

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pearson, Ronald G.	2. Court or Organization WVSB	3. Date of Report 06/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Robert C Byrd Courthouse 300 Virginia St. Room 6408 Charleston, WV 25301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Chairman, Board of Trustees	National Youth Science Foundation Inc. (A 501 C(3) nonprofit education foundation. Charleston, WV)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Various	National Youth Science Foundation, Charleston, WV, program travel	\$1,140.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	West Virginia Higher Education Policy Comm and
2. 2012	Amarak Consulting LLC, Charleston, WV; self employed financial consultant
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges, P O Box 5111, Charlotte, NC 28275-5111	3/19-3/22	Miami, FL	Comm Meeting	Lodging, meals and travel expense
2. National Youth Science Foundation, P o Box 3387, Char, WV	7/19-7/21	Washington, D C	Science Ed Activity	Lodging, meals and travel exp
3. West Virginia State Bar CLE thru WV University, College of Law	12/28 & 29	Morgantow, WV	Speaker for Bankruptcy CLE	Lodging, meals and travel expense

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Pearson, Ronald G.	06/25/2013

4.	National Conference Of Bankruptcy Judges, P O Box 5111, Charlotte, NC 28275-5111	10/22-10/26	San Diego, Ca	Comm Meetings and National Conference	Lodging, meals and travel expenses
5.	National Youyh Science Foundation, P O Box 3387, Charleston, WV 25333	various times	Charleston, WV	Committee meetings	meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank USA	Credit card purchases for Court buys	J
2. Discover	Credit card purchases for daughter in med school	None
3. U S Airways Master Card	Transfer	J
4. Chase Bank	Mortgage on Fairmont rental property	J
5. American Education Services, Harrisburg, PA (purchased Grate Lakes Education loan)	Consolidated Parent Plus Education loan	L
6. Education Services, Utica NY	Consolidated Parent Plus Education Loan	K
7. American Express Co	Credit card purchases	None
8. Barkley Bank	mortgage for Fairmont property	K
9. BBandT	Line of credit	None
10. Chase Bank Visa	Quicken credit card purchases	None

