

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 8/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 320 Richland, WA 99352		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer/Director	Corporation
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA	Consumer Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance	B	Dividend	K	T					
2. Northwestern Mutual Life Insurance	B	Dividend	L	T					
3. Northwestern Mutual Life Insurance	B	Dividend	L	T					
4. Prudential Life Insurance	A	Dividend	K	T					
5. South Boston Savings Bank Life Ins	A	Dividend	J	T					
6. GESA Credit Union	A	Interest	J	T					
7. Coins		None	J	T					
8. Fishcreek Ltd		None	K	T					
9. Art		None	K	W					
10.									
11. Vanguard Health Care Fund, Admin Personal Acct.	B	Dividend	J	T	Sold (part)	06/03/13	L	E	
12.									
13. E/ SCHWAB RETIREMENT IRA									
14. F5 Networks (FFIV)		None			Buy (add'l)	02/04/13	J		
15.					Buy (add'l)	03/01/13	J		
16.					Buy (add'l)	03/21/13	J		
17.					Buy (add'l)	04/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	06/08/13	J		
19.					Buy (add'l)	07/12/13	J		
20.					Sold	09/19/13	L		
21. --BAC (IRA)		None	L	T	Buy	01/07/13	K		
22.					Buy (add'l)	02/04/13	J		
23.					Buy (add'l)	03/01/13	J		
24.					Buy (add'l)	04/02/13	J		
25.					Buy (add'l)	05/21/13	J		
26.					Buy (add'l)	11/14/13	J		
27. --Driehaus Emerging Mkts		None	L	T					
28. --Elan*		None			Sold	04/26/13	K	A	
29. --Globix Corp		None	J	T					
30. Linn Engy		None	K	T	Buy	12/23/13	K		
31. --Microsoft		None			Sold	07/19/13	L	D	
32. --PASDX (IRA)		None			Buy (add'l)	05/07/13	K		
33.					Sold (part)	06/20/13	K		
34.					Sold	07/05/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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Shea, Edward F.

Date of Report

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. --PAUDX(IRA)		None			Sold	05/06/13	K	B	
36. PRANA		None	J	T	Buy	03/06/13	J		
37.					Buy (add'l)	07/03/13	J		
38.					Buy (add'l)	07/05/13	J		
39.					Buy (add'l)	07/25/13	J		
40.					Buy (add'l)	08/07/13	J		
41.					Buy (add'l)	08/29/13	J		
42.					Sold	08/30/13	K	D	
43.					Buy	10/15/13	J		
44.					Buy (add'l)	12/12/13	J		
45. Prothena(Spin off from Elan)		None	K	T	Buy (add'l)	06/18/13	J		
46.					Buy (add'l)	07/12/13	J		
47.					Buy (add'l)	08/15/13	J		
48.					Sold	09/19/13	K	D	
49.					Buy	12/12/13	K		
50. Prudential (PRU)		None	J	T	Buy (add'l)	01/07/13	J		
51.					Buy (add'l)	02/04/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52.					Buy (add'l)	03/01/13	J		
53.					Buy (add'l)	05/21/13	J		
54.					Sold (part)	09/19/13	K	D	
55. --US Bank		None	K	T	Buy (add'l)	11/14/13	J		
56. --Vanguard Health Care Fund (IRA)		None	K	T	Sold (part)	06/03/13	K	E	
57. --Verizon		None			Sold	09/19/13	L	E	
58.									
59.									
60. ■/RETIREMENT ACCOUNT									
61. Am. Euro PAC		None	J	T	Sold (part)	06/19/13	J		
62. --Diversified Investment Advisors (DIV) - Plan Advisors									
63. --Allainz NFJ Dividend Value A Fund		None	K	T	Buy (add'l)	07/25/13	J		
64.					Sold (part)	09/19/13	K		
65.					Buy (add'l)	11/15/13	J		
66. Columbia Small Cap		None	J	T	Buy	11/15/13	J		
67. --Goldman Sacks Mid Cap Value Fund		None	K	T	Buy (add'l)	04/02/13	J		
68.					Buy (add'l)	07/25/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

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69.					Sold (part)	09/19/13	K		
70. --Mainstay Lg Cap Growth Fund		None	K	T	Buy (add'l)	01/23/13	J		
71.					Sold (part)	09/19/13	K		
72.					Buy (add'l)	11/15/13	J		
73. --Pimco Tot.Ret. Fund		None	M	T	Sold (part)	02/04/13	K		
74.					Sold (part)	07/26/13	K		
75.					Sold (part)	08/14/13	K		
76.					Buy (add'l)	11/15/13	K		
77. --TFLIC		None	L	T	Sold (part)	01/23/13	K		
78.					Sold (part)	07/25/13	K		
79.					Sold (part)	08/14/13	L		
80.					Buy (add'l)	09/19/13	L		
81. --Thornburg Int'l Value Fund		None	J	T	Buy (add'l)	01/23/13	J		
82.					Buy (add'l)	02/04/13	K		
83.					Buy (add'l)	08/14/13	K		
84. --Vanguard Inst'l Index		None	J	T					
85.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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Date of Report

8/01/2014

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86.									
87. M/IRA - SCHWAB									
88. --Calumet Sp. Prod		None	J	T	Buy	07/25/13	J		
89. --PIMCO Tot Ret		None			Sold	07/23/13	J		
90. --PIMCO All Asset All Authority (PAUDX)		None			Sold	07/22/13	J		
91. --Powershares ETF		None	K	T	Buy	02/19/13	J		
92.					Sold (part)	07/22/13	J		
93.					Buy (add'l)	07/25/13	J		
94. Schwab Money Mkt		None	J	T					
95.									
96. SCHWAB JT ACCOUNT									
97. Columet Sp. Prod		None			Buy	07/05/13	K		
98.					Sold	08/07/13	K		
99. ELAN		None			Sold (part)	04/25/13	J		
100.					Sold	05/03/13	J		
101. --Pimco All Asset (PASDX)	A	Dividend			Buy (add'l)	05/07/13	K		
102.					Sold (part)	06/11/13	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 13

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103.					Sold	07/05/13	K	A	
104. PRANA		None	K	T	Buy	03/06/13	J		
105.					Buy (add'l)	06/18/13	J		
106.					Buy (add'l)	07/05/13	J		
107.					Buy (add'l)	07/16/13	J		
108.					Buy (add'l)	07/25/13	J		
109.					Buy (add'l)	08/07/13	J		
110.					Sold (part)	08/28/13	K	D	
111.					Buy (add'l)	12/12/13	J		
112. Prothema***		None			Buy (add'l)	02/04/13	J		
113.					Buy (add'l)	06/18/13	J		
114.					Buy (add'l)	08/15/13	J		
115.					Buy (add'l)	08/29/13	J		
116.					Sold	09/19/13	K		
117.									
118. --Schwab Money Market Fund	A	Interest	K	T					
119. -- Schwab Cash Account		Interest		T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 13

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120. --Schwab Invest Money Fund (Pers) SWAXX	A	Int./Div.	J	T					
121.									
122.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Part VII, Investments and Trusts - Line 28 ELAN stock - sold all sometime in late spring.

**Part VII, Investments and Trusts - Line 45 Prothena -was purchased December of 2012 and inadvertently omitted from the 2012 report.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Shea, Edward F.

Date of Report

8/01/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward F. Shea**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544