

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERNSTEIN, STUART M.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court One Bowling Green New York, New York 10004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director	Alumni Association
2.	Trustee	Trust # 1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	-- Law firm compensation and pension following retirement on 9/30/2015
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. American Bankruptcy Institute	108/2015	Georgetown Law School, Washington, D.C.	Participation in seminar: "Views From the Bench"	Dinner & Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K Plan									
2. -- Vanguard Prime Money Market Instl (401k)	A	Dividend	N	T					
3. -- T. Rowe Price Personal Strat Balanced (401k)	D	Dividend	N	T					
4. Partners Defined Contribution Plan									
5. -- Vanguard Prime Money Market Instl	A	Dividend			Distributed	10/09/15	L		
6. -- T. Rowe Price Personal Strat Balanced	B	Dividend			Distributed	10/09/15	L		
7. JP Morgan Chase Bank Accounts	A	Interest	M	T					
8. Citibank Accounts	A	Interest	N	T					
9. Citibank Account	A	Interest	M	T					
10. Citibank Account	A	Interest	K	T					
11. Keogh (Target) Plan--Plan I	E	Int./Div.	O	T	Distributed (part)	12/01/15	J		
12. -- Vanguard Prime Money Market Instl	A	Dividend				10/09/15	L		
13. -- T. Row Price Personal Strat Balanced	B	Dividend				10/09/15	L		
14. Phoenix Life Insurance (Whole Life)	B	Interest	K	T					
15. Defined Benefit Trust--Qualified Plan II		None			Distributed (part)	10/01/15	K		
16. Non-Deductible Contribution Plan III (Capital "C" Account)		None	N	T	Distributed (part)	10/01/15	L		
17. Capital "A" Account	E	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Schwab US Treasury Money Fund (2588)		None	P1	T					
19. PIMCO Foreign Bond (Hedged)-D (2588)	E	Dividend	N	T	Sold (part)	05/14/15	M		
20.					Buy (add'l)	12/02/15	M		
21. Metropolitan West Total Return Bond Fund (2588)	D	Dividend	N	T	Sold (part)	06/04/15	M	D	
22.					Buy (add'l)	10/15/15	M		
23. American Funds Capital Income Builder (2588)	C	Dividend			Sold	09/02/15	M		
24. Ivy Municipal High Income Fund (2588)	D	Dividend	O	T	Sold (part)	05/21/15	M	D	
25.					Buy (add'l)	10/05/15	M		
26. Invesco Comstock Fund (2588)	B	Dividend			Sold	08/25/15	M	D	
27. T. Rowe Price Capital Appreciation (2588)	E	Dividend	N	T					
28. FPA Crescent (2588)	D	Dividend	M	T	Sold (part)	08/13/15	M	D	
29. Rydex Consumer Products (2588)		None			Sold (part)	08/24/15	M	E	
30.					Sold	09/04/15	M	C	
31. American Century Equity Income Fund (2588)	E	Dividend	M	T	Sold (part)	07/24/15	M		
32. Fidelity Contrafund Fund (2588)	B	Dividend			Sold	08/25/15	M		
33. Am. Funds EuroPacific Growth (2588)		None			Buy	05/15/15	M		
34.					Sold	08/21/15	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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35. MFS Int'l Value Class A (2588)		None			Buy	04/14/15	M		
36.					Sold	09/01/15	M		
37. Voya Corporate Leaders 100 Fund Class A (2588)	D	Dividend	N	T	Buy	11/10/15	M		
38.					Buy (add'l)	11/11/15	M		
39. AQR Managed Funds Strategy Fund CL N (2588)		None	M	T	Buy	12/22/15	M		
40. T. Rowe Price Capital Appreciation (5289)	E	Dividend	M	T	Sold (part)	10/01/15	M	C	
41. Rydex Healthcare (5289)		None			Sold (part)	04/15/15	K	D	
42.					Sold (part)	08/21/15	M	E	
43.					Sold (part)	09/02/15	M	E	
44.					Sold	09/28/15	M	A	
45. Rydex Retailing (5289)		None			Sold (part)	08/24/15	M	B	
46.					Sold	10/15/15	M	C	
47. PowerShares FTSE RAFI US 1000 Portfolio (5289)	B	Dividend			Sold (part)	08/20/15	M		
48.					Sold	09/01/15	M		
49. SPDR Sector-Industrials (5289)	B	Dividend			Sold (part)	04/29/15	M	C	
50.					Sold	07/15/15	M		
51. Vanguard Div Appreciation (5289)	B	Dividend			Sold	09/04/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. iShares Cohen & Steers Realty (5289)	B	Dividend			Sold (part)	04/30/15	M	E	
53.					Sold	06/05/15	M	D	
54. Loomis Sayles Bond (5289)	A	Dividend			Sold	03/11/15	M		
55. Schwab Government Money Fund (5289)		None	O	T					
56. Rydex Consumer Products (5289)	C	Dividend	N	T	Buy (add'l)	10/05/15	M		
57.					Buy (add'l)	10/20/15	M		
58.					Buy (add'l)	12/30/15	M		
59. Fidelity Puritan (5289)	A	Dividend	M	T	Buy	10/13/15	M		
60.					Buy (add'l)	10/30/15	M		
61. SPDR Sector-Technology (5289)	B	Dividend	M	T	Buy	11/03/15	M		
62.					Buy (add'l)	11/09/15	M		
63. SPDR Trust S&P 500 Index (5289)		None	N	T	Buy	11/16/15	M		
64.					Buy (add'l)	11/24/15	M		
65.					Buy (add'l)	12/04/15	M		
66. Access Flex Bear HY Inv (5289)		None			Buy	02/25/15	M		
67.					Sold	10/05/15	M		
68. BlackRock High Yield Bond (5289)	C	Dividend			Buy	02/25/15	M		

