

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SHAPERO, WALTER	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 07/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address THEODORE LEVIN COURTHOUSE 231 W. LAFAYETTE, ROOM 1029 DETROIT MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2. DIRECTOR/TREASURER	SAMUEL & HAROLD SHAPERO FOUNDATION
3.	
4.	
5. CO-TRUSTEE	TESTAMENTARY TRUST (ASSETS PART VII, LINES 30-31)
6. CO-TRUSTEE	TRUST DATED 12/30/50 (ASSETS PART VII, LINES 34-35)
7. CO-TRUSTEE	TRUST DATED 4/30/51 (ASSETS PART VII, LINES 38-39)
8. PARTNER	BROMOCO (INVESTMENT PARTNERSHIP NOMINALLY HOLDING CERTAIN LTD. PTRSHP INTERESTS)
9. TRUSTEE	TRUST (11/6/92) F/B/O
10. TRUSTEE	(ASSETS PART VII, LINES 40-44)
11.	
12. TRUSTEE	(ASSETS PART VII, LINES 49-52)
13.	
14. TRUSTEE	(ASSETS PART VII, LINES 57-60)
15. TRUSTEE	(ASSETS PART VII, LINES 61-63)

FINANCIAL DISCLOSURE REPORT

Page 2 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

16. TRUSTEE

(ASSETS PART VII, LINES 64-66)

17. TRUSTEE/BENEFICIARY

LIVING TRUST DATED 8/26/92;
TRUSTEE (ASSETS PART VII, LINES 14-29)

18.

19.

20. CO-TRUSTEE

TRUST(CRT DATED 6/6/97) (ASSETS PART VII, LINES
67-104 & 110-170 & 237-242 & 257-305 & 326-348)

21.

22.

23. TRUSTEE/BENEFICIARY

LIVING TRUST DATED 7/13/95;
TRUSTEE (ASSETS PART VII, LINES 349-368)**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐ NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 01/01/82

BUTZEL LONG PENSION PLAN (PLAN TRUSTEES ARE MEMERS OF THE BUTZEL LONG LAW FIRM)

2.

3.

FINANCIAL DISCLOSURE REPORT

Page 3 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2012	STATE OF MICHIGAN - EDUCATOR
2. 12/31/2012	TEACHERS INSURANCE & ANNUITY ASSOC - PENSION
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. CITIZENS REPUBLIC BANCORP INC.		None	K	T					
2.		None							
3. BERKSHIRE HATHAWAY B (COM) TAX FREE TRUST		None	K	T					
4. ABERDEEN ISRAEL FUND (FRMLY THE FIRST ISRAEL FUND INC.)	A	Dividend	J	T					
5.		None							
6. MARQUETTE CNTY MI GENL OBLIG. BOND	A	Interest			Redeemed	06/01/12	K		
7. MORGAN STANLEY BANK ACCOUNT	A	Interest	M	T					
8.		None							
9. INVESCO VK EQUITY & INCOME (FRMLY VAN KAMPEN E & I FUND)	A	Dividend	K	T					
10. STATE OF ISRAEL BOND		None	J	T					
11. JP MORGAN CHASE BANK FORMERLY BANK ONE (SAV. & CHECK)	A	Interest	L	T					
12. WESTERN RESERVE PTRSHP. MHP N. MADISON OHIO	C	Rent	K	U					
13. BUTZEL LONG PENSION	C	Distribution	K	T					
14. [REDACTED] LIVING TRUST (8/26/92)(POSITION 17)									
15. LOOMIS SAYLES SMALL CAP VALUE FUND I	B	Dividend	M	T					
16.		None							
17. LOOMIS SAYLES VALUE FUND	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JP MORGAN SHORT DURATION BOND FUND	A	Dividend	L	T					
19. JP MORGAN TAX FREE MONEY MARKET	A	Dividend	M	T	Buy (add'l)	12/21/12	K		
20. FRANKLIN CUSTODIAN FUND (FORMERLY FRANKLIN EQUITY FUND)	A	Dividend	M	T					
21. FRANKLIN STRATEGIC SERIES(FRMLY FRANKLIN SML- CAP GROWTH FD)	A	Dividend	L	T	Buy (add'l)	12/18/12	J		
22. FRANKLIN INSURED TAX-FREE INCOME FUND	E	Dividend	O	T					
23. FRANKLIN MI INSURED TAX FREE	E	Dividend	O	T					
24. MUTUAL SERIES SHARES FUND	B	Dividend	M	T					
25. MUTUAL SER FRANKLIN MUT BEACON FD (FORM MUT SER BEACON FD)	C	Dividend	M	T					
26. PUTNAM INVESTORS FUND	A	Dividend	K	T					
27. JP MORGAN INTER. TAX FREE BOND FUND	B	Dividend	L	T	Buy	01/02/12			
28. PUTNAM VOYAGER FUND	A	Dividend	J	T					
29. TEMPLETON GROWTH FUND	B	Dividend	L	T					
30. ASSETS OF POSITION 5 CO-TRUSTEE									
31. JP MORGAN CHASE BANK CK.ACCT (FORMERLY BANK ONE ACCOUNT)	A	Interest	K	T					
32.		None							
33.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. ASSETS FROM POSITION 6 CO-TRUSTEE									
35. JP MORGAN CHASE BANK (FORMERLY BANK ONE BANK)		None	J	T					
36.		None							
37.		None							
38. ASSETS FROM POSITION 7 CO-TRUSTEE									
39. JP MORGAN CHASE BANK CK ACCT. (FORMERLY BANK ONE ACCOUNT)		None	J	T					
40. ASSETS FROM POSITION 9 TRUSTEE									
41. JP MORGAN US GOVT PREM. SWP	A	Dividend	J	T					
42. FRANKLIN CUSTODIAN FUNDS INC.	C	Dividend	M	T	Sold (part)	09/13/12	J	A	
43. JP MORGAN CHASE BANK CK.ACCT. (FORMERLY BANK ON ACCT)	A	Interest	J	T					
44. FRANKLIN ADJUSTABLE US GOVT. SEC. FUND	B	Dividend	M	T					
45.		None							
46.		None							
47.		None							
48.		None							
49. ASSETS FROM POSITION 9 TRUSTEE									
50. JP MORGAN CHASE BANK CK ACCT (FORMERLY BANK ONE ACCOUNT)		None			Distributed	05/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

