AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FISHER, RAYMOND C.	U.S. COURT OF APPEALS - NINTH CIRCUIT	04/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 **to** 12/31/2012

7. Chambers or Office Address

U.S. COURT OF APPEALS - 9th CIRCUIT
125 S. GRAND AVE. #400
PASADENA, CA 91105

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Constitutional Rights Foundation (nonprofit), Los Angeles, CA
2.	Chair, Board of Directors	Western Justice Center (nonprofit), Pasadena, CA
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	Jan-Dec 12	CA State Teachers Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UC Davis	April 7-8, 2012	UC Davis Law School	Moot Court	Lodging, Meals, Transportation
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. FIA Card Svcs NA RASP	A	Dividend	L	T					
2. M.LYNCH READY ASSETS TRUST	A	Int./Div.	K	T					
3. RFC STRIPS 0% 4/15/12		None			Redeemed	04/15/12	K	A	
4. RFC STRIPS 0% 10/15/13		None	K	T					
5. RFC STRIPS 0% 10/15/15		None	K	T					
6. US TREAS STRIPS 0% 8/15/12		None			Redeemed	08/15/12	L	A	
7. US TREAS STRIPS 0% 5/15/13		None	K	T					
8. US TREAS STRIPS 0% 8/15/13		None	K	T					
9. US TREAS STRIPS 0% 2/15/14		None	K	T					
10. US TREAS STRIPS 0% 11/15/14		None	K	T					
11. FINANCING CORP STRIPS 0% 5/30/13		None	K	T					
12. FINANCING CORP STRIPS 0% 5/30/14		None	K	T					
13. FHLMC NOTE 5.125% 7/15/12	A	Interest			Redeemed	07/15/12	J	A	
14. CD FIRST BK, PUERTO RICO 1.8% 10/1/2012	A	Interest			Redeemed	10/01/12	L		
15. CD FIRST BK, PUERTO RICO 2.0% 4/1/13	A	Interest	K	T					
16. CD WEBSTER BK, CT 3/31/14	A	Interest	L	T					
17. CD CITIBANK NA,NV 2.55% 3/24/15	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/15/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. ALGER SPECTRA FUND		None	L	T					
19. BLACKROCK GLOBAL ALLOC CL A	A	Dividend	K	T					
20. CALAMOS INTERNATL	A	Dividend	K	T					
21. COLUMBIA DIV INCOME	B	Dividend	L	T					
22. DELAWARE LTD TERM DIVERS. FD CL I	A	Dividend			Sold	12/12/12	L	A	
23. DREYFUS APPREC FD	A	Dividend	L	T					
24. FRANKLIN STRATEGIC INC FD	A	Dividend	L	T	Buy	03/07/12	L		
25. HARTFORD FLOATING RATE FD	A	Dividend			Sold	03/06/12	J	A	
26. HIGHMARK GENEVA MID CAP		None	K	T	Sold (part)	03/28/12	J	A	
27.		None			Buy (add'l)	12/12/12	K		
28. IVA WORLDWIDE FD		None	K	T	Buy	12/12/12	K		
29. JANUS GLOBAL RSCH		None			Sold (part)	03/28/12	J	A	
30.		None			Sold	12/12/12	K	A	
31. J.HANCOCK DISCIPLINED MID CAP		None			Sold (part)	03/28/12	J	A	
32.		None			Sold	12/12/12	J	A	
33. J.HANCOCK STRATEGIC INCM	A	Dividend	L	T					
34. LEGG MASON BW GLOBAL	A	Dividend			Sold	12/12/12	K	A	

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. LOOMIS SAYLES STRTGC INC FD		None			Sold	03/09/12	K	A	
36. LORD ABBET INT'L	B	Dividend	K	T	Sold (part)	03/28/12	J	A	
37. LORD ABBETT SHORT TERM	C	Dividend	L	T	Buy (add'l)	03/28/12	K		
38.		None			Sold (part)	12/12/12	J	A	
39. METROPOLITAN WEST TOT.RET.BOND	A	Dividend			Buy (add'l)	03/28/12	K		
40.		None			Sold	12/12/12	L	A	
41. OPPENHEIMER INTL GROWTH		None			Sold (part)	03/28/12	J	A	
42.		None			Sold	12/12/12	K	A	
43. PIONEER FUNDAMENTAL GRWTH	A	Dividend	L	T					
44. PRINCIPAL MID CAP BLEND		None	K	T	Sold (part)	03/28/12	J	A	
45.		None			Buy (add'l)	12/12/12	J		
46. PRUDENTIAL SHORT TERM CORP BOND	C	Dividend	L	T	Buy (add'l)	03/28/12	K		
47.		None			Sold (part)	12/12/12	J	A	
48. PRUDENTIAL TOTAL RETURN	C	Dividend	L	T	Buy (add'l)	08/30/12	K		
49.		None			Sold (part)	12/12/12	J	A	
50. PUTNAM EQUITY INCOME		None			Sold	12/12/12	L	A	
51. RS GLOBAL NATURAL RESRCS		None			Sold	12/12/12	J	A	

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52. SUN AMERICA FOCUSED DIV	B	Dividend	L	T					
53. TEMPLETON GLOBAL FD	C	Dividend	L	T	Buy	03/07/12	K		
54.		None			Buy (add'l)	12/12/12	L		
55. THORNBURG INVESTMENT INCM	C	Dividend	K	T	Sold (part)	12/12/12	K	A	
56. THORNBURG STRATEGIC INCM	A	Dividend			Sold	12/13/12	L	A	
57. UAM FPA CRESCENT	A	Dividend	L	T	Buy	12/12/12	L		
58. VIRTUS MULTISECTOR S-T BOND FD	A	Dividend	L	T	Buy	12/12/12	L		
59. WELLS FARGO ADV GROWTH		None			Sold	12/12/12	L	A	
60. WESTERN ASSET CORE		None	L	T	Buy	12/12/12	L		
61. C.SCHWAB MUNI FUND	A	Interest	J	T					
62. PERKINS MID CAP VALUE FD		None			Sold	04/30/12	J	A	
63. NATIONWIDE AMERICA'S VISION ANNUITY (FID.VIP EQUITY)	B	Distribution	L	T					
64. JACKSON NAT'L LIFE (ANNUITIES)	C	Distribution	M	T					
65. VENWEST DEVELOPMENT LP1- LTD PTR		None			Closed	10/27/12			

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Line 68: I voluntarily withdrew from VenWest Development limited partnership, incurring a loss. Thus the Value is zero and the Gain is negative, which Column D does not appear to address.

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/15/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RAYMOND C. FISHER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544