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69.					Sold	12/11/15	M		
70. Henderson Int'l Opportunities Fund (5289)		None			Buy	04/15/15	M		
71.					Sold	08/24/15	M		
72. ProFunds Consumer Services Ultra Sector (5289)		None			Buy	02/26/15	M		
73.					Sold	08/24/15	M		
74. Rydex Technology (5289)		None			Buy	05/04/15	M		
75.					Sold	08/21/15	M		
76. Rydex Inverse High Yield Strategy (5289)		None			Buy	08/25/15	M		
77.					Sold	10/07/15	M		
78. iShares DJ US Energy (5289)		None			Buy	04/29/15	M		
79.					Sold	06/18/15	M		
80. American Century Equity Income Fund (IRA-1410)	C	Dividend	K	T	Sold (part)	07/24/15	J		
81.					Buy (add'l)	10/29/15	J		
82. Schwab U.S. Treasury Money Funds (IRA-1410)		None	L	T					
83. Metropolitan West Total Return Bond Fund (IRA-1410)	A	Dividend	K	T	Sold (part)	06/05/15	J	A	
84.					Buy (add'l)	10/15/15	J		
85. PIMCO Foreign Bond (Hedged)-D (IRA-1410)	B	Dividend	K	T	Buy (add'l)	01/22/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	12/01/15	J		
87.					Sold (part)	05/06/15	J		
88.					Sold (part)	06/04/15	J		
89. American Funds Capital Income Builder (IRA-1410)	A	Dividend			Sold (part)	07/24/15	K	A	
90.					Sold	09/04/15	J		
91. Invesco Comstock Fund (IRA-1410)	A	Dividend			Sold	08/21/15	J	B	
92. T. Rowe Price Capital Appreciation (IRA-1410)	B	Dividend	J	T	Sold (part)	01/29/15	J	A	
93.					Sold (part)	08/27/15	K	B	
94. FPA Crescent (IRA-1410)		None			Sold	04/08/15	K	B	
95. Rydex Consumer Products (IRA-1410)	A	Dividend	K	T	Sold (part)	08/24/15	K	B	
96.					Buy (add'l)	11/11/15	J		
97. American Funds Growth Fund of America (IRA-1410)		None			Sold	08/24/15	J		
98. DoubleLine Total Return Bond (IRA-1410)	B	Dividend	K	T	Buy (add'l)	10/05/15	J		
99. James Balanced Golden Rainbow Fund (IRA-1410)	A	Dividend	J	T	Buy	10/21/15	J		
100. Longboard Managed Futures Strategy Fund (IRA-1410)		None			Buy	12/17/15	J		
101. BlackRock Global Allocation (IRA-1410)	A	Dividend			Buy	04/08/15	J		
102.					Sold	09/28/15	J		

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103. MFS Int'l Value Class A (IRA-1410)		None			Buy	04/23/15	J		
104.					Sold	09/24/15	J		
105. T. Rowe Price Capital Appreciation (IRA-5055)	C	Dividend	K	T	Buy (add'l)	11/25/15	J		
106.					Sold (part)	10/01/15	K	A	
107. Cohen & Steers Realty Shares (IRA-5055)	A	Dividend			Sold (part)	04/30/15	K	C	
108.					Sold	06/05/15	J	A	
109. ICON Industrials Fund Class S (IRA-5055)		None			Sold	05/29/15	J		
110. ProFunds Industrial Ultra Sector (IRA-5055)		None			Sold	04/29/15	K	A	
111. Rydex Healthcare (IRA-5055)		None			Sold (part)	04/15/15	J	B	
112.					Sold (part)	08/21/15	K	C	
113.					Sold (part)	09/02/15	J	C	
114.					Sold	09/28/15	J	A	
115. Rydex Retailing (IRA-5055)		None			Sold (part)	08/24/15	K	A	
116.					Sold	10/15/15	J	A	
117. Guggenheim S&P 500 Equal Weight ETF (IRA-5055)	A	Dividend			Sold (part)	08/20/15	J	A	
118.					Sold	09/01/15	J		
119. Schwab U.S. Bond Market ETF (IRA-5055)	A	Dividend			Sold	09/04/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