51. FRANKLIN INSURED TAX FREE INCOME FUND	C	Interest	L	T					
52. FRANKLIN MI INSURED TAX FREE FUND	C	Interest	L	T					
53.		None							
54.		None							
55.		None							
56.		None							
57. ASSETS FROM POSITION 9 TRUSTEE									
58. JP MORGAN CHASE BANK CK ACCT (FORMERLY BANK ONE ACCOUNT)		None			Distributed	05/23/12	J		
59. FRANKLIN INSURED TAX FREE INCOME FUND	C	Interest	L	T					
60. FRANKLIN MI INSURED TAX FREE FUND	C	Interest	L	T					
61. ASSETS FROM POSITION 9 TRUSTEE									
62. FRANKLIN INSURED TAX FREE INCOME FUND	C	Interest	L	T	Sold (part)	05/04/12	J	A	
63. FRANKLIN MI INSURED TAX FREE FUND	C	Interest	L	T	Sold (part)	05/04/12	J	A	
64. ASSETS FROM POSITION 9 TRUSTEE									
65. FRANKLIN INSURED TAX FREE INCOME FUND	C	Interest	L	T	Sold (part)	05/04/12	J	A	
66. FRANKLIN MI INSURED TAX FREE FUND	C	Interest	L	T	Sold (part)	05/04/12	J	A	
67. ASSETS FROM POSITION 20 CO-TRUSTEE									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 9 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

