

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waldor, Cathy L.	2. Court or Organization US District Court, New Jersey	3. Date of Report 06/17/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US District Court 50 Walnut Street MLK Building Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

Rex Vinegar salary

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BB&T Capital Trust Pref Securities 8.1%, due 11/1/69	C	Interest			Redeemed	07/18/12	J	A	
2. Coca-Cola	B	Dividend			Sold	07/25/12	J	D	
3. Comcast Corp unsecured notes Ser B 7%	D	Interest			Redeemed	04/20/12	K	A	
4. Cubesmart 7.75%	A	Interest			Sold	07/25/12	J	A	
5. CVS Caremark Corp	B	Dividend			Sold	07/25/12	J	C	
6. Walt Disney	A	Dividend			Sold	07/25/12	J	C	
7. Endurance Specialty Hldg 7.5%	A	Interest			Sold	07/25/12	J	A	
8. First Republic Bank 6.7%	B	Interest			Sold	07/25/12	K	B	
9. Franklin Income Fund	B	Dividend	L	T					
10. Richard Bernstein Advisors Quality Inc Port Ser 2	A	Dividend	K	T					
11. Jersey City NJ Qualified Pub Impt 5%, Sep01 21	B	Interest			Sold	09/04/12	K	B	
12. Mainstay High Yield Municipal Bond Fund	B	Dividend	K	T					
13. Market Vectors ETF Tr Gold Miners	A	Dividend	J	T					
14. MetLife Series L VA	A	Int./Div.			Sold	07/25/12	J	A	
15. ML Bank Deposit Program	A	Interest			Sold	04/18/12	J	A	
16. NJ Building Authority Rev 4.125% June15 21	B	Interest			Sold	09/04/12	K	A	
17. NJ Economic Dev Auth Rev 5% Sep01 15	A	Interest			Sold	09/04/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NJ Environmental Infrastructure 4.375% Sept01 22	B	Interest			Sold	09/04/12	J	A	
19. NJ St Edl Rev Princeton 4.5% Jul01 30	B	Interest			Sold	09/04/12	K	B	
20. NJ ST Higher Ed Student LN Rev 4.25% June01 16	B	Interest	K	T					
21. NJ Wtr Supply RV Manasquan 5% Aug01 19	B	Interest			Sold	09/04/12	J	A	
22. Nuveen Adv Muni PFD shares 2.95% Ser 2014	B	Interest	L	T					
23. PNC Capital Trust PFD Securities 7.75% Mar 15 2068	B	Interest			Redeemed	07/30/12	K	A	
24. Protective Life Corp Senior Unsecured Notes 8%	B	Interest			Sold	07/25/12	K	C	
25. Prudential Financial Junior Subordinated Note 9%	A	Interest			Sold	07/25/12	J	A	
26. Rydex SGI Small Cap Value Fund	A	Dividend	K	T					
27. Vornado Rlty Senior Unsecured Notes 7.875%	B	Interest	K	T					
28. Bank of America Non-Cumulative Pref Security 8.625% (Y)									
29. BAC Mkt Link Step Up S&P 500 due April 27 2012 (Y)									
30. Chesapeake Energy Okla (Y)									
31. Chubb Corp (Y)									
32. Deutsche Bk Capital FDG Trust 7.35%(Y)									
33. Public Storage Series P 6.5% (Y)									
34. Progress Energy (Y)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Kraft Foods Inc (Y)									
36. Woodbridge TWP NJ GEN IMPT 4% 2/1/2017 (Y)									
37. Deutsche Bank Capital FDG Trust 7.35% (Y)									
38. Felcor Lodging Trust Tex REIT (Y)									
39. Kilroy Realty Corp REIT (Y)									
40. Montpelier Holdings Ltd stock (Y)									
41. MSFT Stars Issuer BAC (Y)									
42. Pfizer (Y)									
43. PPL Corporation (Y)									
44. Bank of America Corp (Y)									
45. Blackrock Basic Value Fund (Y)									
46. Franklin Mutual Global Discovery Fund (Y)									
47. ISA Compass Bank	A	Interest			Sold	04/27/12	J	A	
48. ML Bank Deposit Program	A	Interest			Sold	04/27/12	J	A	
49. Templeton Income Fund (Y)									
50.									