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. 13 acres woodland, Kanawha Cty, W V		None	K	W					
2. (S) 13 acers woodland, Kanawha Cty, W V		None	K	W					
3. 1/6 int Cheat Mountain Club Inc (FN1 in Sec VII)Randolph, WV	D	Rent	M	W					
4. Highland Bankshares stock	A	Int./Div.	J	T					
5. Orbital Sciences Corp stock		None	J	T					
6. Fidelity Cash Reserves, Fidelity Investments	B	Int./Div.	K	T					
7. 71 acres oil and gas Roane Cty, WV		None	J	W					
8. Charles Schwabe MMF(IRA)	A	Int./Div.	J	T					
9. 1/2 interest in 2 bedroom Kiawah Is, S C condo	E	Rent	N	W					
10. Northwestern Mutual Life Ins	B	Distribution	K	T					
11. 1/2 interest in land in Marion Cty, WV		None	L	W					
12. 1/3 interest in Minerals, Marion County, WV	A	Rent	J	W					
13. One bedroom rental house, Fairmont, WV	C	Rent	K	W					
14. Two bedroom house w 2 lots in Fairmont, WV	C	Rent	K	W					
15. Hartford 529 College Plan for Education, Etable Value Fund	D	Distribution	J	U	Redeemed (part)	09/19/12	J	A	
16. Vanguard Emerging Markets Fnd	C	Distribution	K	T					
17. Vanguard Pacific Stk Fnd	A	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard REIT Index Fnd	B	Distribution	J	T					
19. Vanguard Mid Cap Index Fnd	A	Distribution	J	T					
20. Vanguard small cap value Fnd	A	Distribution	J	T					
21. 1/3 int in 12 acs on Cheat Bridge Mt top, Pochantas, WV FN3		None	M	W					
22. Vanguard Mid Cap Index Fnd	A	Distribution	J	T					
23. 2000 shs Hemisperix Bioparma Inc common		None	J	T					
24. Fid Sel Energy Services Fnd		None			Sold	04/30/12	K	B	
25. 200 shs Bolt Technology Corp		None			Sold	01/30/12	J	A	
26. FundX Agressive upgrader Fnd	A	Distribution	J	T					
27. Fid Sel Nat Gas Fnd	A	Distribution	J	T					
28. Fid Sel Electronics Fnd	A	Distribution	J	T					
29. 100 shs Orbital Science Corp		None	J	T					
30. Perkins Sml Cap Value fnd	A	Distribution	J	T					
31. Fid Sel Comm Equip fnd	A	Distribution			Sold	12/21/12	K	B	
32. Fid Sel Electronics fnd	A	Distribution	J	T					
33. 200 shs Oneok stock		None			Sold	01/30/12	K	H1	
34. Bidu Stock		None			Sold	08/23/12	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Bidu stock		None	K	T					
36. CSKI stock		None			Sold	11/23/12	J		loss
37. ATML stock		None			Sold	06/14/12	J	A	
38. Fid Sel Biotech Fnd	A	Distribution	J	T					
39. Oneok stock		None			Sold	01/30/12	J	B	
40. Fid Mid Cap Stock Fnd	A	Distribution	J	T					
41. Fid Real Estate Invest Fnd	A	Distribution	J	T					
42. Fid Sel Med Equip Systems Fnd	A	Distribution	J	T					
43. Fid Small Cap Opp Fnd	A	Distribution	J	T					
44. Cypress Semi Conductor Stock		None	J	T					
45. Intrepid Small Cap fnd	A	Distribution	J	T					
46. Cosan Ltd Stock		None			Sold	03/16/12	J	A	
47. TE Connectivity Stock		None	J	T					
48. Adtrain Inc Stock		None			Sold	06/19/12	J	A	
49. Occidental Pet Corp Stock	A	Dividend	J	T					
50. Fid Sel Medical Delivery Fnd	A	Distribution			Sold	11/27/12	J	A	
51. Fif Sel Biotech Fnd	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Lot, Hatchery Run, Randolph County, WV		None	L	W					
53. Apple stock	A	Dividend	K	T	Buy	04/03/12	K		
54. Fid Sel Banking Fnd	A	Distribution	J	T	Buy	04/30/12	J		
55. Fid Sel IT Services	A	Distribution	K	T	Buy	04/30/12	K		
56. Fid Sel Retailing Fnd	A	Distribution	J	T	Buy	04/30/12	J		
57. American Tower corp	A	Dividend	J	T	Buy	04/30/12	J		
58. Stratusys stock		None	J	T	Buy	11/28/12	J		
59. 3 D Systems stock		None	K	T	Buy	11/28/12	K		
60. Nuance Communications stock		None	J	T	Buy	01/27/12	J		
61. Cisco Stock	A	Dividend	J	T	Buy	08/23/12	J		
62. The Fresh Market stock	A	Dividend	J	T	Buy	08/23/12	J		
63. Hartford 529 plan	A	Distribution	J	T	Buy	04/19/12	K		
64. Hartford 529 plan	A	Distribution	J	T	Sold (part)	06/12/12	J	A	
65.									
66.									
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FN1 Cheat Mountain Club is a 120 year old hunting and fishing lodge in Randolph County, WV. It is owned by six persons. Rights to use are determined by an annual draft.

There are no reportable assets over which I have control as a result of the positions listed in Part I.

NOTE: The asset listed in line 64 of Part VII has not been listed in previous reports due to my oversight. This lot was a part of the Cheat Mountain Club Inc (see line 3) for many years.

In 1998 it was deeded to [REDACTED] and me in exchange for years of service to this (Cheat Mountain Club Inc) small business and \$10,000 in cash payment.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Pearson, Ronald G.

Date of Report

06/25/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ronald G. Pearson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544