68.		None							
69. STRYKER CORP (COM)	A	Dividend	K	T					
70. TENET HEALTHCARE CORP (COM)		None	K	T	Buy (add'l)	07/24/12	J		
71.		None							
72. TJX COS INC NEW (COM)	A	Dividend			Sold	08/29/12	K	D	
73. WELLS FARGO & CO NEW (PFD)	B	Dividend	K	T					
74. HEALTH CARE PROP. NOTES DUE 12/15/13	B	Interest	K	T					
75. CMS ENERGY CORP. NOTES DUE 02/23/19	A	Interest	K	T					
76. ALCOA, INC. NOTES DUE 08/15/20	A	Interest	K	T					
77. CONSTELLATION ENERGY GRP. NOTES DUE 12/1/20	B	Interest	K	T					
78. ARCELORMITTAL BONDS DUE 03/1/21	B	Interest	K	T					
79. ENERGY TRANSFER PTNRS BOND DUE 06/01/21	B	Interest	K	T					
80. HEWLETT PACKARD CO BOND DUE 09/15/21	A	Interest	K	T	Buy (add'l)	11/29/12	J		
81. AEGON NV (PFD)	B	Dividend	K	T					
82. KIMCO REALTY CORP (PFD)	B	Dividend	K	T					
83. MERRILL LYNCH CAP TRUST III (PFD)	B	Dividend	K	T					
84. AVNET INC (COM)		None	K	T	Buy (add'l)	09/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85. GANNETT CO (COM)	B	Dividend	K	T	Buy (add'l)	02/24/12	K		
86. HAWAIIAN ELEC INDS INC. (COM)	A	Dividend	J	T					
87. HESS CORP (COM)	A	Dividend	K	T	Buy (add'l)	05/02/12	J		
88. HUGOTON ROYALTY TRUST UBI		None			Sold	01/10/12	K		
89. INTER PARFUMS INC (COM)	A	Dividend			Sold	03/14/12	J		
90. LAZARD LTD (COM)	A	Dividend			Sold	06/08/12	K		
91. MARATHON PETROLEIUM CORP (COM)	A	Dividend	K	T					
92. MDU RESOURCES GRP INC. (COM)	A	Dividend	K	T					
93. SOUTHWEST AIRLINES CO. (COM)	A	Dividend	K	T					
94. TRW AUTOMOTIVE HLDGS CORP. (COM)		None	K	T	Buy (add'l)	05/02/12	J		
95. PETROBRAS INT'L NOTES DUE 1/27/16	A	Interest	K	T	Buy	12/20/12	K		
96. AMERICAN INT'L GROUP NOTES DUE 9/15/16	A	Interest	K	T	Buy	06/18/12	K		
97. FIRST ENERGY SOLUTIONS CO. NOTES DUE 8/15/21	A	Interest	K	T	Buy	09/13/12	K		
98. GOLDMAN SACHS CAPITAL II NOTES DUE 6/1/43	A	Interest	K	T	Buy	03/26/12	K		
99. WACHOVIA CAP TRUST III NOTES PERPETUAL	A	Interest	K	T	Buy	07/17/12	K		
100. SOUTHERN CAL ED (PFD)	A	Dividend	K	T	Buy	03/28/12	K		
101. US BANK CORP (PFD)	A	Dividend	K	T	Buy	05/22/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. ADT CORP (COM)	A	Dividend	K	T	Buy	10/04/12	J		
103. CABOT MICROELECTRS CORP (COM)		None	K	T	Buy	11/16/12	J		
104. EATON CORP (COM)	A	Dividend	K	T	Buy	12/04/12	K		
105.		None							
106.		None							
107.		None							
108.		None							
109.		None							
110. ASSETS FROM POSITION 20 CO-TRUSTEE									
111. BANK ONE CHECKING	A	Interest	J	T					
112. ALCOA, INC BONDS DUE 2/23/19	B	Interest	K	T					
113. CHEVRON CORP. (COM)	A	Dividend	K	T					
114. AMERICAN EAGLE OUTFITTERS (COM)	A	Dividend			Sold	05/02/12	K	D	
115. EMERSON ELECTRIC CO. (COM)	A	Dividend	K	T					
116. HEWLETT PACKARD CO. (COM)	A	Dividend			Sold	11/16/12	J		
117.		None							
118. BECKTON DICKINSON CO (COM)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. MCKESSON CORP. (COM)	A	Dividend	K	T					
120.		None							
121.		None							
122.		None							
123. BRISTOL-MYERS SQUIBB CO. (COM)	A	Dividend	K	T					
124.		None							
125. COVIDIEN LTD (COM)	A	Dividend	K	T					
126.		None							
127.		None							
128.		None							
129. ALTRIA GROUP INC BONDS DUE 8/6/19	B	Interest			Sold	12/20/12	K	D	
130. DIAGEO PLC SPSD ADS (COM)	A	Dividend			Sold	12/10/12	K	E	
131.		None							
132.		None							
133.		None							
134. GENERAL ELECTRIC CAP CORP NOTES	B	Interest	K	T					
135.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.		None							
