

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barnes, Timothy A.	2. Court or Organization US Bankruptcy Court, Northern District of Illinois	3. Date of Report 12/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) 5 Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 S. Dearborn St. Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	IIT Chicago Kent School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011-2017	CMP Retirement Plan (retirement plan with former law firm -- no control)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	IIT Chicago Kent School of Law - teaching salary	\$1,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Trinet HR Corporation (PEO of Egan Tax & Books Ltd) - accountant salary
2. 2017	CCB XIV LLC - member/self-employed accountant
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. American Bankruptcy Institute	01/24/2017 - 01/29/2017	Amsterdam, Netherlands	Education seminar	Travel, lodging and food
3. International Insolvency Institute	05/05/2017-05/0/201	Copenhagen, Denmark	Educational seminar	Travel, lodging and food
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit card	J
2.	Citibank	Credit card	J
3.	JP Morgan Chase Co.	Credit card	K
4.	Wells Fargo Bank	Credit Card	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Life Strategy Growth	A	Int./Div.	J	T					
2. JP Morgan Chase Co. IRA #1 (H)									
3. -- JPM Investor Growth & Income FD	A	Int./Div.	J	T					
4. -- JPM Tax Free Resv Sweep (Y)									
5. JP Morgan Chase Co. IRA #2 (H)	A	Int./Div.	J	T					
6. -- JPM Investor Growth & Income FD (Y)									
7. -- JPM Tax Free Resv Sweep (Y)									
8. JP Morgan Chase Co. Roth IRA (H)									
9. -- JPM Investor Growth & Income FD - SEL	A	Int./Div.	J	T					
10. -- JPM Tax Free Resv Sweep FD (Y)									
11. CMP HR-10 Retirement Plan (Sentinel Benefits) (H)									
12. -- Artisan International	A	Int./Div.			Sold	04/10/17	K		
13. -- Hartford International Opportunities	A	Int./Div.	K	T	Buy	04/10/17	K		
14. -- American Beacon Lg Cap Value	A	Int./Div.	L	T					
15. -- T. Rowe Price Equity Inc.	A	Int./Div.							
16. -- Fidelity Government Money Market	A	Int./Div.							
17. -- Prudential Jennison Natural Resources Z	A	Int./Div.			Sold	11/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- BlackRock Equity Index Fund CI R	A	Int./Div.	J	T	Buy	11/21/17	J		
19. -- Morley Stable Value 50	A	Int./Div.			Sold	04/10/17	L		
20. -- More Stable Value 25	A	Int./Div.	L	T	Buy	04/10/17	L		
21. -- Fidelity Worldwide	A	Int./Div.	J						
22. CMP 401k (Sentinel Benefits) (H)									
23. -- Artisan International	A	Int./Div.	K	T					
24. -- Fidelity Blue Chip	A	Int./Div.	J	T					
25. -- American Beacon Lg Cap Value Inv	A	Int./Div.			Sold	04/10/17	L		
26. -- American Beacon Large Cap Value	A	Int./Div.	L	T	Buy	04/10/17	L		
27. -- Prudential Jennison Natural Resources Z	A	Int./Div.	J	T					
28. -- Morley Stable Value 50	A	Int./Div.	K	T					
29. BlackRock College Advantage 529 Plan (OH) #1 (H)									
30. -- Blackrock Equity Dividend Fund	A	Int./Div.	J	T					
31. -- BlackRock Base Value Fund, Inc.	A	Int./Div.	J	T					
32. -- BlackRock Large Cap Growth Fund	A	Int./Div.	J	T					
33. -- BlackRock Capital Appreciation Fund, Inc.	A	Int./Div.	J	T					
34. -- BlackRock U.S. Opportunities Portfolio	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- BlackRock Value Cap Growth Equity Portfolio	A	Int./Div.	J	T					
36. -- BlackRock International Opportunities Fund	A	Int./Div.	J	T					
37. -- BlackRock Global Allocation Fund, Inc.	A	Int./Div.	J	T					
38. -- BlackRock Total Return Fund	A	Int./Div.	J	T					
39. -- BlackRock Bond Portfolio	A	Int./Div.	J	T					
40. -- BlackRock Long Duration Bond Portfolio	A	Int./Div.	J	T					
41. -- BlackRock Low Duration Bond Portfolio	A	Int./Div.	J	T					
42. -- BlackRock Money Market Portfolio	A	Int./Div.	J	T					
43. BlackRock College Advantage 529 Plan (OH) #2 (H)									
44. -- BlackRock Equity Divident Fund	A	Int./Div.	J	T					
45. -- BlackRock Base Value Fund, Inc.	A	Int./Div.	J	T					
46. -- BlackRock Large Cap Growth Fund	A	Int./Div.	J	T					
47. -- BlackRock Capital Appreciation Fund, Inc.	A	Int./Div.	J	T					
48. -- BlackRock U.S. Opportunities Portfolio	A	Int./Div.	J	T					
49. -- BlackRock Value Cap Growth Equity Portfolio	A	Int./Div.	J	T					
50. -- BlackRock International Opportunities Fund	A	Int./Div.	J	T					
51. -- BlackRock Global Allocation Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- BlackRock Total Return Fund	A	Int./Div.	J	T					
53. -- BlackRock Bond Portfolio	A	Int./Div.	J	T					
54. -- BlackRock Long Duration Bond Portfolio	A	Int./Div.	J	T					
55. -- BlackRock Low Duration Bond Portfolio	A	Int./Div.	J	T					
56. -- BlackRock Money Market Portfolio	A	Int./Div.	J	T					
57. JP Morgan checking account	A	Interest	J	T					
58. Wells Fargo checking account	A	Interest	J	T					
59. Wells Fargo savings account	A	Interest	J	T					
60. Wells Fargo checking account	A	Interest	J	T					
61. Wells Fargo savings account	A	Interest	J	T					
62. Standing Stone National Bank	A	Interest	J	T					
63. TransAmerica 401K 2040 Fund (H)									
64. -- Schwab US Large-Cap ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
65. -- Vanguard FTSE Developed Markets ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
66. -- iShares Core US Aggregate Bond ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
67. -- Schwab US Small Cap ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
68. -- Vanguard FTSE Emerging Markets ETF	A	Int./Div.	J	T	Buy	01/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -- Schwab US REIT ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
70. -- Vanguard Total International Bond ETF	A	Int./Div.	J	T	Buy	01/01/17	J		
71.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Barnes, Timothy A.

Date of Report

12/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Timothy A. Barnes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544