51. Davis Advisors LC Rel Value Managed Account (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Agilent Technologies Inc	A	Dividend			Sold	06/08/12	J	A	
53. - Amer Express Co	A	Dividend			Sold	07/30/12	J	B	
54. - Ameriprise Financial	A	Dividend			Sold	06/08/12	J	A	
55. - Bank of NY Mellon Corp	A	Dividend			Sold	06/08/12	J	A	
56. - Baxter International	A	Dividend			Sold	06/08/12	J	A	
57. - Becton Dickinson Co	A	Dividend			Sold	06/08/12	J	A	
58. - Bed Bath & Beyond	A	Dividend			Sold	06/08/12	J	B	
59. - Berkshire Hathaway CL B	A	Dividend			Sold	07/25/12	J	C	
60. - Canadian Natural Res	A	Dividend			Sold	06/08/12	J	A	
61. - Coca Cola	A	Dividend			Sold	06/08/12	J	B	
62. - Costco	A	Dividend			Sold	07/25/12	J	D	
63. - CVS Caremark	A	Dividend			Sold	07/25/12	J	C	
64. - Devon Energy Corp	A	Dividend			Sold	06/08/12	J	A	
65. - Diageo Plc ADR	A	Dividend			Sold	06/08/12	J	B	
66. - Disney Co.	A	Dividend			Sold	06/08/12	J	A	
67. - EOG Resources Inc	A	Dividend			Sold	06/08/12	J	B	
68. - Express Scripts Inc	A	Dividend			Sold	06/08/12	J	A	

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69. - Google Inc	A	Dividend			Sold	06/08/12	J	A	
70. - Grupo Televisa SA ADR	A	Dividend			Sold	06/08/12	J	A	
71. - Harley Davidson Inc	A	Dividend			Sold	06/08/12	J	A	
72. - Hewlett Packard Co	A	Dividend			Sold	06/08/12	J	A	
73. - Iron Mountain Inc	A	Dividend			Sold	06/08/12	J	B	
74. - ISA CIT Bank	A	Interest			Sold	04/18/12	J	A	
75. - iShares Russell 1000 Index Fund	A	Dividend			Sold	06/08/12	J	A	
76. - Johnson & Johnson	A	Dividend			Sold	06/08/12	J	A	
77. - Kraft Foods Inc	A	Dividend			Sold	06/08/12	J	A	
78. - Lockheed Martin Corp	A	Dividend			Sold	06/08/12	J	A	
79. - Loews Corp	A	Dividend			Sold	06/08/12	J	B	
80. - Merck and Co	A	Dividend			Sold	06/08/12	J	C	
81. - Microsoft Corp	A	Dividend			Sold	06/08/12	J	A	
82. - ML Bank Deposit Program	A	Interest			Sold	04/27/12	J	A	
83. - Monsanto Co	A	Dividend			Sold	06/08/12	J	A	
84. - Occidental Pet Corp	A	Dividend			Sold	06/08/12	J	D	
85. - Pfizer Inc	A	Dividend			Sold	06/08/12	J	A	

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86. - Philip Morris	A	Dividend			Sold	06/08/12	J	B	
87. - Progressive Corp	A	Dividend			Sold	06/08/12	J	A	
88. - Sealed Air Corp	A	Dividend			Sold	06/08/12	J	A	
89. - Texas Instruments	A	Dividend			Sold	06/08/12	J	A	
90. - Transocean Ltd	A	Dividend			Sold	06/08/12	J	A	
91. - Wells Fargo & Co	A	Dividend			Sold	06/08/12	J	A	
92.									