137. HCP INC. (FORMERLY HEALTH CARE PPTY INVS (COM) (REIT))	B	Dividend	K	T					
138. LINCOLN NATIONAL CORP NOTES DUE 7/1/19	B	Interest	K	T					
139. RAYTHEON COMPANY (COM)	B	Dividend	K	T					
140. SCHLUMBERGER LTD (COM)	A	Dividend	K	T	Buy	06/04/12	K		
141.		None							
142.		None							
143. MARATHON OIL CORP	A	Dividend	K	T					
144. HEALTH CARE REIT INC (COM)	B	Dividend	K	T					
145.		None							
146.		None							
147. MEADWEST VACO CORP (COM)	A	Dividend	K	T					
148. V F CORPORATION (COM)	A	Dividend	K	T					
149.		None							
150. DISNEY (WALT) CO (COM)	A	Dividend	K	T	Sold (part)	06/06/12	J	C	
151. EXXON MOBIL CORP (COM)	A	Dividend	K	T					
152. GLATFELTER (COM)	A	Dividend	K	T	Buy (add'l)	05/04/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. SIMON PROP GROUP REIT	A	Dividend	K	T					
154. HASBRO INC (COM)	B	Dividend	K	T	Buy (add'l)	03/08/12	J		
155.		None							
156.		None							
157.		None							
158. VODAFONE GROUP PLC (COM)	B	Dividend	K	T					
159. WASHINGTON REAL ESTATE INVEST. TRUST (COM)	B	Dividend	K	T					
160.		None							
161. WASTE MANAGEMENT INC NEW (COM)	B	Dividend	K	T					
162. XEROX CORP (COM)	A	Dividend	K	T	Buy	01/12/12	K		
163.		None							
164.		None							
165. ENTERGY MISS INC. 1ST MTG BOND DUE 2/1/13	B	Interest	K	T					
166. INGERSOLL-RAND GL HLD CO BOND DUE 4/15/14	C	Interest	K	T					
167. GOLDMAN SACH GROUP INC. SR. NOTE DUE 1/15/14	B	Interest	K	T					
168. INTERNATIONAL PAPER CO. NOTE DUE 1/15/14	B	Interest	K	T					
169. MEDTRONIC INC NOTE DUE 04/15/13	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. VERIZON GLOBAL FDG. CORP. NOTE DUE 6/1/13	B	Interest	K	T					
171. IRA ROLLOVER JP MORGAN CHASE BANK									
172. JP MORGAN CASH - US DOLLAR		None	J	T					
173. GATEWAY FUND - Y	A	Dividend	J	T	Buy (add'l)	10/19/12	J		
174.		None							
175. VANGUARD MSC1 EUROPE ETF	A	Dividend	J	T	Buy	09/17/12	J		
176. JP MORGAN US EQUITY FUND	A	Dividend	J	T					
177. MANNING & NAPIER FUND INC	A	Dividend	K	T	Sold (part)	10/19/12	J	A	
178. HARTFORD CAPITAL APPRECIATION FD	A	Dividend	J	T	Sold (part)	03/13/12	J	A	
179. SPDR S & P 500 EFT TRUST	A	Dividend	K	T	Buy	05/24/12	K		
180. ABERDEEN ASIA PACIFIC EQUITY FD	A	Dividend	J	T	Buy	06/22/12	J		
181. MATTHEWS PACIFIC TIGER FD	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
182.		None							
183. EATON VANCE FLOATING RATE (FRMLY EATON VANCE MUTUAL FDS TR	A	Dividend			Sold	07/06/12	K		
184. PIMCO FDS UNCON STR BD		None	J	T	Buy	11/19/12	J		
185.		None							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. JP MORGAN MARKET EXPANSION INDEX FD	A	Dividend	J	T					
187.		None							
188.		None							
189. JP MORGAN REALTY INCOME FD	A	Dividend	J	T					
190.		None							
191. VANGUARD EMERGING MARKET ETF FD	A	Dividend	J	T					
192.		None							
193. ARBITRAGE FUNDS - I		None	J	T					
194. EATON VANCE MUT FDS TR		None	J	T					
195. JPM LARGE CAP GROWTH FUND	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
196. JPM EM MKS EQUITY FUND	A	Dividend	J	T					
197. PRUDENTIAL JENNISON NATURAL RES. FUND		None			Sold	10/19/12	J		
198. BLACKROCK HIGH YIELD BOND FUND	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
199. RIDGEWORTH FUND	B	Dividend	K	T					
200. AIM INVT FDS - BAL RISK ALL		None	J	T	Buy	06/28/12	J		
201. EATON VANCE FLOATING RATE ADVANTAGE I		None	J	T	Buy	05/10/12	J		
202. PIMCO COMMODITIES PLUS STRATEGY P		None	J	T	Buy	10/19/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

