

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 08/05/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Distinguished Adjunct Professor of Law	George Mason University of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Pillsbury, Winthrop, Shaw, Pittman
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. George Mason	12/02/12	Coral Gables, Florida	Board Meeting	transportation, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(K) - Fidelity Adv. Growth	A	Dividend			Sold	02/06/12	K	A	
2. Money Market at Smith Barney	A	Interest	J	T					
3. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
4. 401(K) - Pimco Real	B	Dividend	J	T					
5. 401(K) - Pimco High Income	A	Dividend	J	T					
6. 401(K) - Dur. Inc. Fund - Eaton Vance	B	Dividend	K	T					
7. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
8. Pillsbury Retirement Savings Plan	A	Dividend	N	T					
9. ML & Co. Str. ACC - Am Cap.	A	Dividend	K	T					
10. Blackrock Gov't & Cap.	A	Dividend	L	T					
11. Citibank - Savings	C	Interest	K	T					
12. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
13. 401(K) - Short T. Bond - American	A	Dividend			Sold	02/2/12	K	B	
14. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
15. 401(K) Fidelity - Floating	A	Dividend	J	T					
16. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T					
17. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund - NATX- IRA - Gateway Fund C	A	Dividend	L	T					
19. Mutual Fund - First Eagle - IRA	A	Dividend	L	T					
20. Mutual Fund - Calamos Conv - IRA	A	Dividend	K	T					
21. Mutual Fund - Ivy Asset - IRA	A	Dividend	L	T					
22. Mutual Fund - Am Century Bond - IRA	A	Dividend	K	T					
23. Mutual Fund - Eaton Emerge - IRA	A	Dividend			Sold	05/16/12	K	A	
24. Mutual Fund - JP Tax Free - JT	A	Dividend	K	T					
25. Mutual Fund - Franklin TOT. - A	A	Dividend	K	T					
26. Mutual Fund - Putnam	A	Dividend	K	T					
27. Mutual Fund - Sun Am Senior	A	Dividend	K	T					
28. Mutual Fund - Eaton Emerge	A	Dividend	K	T					
29. Mutual Fund - Temp. Global	A	Dividend	K	T					
30. Mutual Fund - Eaton Tax Adv	A	Dividend	J	T					
31. 401(K) Mutual Fund - ClearBridge	A	Dividend	K	T					
32. 401(K) - Tortoise MLP	A	Dividend	K	T					
33. 401(K) - Bank of Am.	A	Dividend	J	T					
34. 401(K) - Pimco Bond C.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. 401(K) - Dreyfus Int'l Bond	A	Dividend	L	T					
36. 401(K) - Goldman St. Inc.	A	Dividend	K	T					
37. M- Dreyfus Bond Fund	A	Dividend	L	T					
38. M - I Shares Silver	A	Dividend	K	T					
39. 401(K) - JH Rainer	A	Dividend	J	T					
40. 401(K) - Blackrock Cap.	A	Dividend	K	T					
41. 401(K) - Gabelli Gold Gamco	A	Dividend	J	T					
42. 401(K) - Am Global Bal.	A	Dividend	K	T					
43. 401(K) - Goldman Equity	A	Dividend	J	T					
44. Jt - JP Morgan Int. Tax	A	Dividend	J	T					
45. Jt - SPDR Gold	A	Dividend	K	T					
46. Jt - I Shares Silver	A	Dividend	K	T					
47. Jt - Barclays PLC	A	Dividend	K	T					
48. Jt - Eaton Str. Inc.	A	Dividend	K	T					
49. Jt - 1st Eagle Global	A	Dividend	L	T					
50. Jt - Pimco Uncon. Bond	A	Dividend	K	T					
51. Jt - Am Infl. Bond	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Hartford HBLCX - IRA	A	Dividend	K	T	Buy	06/27/12	K		
53. Ivy High Inc. - IRA	A	Dividend	K	T	Buy	05/08/12	K		
54. Jt. IShares Mun.	A	Dividend	K	T	Buy	11/09/12	K		
55. 401(K) - Am. High Inc.	A	Dividend	K	T	Buy	02/06/12	K		
56. 401(K) - Gold Am. Eagle		None	K	T	Buy	12/03/12	K		
57. 401(K) - Silver Am. Eagle		None	K	T	Buy	12/03/12	K		
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69.									
70.									
71.									
72.									
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77.									
78.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544