93. Blackrock CDP Mult Muni IV Managed Account (H)									
94. - Accenture PLC	A	Dividend			Sold	06/08/12	J	A	
95. - Aetna Inc	A	Dividend			Sold	06/08/12	J	A	
96. - Alcoa	A	Dividend			Sold	06/08/12	J	A	
97. - Allergen Inc	A	Dividend			Sold	06/08/12	J	A	
98. - Amerisource Bergen Corp	A	Dividend			Sold	06/08/12	J	A	
99. - Apple Inc	A	Dividend			Sold	08/01/12	J	C	
100. - Applied Material Inc	A	Dividend			Sold	06/08/12	J	A	
101. - Assurant Inc	A	Dividend			Sold	06/08/12	J	A	
102. - AT&T	A	Dividend			Sold	06/08/12	J	A	

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103. - Baidu Inc ADR	A	Dividend			Sold	06/08/12	J	B	
104. - Berkshire Hathaway CL B	A	Dividend			Sold	06/08/12	J	A	
105. - BG Group PLC	A	Dividend			Sold	06/08/12	J	A	
106. - BHP Billiton Ltd	A	Dividend			Sold	06/08/12	J	A	
107. - Biogen Inc	A	Dividend			Sold	06/08/12	J	A	
108. - Blackrock NJ Muni Bond Fund	A	Dividend			Sold	06/08/12	K	B	
109. - Bristol-Myers Squibb Co	A	Dividend			Sold	06/08/12	J	A	
110. - CA Inc	A	Dividend			Sold	06/08/12	J	A	
111. - Canon Inc ADR	A	Dividend			Sold	06/08/12	J	A	
112. - Capital One Financial	A	Dividend			Sold	01/31/12	J	A	
113. - Cardinal Health Inc	A	Dividend			Sold	06/08/12	J	A	
114. - CBRE Group Inc	A	Dividend			Sold	06/08/12	J	A	
115. - CBS Corp	A	Dividend			Sold	06/08/12	J	A	
116. - Check Point Software Tech	A	Dividend			Sold	06/08/12	J	A	
117. - Chevron Corp	A	Dividend			Sold	06/08/12	J	A	
118. - Chubb Corp	A	Dividend			Sold	06/08/12	J	A	
119. - Coach Inc	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

Date of Report

06/17/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - ConocoPhillips	A	Dividend			Sold	06/08/12	J	A	
121. - Corning Inc	A	Dividend			Sold	06/08/12	J	A	
122. - Coventry Health Care Inc	A	Dividend			Sold	06/08/12	J	A	
123. - Cummins Inc	A	Dividend			Sold	06/08/12	J	A	
124. - DanaHER Corp	A	Dividend			Sold	06/08/12	J	A	
125. - Dell Inc	A	Dividend			Sold	06/08/12	J	A	
126. - Discover Financial Services	A	Dividend			Sold	06/08/12	J	A	
127. - Dow Chemical Co	A	Dividend			Sold	06/08/12	J	A	
128. - Eaton Corp	A	Dividend			Sold	06/08/12	J	A	
129. - Edison Int'l	A	Dividend			Sold	06/08/12	J	A	
130. - Eli Lilly & Co	A	Dividend			Sold	06/08/12	J	A	
131. - Exxon Mobil Corp	A	Dividend			Sold	06/08/12	J	A	
132. - Fluor Corp	A	Dividend			Sold	06/08/12	J	A	
133. - Gannett Co	A	Dividend			Sold	06/08/12	J	A	
134. - Gap Inc	A	Dividend			Sold	06/08/12	J	A	
135. - General Electric	A	Dividend			Sold	06/08/12	J	B	
136. - General Dynamics Corp	A	Dividend			Sold	06/08/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Google Inc	A	Dividend			Sold	06/08/12	J	A	
138. - Halliburton Company	A	Dividend			Sold	06/08/12	J	A	
139. - Health Net Inc	A	Dividend			Sold	06/08/12	J	A	
140. - Home Depot Inc	A	Dividend			Sold	06/08/12	J	A	
141. - HSBC HLDG PLC	A	Dividend			Sold	06/08/12	J	A	
142. - Humana Inc	A	Dividend			Sold	06/08/12	J	A	
143. - Intel Corp	A	Dividend			Sold	06/08/12	J	A	
144. - Int'l Paper Co	A	Dividend			Sold	06/08/12	J	A	
145. - ISA CIT Bank	A	Interest			Sold	04/18/12	J	A	
146. - iShares MSCI EAFE Index Fund (Y)									
147. - iShares S&P 500 Value Index Fund (Y)									
148. - JPMorgan Chase & Co	A	Dividend			Sold	06/08/12	J	A	
149. - Kroger Co	A	Dividend			Sold	06/08/12	J	A	
150. - L-3 Communications	A	Dividend			Sold	06/08/12	J	A	
151. - Estee Lauder Inc	A	Dividend			Sold	06/08/12	J	A	