203. SPDR GOLD TRUST		None	J	T	Buy	10/19/12	J		
204. JPM INTL CURRENCY INCOME FD	A	Dividend	J	T	Buy	10/19/12	J		
205. DREYFUS LAUREL EMG MKT DEBT LOL	A	Dividend	J	T	Buy	10/19/12	J		
206.		None							
207.		None							
208.		None							
209.		None							
210.		None							
211.		None							
212.		None							
213.		None							
214.		None							
215.		None							
216.		None							
217.		None							
218.		None							
219.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

220.		None							
221.		None							
222. COMERICA BANK (CHECKING)		None	K	T					
223. ING USA ANNUITY & LIFE INS. CO.	C	Distribution	L	T					
224. NATIONWIDE LIFE INS. CO/BEST OF AMERICA ANNUITY	D	Distribution	K	T					
225. JOHN HANCOCK LIFE INS. CO - ANNUITY		None	N	T					
226.		None							
227. PRUDENTIAL ANNUITIES LIFE ASSURANCE CORP	D	Distribution	K	T					
228. IRA ACCT WELLS FARGO ADV (FRMLY WACHOVIA SECURITIES:)	B	Distribution	J	T					
229. WELLS FARGO ADV (FRMLY WACHOVIA BANK) BANK DEPOSIT SWEEP		None	J	T					
230. FIRST TRUST STRAT. HIGH INCOME FUND III		None			Sold	12/18/12	J		
231. NEW PERSPECTIVE FD INC.		None	K	T					
232. AIM INVESCO VK COMSTOCK (FRMLY VAN KAMPEN COMSTOCK FUND)	A	Dividend			Sold	05/07/12	J	A	
233.		None							
234.		None							
235.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

236.		None							
237. ASSETS FROM POSITION 20 CO-TRUSTEE									
238. ABBOTT LABORATORIES (COM)	A	Dividend			Sold	07/24/12	K	D	
239. AGILENT TECHNOLOGIES INC (COM)	A	Dividend	K	T	Buy (add'l)	08/31/12	J		
240.		None							
241.		None							
242. AIR PRODUCTS & CHEM (COM)	A	Dividend	K	T	Buy (add'l)	06/01/12	J		
243.		None							
244. IRA ROLLOVER JP MORGAN CHASE									
245. 1 SHARES TR BARCLAYS TIPS BD FD (FRMLY 1 SHARES TR US TREAS)	A	Dividend	K	T	Sold (part)	05/10/12	J	A	
246. JP MORGAN HIGH YIELD BOND FUND	B	Dividend	K	T	Sold (part)	05/10/12	J		
247. JP MORGAN SHORT DURATION BOND FD	A	Dividend	L	T	Sold (part)	10/19/12	K	A	
248. DOUBLE LINE FDS TR	A	Dividend	J	T	Buy	10/19/12	J		
249.		None							
250.		None							
251. JP MORGAN ASIA EQUITY FUND	A	Dividend			Sold	06/22/12	K		
252.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

