24/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. -Google Inc C1 C					Spinoff (from line 147)	04/02/14	J		
206.					Buy (add'l)	07/16/14	J		
207.					Sold	10/01/14	J	A	
208. -GoPro Inc C1 A					Buy	06/30/14	J		
209.					Sold	07/31/14	J	A	
210. -Hain Celestial Group					Buy	08/29/14	J		
211.					Sold	10/01/14	J	A	
212. -Johnson & Johnson					Buy	10/24/14	J		
213.					Sold	10/31/14	J	A	
214. -Medtronic Inc					Buy	01/17/14	J		
215.					Buy (add'l)	05/30/14	J		
216.					Sold	07/31/14	J	A	
217. -Netflix Inc					Buy	03/19/14	J		
218.					Sold	04/25/14	J		
219. -Plug Power Inc					Buy	01/08/14	K		
220.					Sold	01/08/14	K	A	
221. -Proshares UltraPro S&P 500					Buy	05/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold	05/13/14	J	A	
223. -Schlumberger Ltd					Buy	03/19/14	J		
224.					Sold	07/31/14	J	A	
225. -Sierra Wireless Inc					Buy	08/12/14	J		
226.					Sold	08/18/14	J	A	
227. -Spdr S&P 500 ETF Tr					Buy	01/15/14	K		
228.					Sold	01/15/14	K	A	
229.					Buy	06/05/14	M		
230.					Sold	06/05/14	M	A	
231.					Buy	10/23/14	L		
232.					Sold	10/28/14	L	A	
233.					Buy	11/21/14	K		
234.					Sold	12/03/14	K	A	
235. -Suncor Energy Ing					Buy	06/16/14	J		
236.					Sold (part)	07/17/14	J		
237.					Sold	10/01/14	J		
238. -Tesoro Corp					Buy	10/22/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Sold	10/31/14	J	A	
240. -Thermo Fisher Scientific					Buy	01/17/14	J		
241.					Sold	10/01/14	J	A	
242. -Tonix Pharmaceuticals					Buy	08/12/14	J		
243.					Sold	08/15/14	J	A	
244. -Verizon Communications					Buy	02/24/14	J		
245.					Sold	03/05/14	J	A	
246. -White Wave Foods C1 A					Buy	02/14/14	J		
247.					Buy (add'l)	07/14/14	J		
248.					Sold	07/31/14	J	A	
249. -Whiting Petro Corp					Buy	08/22/14	J		
250.					Sold	10/01/14	J		
251. -Zimmer Hldgs Inc					Buy	04/03/14	J		
252.					Sold	04/03/14	J	A	
253. Roth IRA No. 1	A	Int./Div.	J	T					
254. -Federated Government Obligations Fund Money Market									
255. Roth IRA No. 2	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. -Federated Government Obligations Fund Money Market									
257. Brokerage Account No. 1(H)									
258. -Federated Government Obligations Fund Money Market (Y)									
259. -Ford Interest Advantage Money Market	A	Interest	J	T					
260. Michigan Baseball Ventures, LLC Partners		None			Distributed	12/30/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute earned income.

2. Part I. Positions

- a.) Line 3 - Trust No. 1 has no reportable assets.
- b.) Line 4 - Trust No. 2 has no reportable assets.

3. Part III. Agreements

- a.) Line 4 - To own interests in various minor league baseball franchises; net profits/losses are allocated with accordance with members' shares. Michigan Baseball Ventures, LLC liquidated its assets and was dissolved in 2014.

4. Part VII. Investments and Trusts

- a.) Line 12 - Bristol-Myers Squibb was disposed of in 2 transactions, each below the transaction reporting threshold.
- b.) Line 17 - CVS Caremark Corp changed their corporate name to CVS Health Corp
- c.) Line 38 - Microsoft Corp was disposed of in 2 transactions, each below the transaction reporting threshold.
- d.) Line 51 - Vodafone Grp PLC ADR was disposed of in 3 transactions, each below the transaction reporting threshold.
- e.) Line 204 - Goodyear Tire & Rubber was disposed of in 2 transactions, each below the transaction reporting threshold.
- f.) Line 253 - Roth IRA #1 - Investment strategy was to diversify causing there to be no reportable underlying assets aside from the money market account. Each additional underlying asset is below the value, income and transaction thresholds.
- g.) Line 255 - Roth IRA #2 - Investment strategy was to diversify causing there to be no reportable underlying assets aside from the money market account. Each additional underlying asset is below the value, income and transaction thresholds.
- h.) Line 260 - Michigan Baseball Ventures, LLC was dissolved in 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald E. Rosen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544