152. - Limited Brands Inc	A	Dividend			Sold	06/08/12	J	A	
153. - Lockheed Martin Corp	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Lorillard Inc	A	Dividend			Sold	06/08/12	J	A	
155. - LVMH Moet Hennessy ADR	A	Dividend			Sold	06/08/12	J	A	
156. - Macys Inc	A	Dividend			Sold	06/08/12	J	A	
157. - Marathon Oil Corp	A	Dividend			Sold	06/08/12	J	A	
158. - McDonalds Corp	A	Dividend			Sold	08/01/12	J	B	
159. - Mead Johnson Nutrition	A	Dividend			Sold	06/08/12	J	A	
160. - MeadWestvaco	A	Dividend			Sold	06/08/12	J	A	
161. - Mitsubishi CP Sponsored ADR	A	Dividend			Sold	06/08/12	J	A	
162. - ML Bank Deposit Program	A	Interest			Sold	04/18/12	J	A	
163. - Monmouth County NJ Rev 5% Oct01 14 (Y)									
164. - Monsanto Co	A	Dividend			Sold	06/08/12	J	B	
165. - Montgomery TWP BJ Brd Ed 5% Apr01 17 (Y)									
166. - Morris Cnty NJ Rev 4.5% Aug15 20 (Y)									
167. - Morristown NJ 4% Mar01 19 (Y)									
168. - Motorola Solutions Inc	A	Dividend			Sold	06/08/12	J	A	
169. - Murphy Oil Corp	A	Dividend			Sold	06/08/12	J	A	
170. - National-Oilwell Varco Inc	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Nestle SA ADR	A	Dividend			Sold	06/08/12	J	A	
172. - NJ Environmental Infrastructure SER A 4% Sep01 21 (Y)									
173. - NJ St Edl Facs Rev 5% Jul01 15 (Y)									
174. - NJ St Transn Auth 5% Jun15 21 (Y)									
175. - Nike Inc	A	Dividend			Sold	06/08/12	J	B	
176. - Northrop Grumman Corp	A	Dividend			Sold	06/08/12	J	A	
177. - O'Reilly Automotive Inc	A	Dividend			Sold	06/08/12	J	A	
178. - Occidental Pet Corp	A	Dividend			Sold	06/08/12	J	A	
179. - Omnicare Inc	A	Dividend			Sold	06/08/12	J	A	
180. - Parker Hannifin Corp	A	Dividend			Sold	06/08/12	J	A	
181. - Pfizer Inc	A	Dividend			Sold	06/08/12	J	A	
182. - Port Auth NY & NJ 5% Aug15 23 (Y)									
183. - PPG Industries Inc	A	Dividend			Sold	06/08/12	J	A	
184. - Praxair Inc	A	Dividend			Sold	06/08/12	J	A	
185. - Precision Castparts	A	Dividend			Sold	06/08/12	J	A	
186. - Priceline Inc	A	Dividend			Sold	06/08/12	J	A	
187. - Prudential Financial Inc	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Prudential Plc ADR	A	Dividend			Sold	06/08/12	J	A	
189. - Qualcomm Inc	A	Dividend			Sold	06/08/12	J	A	
190. - Raytheon Co	A	Dividend			Sold	06/08/12	J	B	
191. - Rio Tinto Plc ADR	A	Dividend			Sold	06/08/12	J	A	
192. - Royal Dutch Shell Plc ADR	A	Dividend			Sold	06/08/12	J	A	
193. - Ryder System Inc	A	Dividend			Sold	06/08/12	J	A	
194. - SAP AG	A	Dividend			Sold	06/08/12	J	A	
195. - Siemens AG ADR	A	Dividend			Sold	06/08/12	J	A	
196. - Southwest Airlines Co	A	Dividend			Sold	06/08/12	J	A	
197. - Sprint Nextel Corp	A	Dividend			Sold	06/08/12	J	A	
198. - Starbucks Corp	A	Dividend			Sold	06/08/12	J	B	
199. - Starwood Hotels and Resorts	A	Dividend			Sold	06/08/12	J	A	
200. - Tiffany & Co	A	Dividend			Sold	06/08/12	J	A	
201. - Time Warner Cable Inc	A	Dividend			Sold	06/08/12	J	A	
202. - Time Warner Inc	A	Dividend			Sold	06/08/12	J	A	
203. - TJX Inc	A	Dividend			Sold	06/08/12	J	C	
204. - Total SA ADR	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Tyco Intl Ltd	A	Dividend			Sold	06/08/12	J	A	
206. - Unilever	A	Dividend			Sold	06/08/12	J	A	
207. - Union Pacific Corp	A	Dividend			Sold	06/08/12	J	B	
208. - UnitedHealth Group	A	Dividend			Sold	06/08/12	J	A	
209. - Unum Group	A	Dividend			Sold	06/08/12	J	A	