253.		None							
254. JP MORGAN INTREPID AMERICA FUND	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
255. JPM EQUITY INCOME FUND	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
256. JPM INTREPID VALUE FUND	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
257. ASSETS FROM POSITION 20 CO-TRUSTEE									
258. MERRILL LYNCH CMA MONEY FUND	A	Dividend	M	T	Buy (add'l)	07/01/12	M		
259. MICROSOFT CORP (COM)	A	Dividend	K	T					
260. WINDSTREAM CORP (ALLTELL DIST) (COM)	A	Dividend	J	T					
261.		None							
262.		None							
263.		None							
264.		None							
265. PINNACLE WEST CAP CORP (COM)	A	Dividend			Sold	05/22/12	J	A	
266.		None							
267. TECO ENERGY INC. (COM)	A	Dividend	J	T					
268.		None							
269. HALLIBURTON CO. (COM)	A	Dividend			Sold	06/04/12	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
270. TYCO INT'L LTD NAMEN-AKT (COM)	A	Dividend	K	T					
271. HEALTHSOUTH CORP (COM)		None	K	T	Sold	12/11/12	K	D	
272. ILLINOIS TOOL WORKS INC (COM)	A	Dividend			Sold	05/15/12	K	B	
273. MORGAN STANLEY (COM)	A	Dividend	K	T	Buy	11/06/12	K		
274. KBR INC (COM)		None			Sold	01/31/12	J	C	
275. NABORS INDUSTRIES LTD (COM)		None	K	T	Buy	01/31/12	K		
276.		None							
277.		None							
278. KELLOGGS CO (COM)	A	Dividend	K	T					
279. BANK OF AMERICA NA SUB NOTES DUE 8/15/16	B	Interest	K	T					
280. MENS WEARHOUSE INC (COM)	A	Dividend	K	T	Buy	12/06/12	K		
281. BOSTON SCIENTIFIC CORP NOTES DUE 1/15/15	B	Interest	K	T					
282. COMCAST CORP. NOTES DUE 1/15/15	B	Interest	K	T					
283. KROGER CO (COM)	A	Dividend	K	T					
284. DOW CHEMICAL NOTES DUE 10/1/12	B	Interest			Sold	10/01/12	K		
285. MCDONALDS CORP (COM)		None			Sold	01/12/12	K	D	
286. MEDTRONIC INC (COM)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
287. MTS SYSTEMS CORP (COM)	A	Dividend	K	T	Buy	08/31/12	K		
288. N M GEN'L ELEC. CAP CORP. NOTES DUE 6/15/12	A	Interest			Sold	06/15/12	K		
289. NESTLE SA REP RG	A	Dividend	K	T					
290. NUCOR CORP (COM)	A	Dividend	K	T	Buy	04/24/12	K		
291. NEWS CORP. (COM)	A	Dividend	K	T	Sold (part)	06/26/12	J	B	
292. JP MORGAN CHASE SUB NOTES DUE 10/1/15	B	Interest	K	T					
293. JOHN DEERE CAP CORP NOTES DUE 3/15/12	A	Interest			Sold	03/15/12	K		
294. NORTHEAST UTILITIES (COM)	A	Dividend	K	T					
295. MORGAN STANLEY SUB NOTES DUE 4/1/14	B	Interest	K	T					
296. NATIONWIDE FIN. SER. SR. NOTES DUE 7/1/12	A	Interest			Sold	07/02/12	K	A	
297. NV ENERGY INC. (COM)	A	Dividend	K	T					
298.		None							
299. QWEST CORP NOTES DUE 6/1/17	B	Interest	K	T					
300. SCHERING PLOUGH SR. NOTES DUE 12/1/13	B	Interest	K	T					
301.		None							
302.		None							
303. SUNTRUST BANKS INC NOTES DUE 12/1/17	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
304. XEROX CORP NOTES DUE 5/15/14	B	Interest	K	T					
305.		None							
306. IRA ROLLOVER JP MORGAN CHASE (FORMERLY BANK ONE)									
307.		None							
308.		None							
309. FMI FDS INC LARGE CAP FD	A	Dividend	J	T	Sold (part)	10/19/12	J	A	
310.		None							
311.		None							
312.		None							
313. JP MORGAN INTERNATIONAL VALUE FUND	A	Dividend	J	T	Buy (add'l)	10/19/12	J		
314. JP MORGAN US LARGE CAP CORE PLUS FD	A	Dividend	K	T	Sold (part)	03/13/12	J	A	
315.		None							
316. JPM MID CAP GROWTH FUND		None	J	T					
317. ISHARES MSCI EAFE INDEX FUND	A	Dividend	J	T					