BERNSTEIN, STUART M.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Loomis Sayles Bond (IRA-5055)	A	Dividend			Sold	03/11/15	K		
121. Schwab Government Money Fund (IRA-5055)		None	M	T					
122. Fidelity Puritan (IRA-5055)	A	Dividend	K	T	Buy	10/12/15	J		
123.					Buy (add'l)	10/28/15	J		
124. Rydex S&P 500 Pure Growth (IRA-5055)		None	J	T	Buy	12/01/15	J		
125. Rydex Consumer Products (IRA-5055)	A	Dividend	K	T	Buy	10/08/15	J		
126.					Buy (add'l)	10/19/15	J		
127.					Buy (add'l)	12/29/15	J		
128. Guggenheim S&P 500 Equal Wgt Technology (IRA-5055)	A	Dividend	K	T	Buy	10/29/15	J		
129.					Buy (add'l)	11/04/15	J		
130. Schwab U.S. Large-Cap ETF (IRA-5055)	A	Dividend	J	T	Buy	11/11/15	J		
131. BlackRock High Yield Bond (IRA-5055)	A	Dividend			Buy	02/24/15	K		
132.					Sold	12/11/15	J		
133. Henderson Int'l Opportunities Fund (IRA-5055)		None			Buy	04/14/15	K		
134.					Sold	08/24/15	J		
135. ProFunds Consumer Services Ultra Sector (IRA-5055)		None			Buy	03/25/15	K		
136.					Sold	08/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

BERNSTEIN, STUART M.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Rydex Energy Fund (IRA-5055)		None			Buy	04/29/15	K		
138.					Sold	06/18/15	J		
139. Rydex Inverse High Yield Strategy H (IRA-5055)		None			Buy	07/27/15	K		
140.					Buy (add'l)	08/24/15	J		
141.					Sold (part)	10/05/15	J		
142.					Sold	10/07/15	J		
143. Rydex Technology (IRA-5055)		None			Buy	05/13/15	K		
144.					Sold	08/21/15	J		
145. Trust # 1	A	Interest	K	T					
146. - JP MorganChase Bank Account									
147. -John Hancock Life Insurance Policy									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

BERNSTEIN, STUART M.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

BERNSTEIN, STUART M.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
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1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.									
189.									
190.									
191.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

BERNSTEIN, STUART M.

Date of Report

05/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All information and explanations relate to Part VII:

Lines 1-3: These entries pertain to my spouse's 401K Plan. The information was set forth in lines 1, 146-51 of my 2014 amended report, dated Sept. 1, 2015 ("Amended Report"). This report rearranges the information so that it appears in consecutive lines. Contributions were made during 2015 to the 401K Plan and were used to purchase additional interests of the existing mutual funds as indicated in lines 2 and 3.

Lines 4-6: These entries pertain to my spouse's Partners Defined Contribution Plan. The information was set forth in lines 2, 153-59 of the Amended Report. This report rearranges the information so that it appears in consecutive lines. No contributions were made in 2015. Upon my spouse's retirement, her interests in this plan were rolled over into the Keogh (Target) Plan - Plan I (see lines 11-13).

Lines 11-13: These entries pertain to my spouse's interest in the firm's Keogh (Target Plan) - Plan I. She made contributions in 2015 but there is no specific account in her name. The value and income reflected on Part VII represent her allocable share of the value and income of the Keogh account. There are also sale transactions in the Keogh account that generate gains and/or losses. These gains and/or losses are reflected in the value of her allocable portion. Upon my spouse's retirement, and as noted immediately above, the funds in the Partners Defined Contribution Plan were rolled over into this plan, and the rollover is reflected as additions to the plan in lines 12-13. Because no available code corresponded to this rollover, column D(1) is left blank. Furthermore, the investments in this plan are not self-directed by my spouse, and future entries will not identify the securities that the plan holds. After her retirement, my wife began to receive monthly distributions from this plan. She received her one and only 2015 distribution on December 1, 2015.

Line 15: This entry relates to a Defined Benefit Trust-Qualified Plan II. Upon vesting, there will be a right to an annuity, but the present value of annuity fluctuates annually based on the my and my spouse's ages and the interest rate and mortality assumptions prescribed by the IRS. My spouse did not make a contribution during 2015, but her firm made a contribution on her behalf. In addition, upon her retirement, my spouse began to receive monthly distributions from this plan. She received three monthly distributions beginning on or about October 1, 2015, and the value listed in column D(3) represents the aggregate amount of the monthly distributions.

Line 16: My spouse did not make a contribution to the the Capital "C" account in 2015, but her firm made a contribution on her behalf. In addition, upon her retirement, my spouse began to receive monthly distributions from this plan. She received three monthly distributions beginning on or about October 1, 2015, and the value listed in column D(3) represents the aggregate amount of the monthly distributions.

Line 17: No contributions were made to the Capital "A" account in 2015.

Lines 18-144: I maintain two IRA brokerage accounts and my spouse and I maintain two brokerage accounts held as tenants in common with Charles Schwab. The report identifies the security that is the subject of the reported transaction with a parenthetical reference that identifies the last four digits of the account number and whether the account is an IRA account. Where multiple purchases or sales were made during the year, the aggregate amount of the income, if any, and the value of the asset (if held at the end of the reporting period) are reported on the first line on which the asset is listed.

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Name of Person Reporting

BERNSTEIN, STUART M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **STUART M. BERNSTEIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544