

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Loren A.	2. Court or Organization U.S. Court of Federal Claims, Washington D.C.	3. Date of Report 03/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Federal Claims Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, NW Washington D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Community Advisory Council/Board of Trustees	WETA Public TV & Radio
2. Distinguished Adjunct Professor	Antonin Scalia Law School at George Mason University
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Antonin Scalia Law School, George Mason University	\$11,309.98
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Intellectual Property Law Association of Chicago	November 18, 2016	Chicago, IL	122nd Annual IPLAC Judges' Dinner	Airfare and Hotel Room
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Xerox	C	Dividend	J	T					
2. Chevron Stock	C	Dividend	J	T					
3. BB&T IRA - Cash	D	Interest	J	T					
4. Bank of America Merrill Lynch Fidelity Advisor Financial Svs.	A	Interest	J	T					
5. Bank of America Merrill Lynch CYTEC Inds.	A	Dividend	J	T					
6. Bank of America Merrill Lynch Dow Chemical	A	Dividend	J	T					
7. Bank of America Merrill Lynch Fidelity Advisory Equity Value	B	Dividend	J	T					
8. Bank of America Merrill Lynch Equity Growth	A	Dividend	J	T					
9. Bank of America Merrill Lynch Northrop Grumman Corp. Holding	A	Dividend	J	T					
10. Bank of America Merrill Lynch Praxair	A	Dividend	K	T					
11. Bank of America Merrill Lynch Southern Co.	A	Dividend	J	T					
12. Fidelity A.E. Fidelity Technology	A	Dividend	J	T					
13. Fidelity A.E. Hartford Mid Cap Class A	A	Dividend	K	T					
14. Fidelity A.E. Columbia High Income	B	Interest	K	T					
15. Fidelity A.E. Columbia Cash Reserves	A	Interest	J	T					
16. WF Advantage Money Market Daily Class	A	Interest	K	T					
17. PNC IRA - Cash	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bank of America IRA - Cash	A	Interest	J	T					
19. Sun National Bank IRA - Cash	A	Interest	J	T					
20. Wachovia High Portfolio Money Market	A	Interest	K	T					
21. TIAA CREF Deferred Annuity	A	Dividend	K	T					
22. Conduent	A	Dividend	J	T					
23. Columbia Dividend Inc. Fund	A	Dividend	K	T					
24. Goldman Sachs TR Income Builder	B	Dividend	L	T					
25. Loomis Sayles Strategic Income Fund	B	Dividend	K	T					
26. Goldman Sachs Growth & Income Fund	A	Dividend	K	T					
27. Univ. N. Mexico Bonds	A	Interest	K	T					
28. Piedmont Municipal Power MFC Flexshare TR 1Boxx 3 Year Target	A	Interest	J	T					
29. Duration Tip Index	A	Interest	K	T					
30. Flexshares TR Morningstar Global Commodities Fund	A	Dividend	L	T					
31. MFB Northern Funds Active M US Equity (NMUSX)	A	Dividend	K	T					
32. MFB Northern Funds STK Index FD (NOSIX)	C	Dividend	M	T					
33. MFB Northern Funds Multi Manager Global Listed Infrastructure Fund	A	Dividend	K	T					
34. MFB Northern Funds Small Cap Core Fund (NSGRX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MFB Northern Active M International Equity Fund (NMIEX)	B	Dividend	L	T					
36. MFB Northern FDS Active M Emerging Markets Equity Fund (NMMEX)	A	Dividend	K	T					
37. MFB Northern High Yield Fixed Income Fund (NHFIX)	C	Interest	L	T					
38. University N Mex UNIV REVS REF-SER A	A	Interest	K	T					
39. Piedmont Mun Pwr Agy S C Elec Rev	A	Interest	J	T					
40. MFB Northern Funds Inter Tax Exempt FD (NOITX)	C	Interest	J	T					
41. MFC Flexshares TR IBOXX 3 YR Target Duration TIPS Index FD (TDTT)	A	Dividend	K	T					
42. MFB Northern FDS Multi Manager Global Real EState FD (NMMGX)	B	Dividend	K	T					
43. MFC Flexshares TR Morningstar Global Upstream Nat Res Index FD (GUNR)	A	Dividend	L	T					
44.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2015 I inherited 50 percent of my mother's estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Loren A. Smith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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