318. MFS INTL FUND	A	Dividend	J	T	Buy (add'l)	05/24/12	J		
319. T. ROWE PRICE OVERSEAS STOCK FUND		None	K	T					
320.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
321.		None							
322. I SHARES CORE S&P MID-CAP ETF (FRMLY I SHARES S&P MID CAP)	A	Dividend	J	T	Buy (add'l)	10/19/12	J		
323.		None							
324.		None							
325.		None							
326. ASSETS FROM POSITION 20 CO- TRUSTEE									
327. OCCIDENTAL PETE CORP CAL	A	Dividend	K	T	Buy (add'l)	11/01/12	J		
328. APPLIED MATERIAL SR. NOTES DUE 10/15/17	B	Interest	K	T					
329. BECTON DICKINSON NOTES DUE 4/15/013	B	Interest	K	T					
330. ENTERPRISE PRODUCTS OPER NOTES DUE 1/15/68	B	Interest	K	T					
331.		None							
332. LEHMAN BROTHERS HODGS SUB NOTES DUE 7/19/17		None	J	T					
333.		None							
334. MERRILL LYNCH & CO NOTES DUE 8/28/17	B	Interest	K	T					
335. PSEG POWER CO NOTES DUE 4/1/14	B	Interest			Sold	12/28/12	K	B	
336. WEATHERFORD INTL LTD NOTES DUE 10/15/13	B	Interest	K	T					
337. OSHKOSH CORP (COM)		None	K	T	Buy (add'l)	05/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
338.		None							
339. BANK OF AMERICA NOTES DUE 12/29/49	B	Interest	K	T					
340. CITIGROUP CAP VII (PREF)	B	Dividend	K	T					
341.		None							
342. PEPSICO, INC (COM)	A	Dividend	K	T	Buy	03/14/12	K		
343. JP MORGAN CHASE NOTES DUE 4/29/49	B	Interest	K	T					
344. RAYMOND JAMES FINL CO (COM)	A	Dividend	K	T	Buy	05/22/12	K		
345. SOVEREIGN BANK NOTES DUE 3/15/13	B	Interest	K	T					
346. WASHINGTON REIT NOTES DUE 5/1/15	B	Interest	K	T					
347. WELLS FARGO&CO (FORMERLY WACHOVIA CORP.)NOTES DUE 2/28/49	A	Interest	J	T					
348. I SHARES BARCLAYS TIPS BEND FUND	C	Dividend			Sold	05/18/12	M	D	
349. LIVING TRUST (7/13/95) (POSITION 23)									
350. BLACK ROCK GLOBAL ALLOCATION FUND	A	Dividend	J	T					
351. EATON VANCE NAT'L MUNI INC FD (FRMLY EATON VANCE MI MUNI FD)	B	Dividend	K	T					
352. EATON VANCE TAX MANAGED GROWTH FUND	B	Dividend	L	T					
353. FIDELITY ADV BALANCED FUND	A	Dividend	L	T					
354. OPPENHEIMER GLOBAL STRATEGIC INCOME FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
355.		None							
356. BLACKROCK MUNIYIELD	A	Dividend	J	T					
357. INCOME FUND OF AMERICA CL A	A	Dividend	J	T	Buy	03/13/12	J		
358. GROWTH FUND OF AMERICA CL B		None			Sold	03/13/12	J		
359. GROWTH FUND OF AMERICA CL A	A	Dividend	K	T	Buy (add'l)	03/13/12	J		
360. INCOME FUND OF AMERICA CL B	A	Dividend	J	T	Sold (part)	03/13/12	J		
361. KEELEY FUNDS INC SMALL CAP VALUE FUND	A	Dividend	J	T					
362. AIM INVESCO COMSTOCK FD A (FRMLY AIM INVSCO VK COMSTOCK A)	A	Dividend	J	T	Sold (part)	05/07/12	J	A	
363. NUVEEN INVT TR MULTI MGR L/C VALUE FD A (FRMLY NUVEEN	A	Dividend	K	T					
364. NUVEEN MI MUNI BD FD CL A	B	Interest	K	T	Sold (part)	05/07/12	J	A	
365. PUTNAM GLBL HLTH CR CL B (FRMLY PUTNAM HLTH SCI SC BEN INT)		None			Sold	05/07/12	J		
366. AIM INVESCO VK CONSTOCK (FRMLY VAN KAMPEN COMSTOCK) FUND		None			Sold	05/07/12	J		
367. TRANSAMERICA A/A CONSERV	A	Dividend	J	T					
368. INVESCO TECHNOLOGY SECTOR		None	J	T	Buy	01/02/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 28 of 28

Name of Person Reporting

SHAPERO, WALTER

Date of Report

07/29/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ WALTER SHAPERO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544