

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collier, Lacey A.	2. Court or Organization Northern District of Florida	3. Date of Report 06/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 100 North Palafox Street Pensacola, FL 32502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee and Director	Naval Aviation Museum Foundation
2. Trustee	Snoezelen/Westgate Foundation
3. Member, Board of Directors	Florida State University College of Medicine, West Florida, Inc.
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LMVTX	A	Distribution			Sold	02/12/16	L	A	
2. NSRGY	A	Dividend	K	T	Buy	02/23/16	J		
3. USB	A	Dividend	J	T	Buy	02/24/16	J		
4. ORI	A	Dividend			Sold	03/04/16	J	A	
5. UNH	A	Dividend			Sold	03/04/16	J	A	
6. 285512AC3	A	Int./Div.	K	T	Buy	03/07/16	K		
7. 268648AP7	A	Int./Div.	J	T	Buy	03/18/16	J		
8. 20826FAB2	A	Int./Div.	J	T	Buy	03/29/16	J		
9. ABC	A	Dividend	K	T	Buy	05/31/16	K		
10. DD	A	Dividend			Sold	06/20/16	J	B	
11. TOTL	A	Dividend	K	T	Buy (add'l)	06/20/16	J		
12. C	A	Dividend			Sold	06/22/16	J	A	
13. NLY	A	Dividend	J	T	Buy	06/22/16	J		
14. AMGN	A	Dividend	K	T	Buy	07/05/16	J		
15. GILD	A	Dividend	J	T	Buy	07/05/16	J		
16. SRCL		None	J	T	Buy	08/04/16	J		
17. ESRX		None	J	T	Buy	08/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$250,001 - \$500,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IBKR	A	Dividend	J	T	Buy	08/12/16	J		
19. PSX	A	Dividend	J	T	Buy	08/29/16	J		
20. PFE	A	Dividend	J	T	Buy	09/09/16	J		
21. DIS	A	Dividend	J	T	Buy	10/17/16	J		
22. 24702RAE1	A	Int./Div.	J	T	Buy	10/31/16	J		
23. QCP		None	J	T	Spinoff (from line 24)	11/01/16	J		
24. HCP	A	Dividend	J	T					
25. 35906AAH1	A	Int./Div.	J	T	Buy	11/01/16	J		
26. 852061AF7	A	Int./Div.	J	T	Buy	11/21/16	J		
27. 451102BB2	A	Int./Div.	J	T	Buy	12/01/16	J		
28. 87264AAF2	A	Int./Div.	J	T	Buy	12/12/16	J		
29. AAPL	A	Dividend	J	T					
30. AIG	A	Dividend	K	T					
31. AXP	A	Dividend	J	T					
32. BK	A	Dividend	K	T					
33. BKLN	A	Dividend	J	T					
34. BRKB		None	K	T					

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35. BX	A	Distribution	J	T					
36. GM	A	Dividend	K	T					
37. JNJ	A	Dividend	J	T					
38. PM	B	Dividend	K	T					
39. WFC	A	Dividend	K	T					
40. WMT	A	Dividend	K	T					
41. Schwab Money Market Fund	A	Interest	J	T					
42. BAC	A	Dividend	J	T					
43. DD	A	Dividend			Sold	06/20/16	J	B	
44. SLB	A	Dividend	J	T					
45. PIMCO Income Bond Mutual Fund	A	Int./Div.	J	T					
46. SPDR Barclays Convertible Bond Fund	A	Int./Div.	K	T					
47. SPDR Doubleline Total Return Fund	A	Int./Div.	K	T	Buy (add'l)	06/20/16	J		
48. MU		None	J	T					
49. NFCU Share Savings Account	A	Interest	J	T					
50. SunTrust Bank Accounts	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lacey A. Collier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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