210. - US Bancorp	A	Dividend			Sold	06/08/12	J	A	
211. - Valero Energy Corp	A	Dividend			Sold	06/08/12	J	A	
212. - Visa Inc	A	Dividend			Sold	06/08/12	J	B	
213. - Vodafone PLC ADR	A	Dividend			Sold	06/08/12	J	A	
214. - Volkswagen ADR	A	Dividend			Sold	06/08/12	J	A	
215. - Walgreen Co	A	Dividend			Sold	06/08/12	J	A	
216. - Wellpoint Inc	A	Dividend			Sold	06/08/12	J	A	
217. - Wells Fargo & Co	A	Dividend			Sold	06/08/12	J	A	
218. - Western Union	A	Dividend			Sold	06/08/12	J	A	
219. - Wynn Resorts Ltd	A	Dividend			Sold	06/08/12	J	A	
220. - Yum Brands Inc	A	Dividend			Sold	06/08/12	J	A	
221.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Lord Abbett Large Cap Managed Account (H)									
223. - Anadarko Pet Corp	A	Dividend			Sold	06/08/12	J	A	
224. - Apache Corp	A	Dividend			Sold	06/08/12	J	A	
225. - AT&T	A	Dividend			Sold	06/08/12	J	B	
226. - Barrick Gold Corp	A	Dividend			Sold	06/08/12	J	A	
227. - Bristol Myers Squibb	A	Dividend			Sold	06/08/12	J	A	
228. - Carnival Corp	A	Dividend			Sold	06/08/12	J	A	
229. - Caterpillar Inc	A	Dividend			Sold	12/12/12	J	B	
230. - Chevron Corp	A	Dividend			Sold	06/08/12	J	A	
231. - Cigna Corp	A	Dividend			Sold	06/08/12	J	A	
232. - Cisco Systems Inc	A	Dividend			Sold	06/08/12	J	A	
233. - Citigroup Inc	A	Dividend			Sold	06/08/12	J	A	
234. - Cliffs Natural Resources	A	Dividend			Sold	06/08/12	J	A	
235. - Colgate Palmolive	A	Dividend			Sold	06/08/12	J	A	
236. - Comcast Corp	A	Dividend			Sold	12/12/12	J	A	
237. - Corning	A	Dividend			Sold	06/08/12	J	A	
238. - CVS Caremark Corp	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Devon Energy corp	A	Dividend			Sold	06/08/12	J	A	
240. - Walt Disney Co	A	Dividend			Sold	06/08/12	J	A	
241. - Dow chemical Co	A	Dividend			Sold	06/08/12	J	A	
242. - EMC Corp	A	Dividend			Sold	06/08/12	J	A	
243. - Eaton Corp	A	Dividend			Sold	06/08/12	J	A	
244. - El Paso Corp	A	Dividend			Sold	06/08/12	J	B	
245. - Emerson Elec	A	Dividend			Sold	12/12/12	J	A	
246. - Exxon Mobil Corp	A	Dividend			Sold	06/08/12	J	A	
247. - Ford Motor Co	A	Dividend			Sold	06/08/12	J	A	
248. - General Electric	A	Dividend			Sold	06/08/12	J	A	
249. - Goldman Sachs Group	A	Dividend			Sold	06/08/12	J	A	
250. - Hertz Global Holdings	A	Dividend			Sold	06/08/12	J	B	
251. - Hess Corp	A	Dividend			Sold	06/08/12	J	A	
252. - Hewlett Packard Co	A	Dividend			Sold	06/08/12	J	A	
253. - HSN Inc	A	Dividend			Sold	06/08/12	J	A	
254. - Hyatt Hotels Corp	A	Dividend			Sold	06/08/12	J	A	
255. - Intel Corp	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Intl Paper Co	A	Dividend			Sold	06/08/12	J	A	
257. - ISA CIT Bank	A	Dividend			Sold	06/08/12	J	A	
258. - Johnson & Johnson (Y)									
259. - JPMorgan Chase	A	Dividend			Sold	06/08/12	J	A	
260. - Marathon Oil	A	Dividend			Sold	06/08/12	J	A	
261. - Marriott Intl	A	Dividend			Sold	06/08/12	J	A	
262. - Merck & Co	A	Dividend			Sold	06/08/12	J	A	
263. - MetLife Inc	A	Dividend			Sold	06/08/12	J	A	
264. - Microsoft Corp	A	Dividend			Sold	06/08/12	J	A	
265. - ML Bank Deposit Program	A	Dividend			Sold	06/08/12	J	A	
266. - Morgan Stanley	A	Dividend			Sold	06/08/12	J	A	
267. - Omnicom Group	A	Dividend			Sold	06/08/12	J	A	
268. - Pepsico Inc	A	Dividend			Sold	06/08/12	J	A	
269. - Pfizer Inc	A	Dividend			Sold	06/08/12	J	B	
270. - Philip Morris Intl Inc	A	Dividend			Sold	06/08/12	J	A	
271. - PNC Financial Services Group (Y)									
