

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES, JR., THOMAS R.	2. Court or Organization U.S. DISTRICT CT - E.D. VA	3. Date of Report 06/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address ALBERT V. BRYAN U.S. CT HSE 401 COURTHOUSE SQ. ALEXANDRIA, VA 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Executor	Estate #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/28/12	Trustee's Fee, Trust #1	\$6,000.00
2. 12/28/12	Executor's Fee, Estate #1	\$13,349.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	UNIVERSITY OF MARYLAND - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Putnam Asset Allocation Growth Fund	A	Dividend	K	T					
2. Alger Balanced Fund	A	Dividend	J	T					
3. AIM Basic Value Fund	A	Dividend	J	T					
4. MFS Total Return Fund	A	Dividend	J	T					
5. Strong Advantage Fund	A	Dividend	J	T					
6. AIM Cash Reserves Fund	A	Dividend	J	T					
7. Columbia Cash Reserves Fund	A	Dividend	J	T					
8. AIM Global Growth Fund	A	Dividend	J	T					
9. Alliance Growth Fund	A	Dividend	J	T					
10. Davis NY Venture Fund	A	Dividend	J	T					
11. Oppenheimer Global Fund	A	Dividend	J	T					
12. Davis NY Venture Fund	A	Dividend	J	T					
13. TIAA/CREF Ret.Equities Fund	B	Dividend	K	T					
14. Nations Gov't Securities Fund	A	Dividend	J	T					
15. Columbia Cash Reserves Fund	A	Dividend	K	T					
16. Vanguard Federal Money Market Fund	B	Dividend	K	T					
17. Vanguard Growth Equity Fund	A	Dividend			Sold	02/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard International Growth Fund	A	Dividend	J	T					
19. Vanguard International Value Fund	A	Dividend	J	T					
20. Vanguard Pacific Stock Inex Fund	A	Dividend	J	T					
21. Vanguard Growth Equity Fund	A	Dividend	J	T					
22. Vanguard Windsor II Fund	A	Dividend			Sold	02/14/12	K	A	
23. Vanguard 500 Index Fund	A	Dividend			Sold	02/14/12	K	A	
24. Vanguard Health care Fund	A	Dividend			Sold	02/14/12	K	A	
25. Vanguard Short-Term Treasury Adm	A	Dividend	L	T	Buy	02/14/12	L		
26. Fidelity Small Cap independence Fund	A	Dividend	J	T					
27. Fidelity Small Cap Stock Fund	A	Dividend			Sold	02/13/12	K	A	
28. Fidelity Independence Fund	A	Dividend			Sold	02/13/12	J	A	
29. Fidelity Growth Company Fund	A	Dividend	J	T					
30. Fidelity Asset manager 20% Fund	A	Dividend			Sold	02/13/12	J	A	
31. Fidelity Spartan Extended Market Index Fund	A	Dividend			Sold	02/13/12	J	A	
32. Fidelity Spartan 500 Index Fund	A	Dividend			Sold	02/13/12	J	A	
33. Fidelity Select Banking Portfolio Fund	A	Dividend	J	T					
34. Fidelity Cash Reserves Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Berkshire Hathaway class B	B	Dividend	K	T					
36. BB&T Bank	A	Dividend	J	T					
37. Bank of America	A	Dividend	J	T					
38. Citigroup	A	Dividend			Sold	02/13/12	J	A	
39. Cedar Fair LP	A	Dividend	J	T	Sold (part)	04/10/12	J	A	
40. General Electric Company	A	Dividend	J	T					
41. Simon Property Group	A	Dividend	J	T					
42. - Edward Jones Money Market	A	Int./Div.	J	T	Buy (add'l)	01/26/12	N		
43. - Fairfax Cnty VA Wtr Auth Rev 5.0%	A	Interest			Sold	01/26/12	J		
44. - Fairfax Cnty VA Wtr Auth Wtr 5.0%	A	Interest			Sold	01/26/12	J		
45. - Greater Richmond Cnvtn Ctr VA 4.5%	A	Interest			Sold	01/26/12	J		
46. - Met WA DC Arpts Auth Sys Rev 5.0%	A	Interest			Sold	01/26/12	J		
47. - Univ VA Revs Rev Pl Edge 5.0%	A	Interest			Sold	01/27/12	K		
48. - Western VA Regl Jail Auth Rev 4.25%	A	Interest			Sold	01/26/12	J		
49. - MBIA Inc Senior Notes 6.4%	A	Interest			Sold	01/26/12	J		
50. - VK Insured Income Tr Ser 97	A	Int./Div.			Sold	01/26/12	J		
51. - VK Insured Income Tr Ser 112	A	Int./Div.			Sold	01/26/12	K		

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52. - VK Invst Grade Mun Tr Ser 15	A	Int./Div.			Sold	01/26/12	J		
53. - VK Invst Grade Mun Tr Ser 26	A	Int./Div.			Sold	01/26/12	J		
54. - Bank of America Common Stock	A	Dividend			Sold	01/26/12	J		
55. - Chevron Corp Common Stock	A	Dividend			Sold	01/05/12	K		
56. - Dominion Resources Inc Common Stock	A	Dividend			Sold	01/12/12	K		
57. - E I du Pont de Nemours & Co Common Stock	A	Dividend			Sold	01/26/12	J		
58. - Frontier Communications Corp Common Stock	A	Dividend			Sold	01/26/12	J		
59. - General Electric Co Common Stock	A	Dividend			Sold	01/26/12	K		
60. - Johnson & Johnson Common Stock	A	Dividend			Sold	01/26/12	J		
61. - JPMorgan Chase & Co Common Stock	A	Dividend			Sold	01/04/12	J		
62. - Norfolk Southern Corp Common Stock	A	Dividend			Sold	01/17/12	K		
63. - Pepsico Inc Common Stock	A	Dividend			Sold	01/17/12	K		
64. - Pimco Etf Tr 1-5 Yr US Tips Shares	A	Dividend			Sold	01/26/12	L		
65. - Pimco Etf Shares	A	Dividend			Sold	01/26/12	L		
66. - Southern Co Common Stock	A	Dividend			Sold	01/26/12	J		
67. - Suntrust Banks Inc Common Stock	A	Dividend			Sold	01/26/12	J		
68. - Verizon Communications Common Stock	A	Dividend			Sold	01/26/12	J		

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69. - Washington Real Estate Inv't Tr Stock	A	Dividend			Sold	01/26/12	J		
70. BB&T Bank Checking Acc't.	A	Interest			Closed	05/22/12	K		
71. US Savings Bonds	A	Interest			Redeemed	05/24/12	M		
72. Bank of America Estate Acc't.		None	N	T	Open	01/27/12	J		
73.									
74.									
75.									
76.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Assets listed in lines 42 - 69 of Part VII were held as trustee of Trust #1. The bank account in Line 70 and the savings bonds in Line 71 came under my control when the decedent of Estate #1 died on December 20, 2011. The proceeds of both were paid into the estate account listed in Line 72.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS R. JONES, JR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544