272. - PPL Corporation	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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06/17/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Proctor & Gamble Co	A	Dividend			Sold	06/08/12	J	A	
274. - Progress Energy Inc	A	Dividend			Sold	06/08/12	J	A	
275. - Prudential Financial Inc (Y)									
276. - Schlumberger Ltd	A	Dividend			Sold	06/08/12	J	A	
277. - Southern Company	A	Dividend			Sold	06/08/12	J	A	
278. - Suncor Energy Inc	A	Dividend			Sold	06/08/12	J	A	
279. - Suntrust Banks	A	Dividend			Sold	06/08/12	J	A	
280. - Target Corp (Y)									
281. - Teva Pharma (Y)									
282. - Texas Instruments	A	Dividend			Sold	06/08/12	J	A	
283. - The Mosaic Company	A	Dividend			Sold	06/08/12	J	A	
284. - Time Warner Cable	A	Dividend			Sold	06/08/12	J	A	
285. - Travelers	A	Dividend			Sold	06/08/12	J	A	
286. - United States STL Corp	A	Dividend			Sold	06/08/12	J	A	
287. - United Techs Corp (Y)									
288. - UnitedHealth Group	A	Dividend			Sold	06/08/12	J	A	
289. - Valero Energy	A	Dividend			Sold	06/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Waldor, Cathy L.

Date of Report

06/17/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Verizon Communications	A	Dividend			Sold	06/08/12	J	A	
291. - Wells Fargo & Co	A	Dividend			Sold	06/08/12	J	A	
292. - Zions Bancorp	A	Dividend			Sold	06/08/12	J	A	
293.									
294. IRA #1 (H)									
295. - American Funds Balanced Fund	A	Dividend	J	T					
296. - Blackrock Global Allocation	A	Dividend	K	T					
297. - FIA Card Services	A	Interest			Sold	04/18/12	J	A	
298.									
299. ML Bank Deposit Program (cash account)	A	Interest			Sold	04/18/12	J	A	
300. Diane Containerships Inc NPV (Y)									
301. Inergy L.P. (Y)									
302. Lexington Realty Trust REIT (Y)									
303. Safe Bulkers Inc (Y)									
304. Stag Industrials, Inc.(Y)									
305.									
306. (H) Brokerage Account #1 (44)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -Clearbridge Tactical Dividend Income Fund	A	Dividend	L	T	Buy	11/21/12	L		
308. -Mainstay Epoch Global Equity Yield Fund	A	Dividend	L	T	Buy	07/26/12	L		
309. -Principal Investors Preferred Securities Fund	A	Dividend	L	T	Buy	07/26/12	L		
310. -RS Floating Rate Fund	A	Dividend	L	T	Buy	09/04/12	L		
311. -Thornburg Income Builder Fund	A	Dividend	M	T	Buy	07/31/12	M		
312. -Legg Mason Clearbridge Tactical Dividend Income	A	Dividend	K	T	Buy	01/20/12	K		
313.									
314. (H) Brokerage Account #2 (45)									
315. -iShares Trust Core S & P Mid-Cap ETF	A	Dividend	K	T	Buy	07/26/12	K		
316. -iShares Trust Russell 2000 Index Fund	A	Dividend	K	T	Buy	07/26/12	K		
317. -Vanguard Dividend Appreciation ETF	A	Dividend	K	T	Buy	07/26/12	K		
318. -Vanguard Mega Cap ETF	A	Dividend	K	T	Buy	06/12/12	K		
319. -Vanguard High Dividend Yield ETF	A	Dividend	K	T	Buy	06/12/12	K		
320. -Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Buy	07/26/12	K		
321. -Vanguard Index FDS S & P 500 ETF	A	Dividend	K	T	Buy	07/26/12	K		
322. -Vanguard Index Funds Vanguard Growth ETF	A	Dividend	K	T	Buy	07/26/12	K		
323. -Vanguard Index Funds Vanguard Growth ETF	A	Dividend	K	T	Buy	07/26/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.									
325. (H) Brokerage Account #3 (41)									
326. -iShares Trust Core S & P Mid-Cap ETF	A	Dividend	K	T	Buy	8/1/2012	K		
327. -iShares Trust Russell 2000 Index Fund	A	Dividend	K	T	Buy	8/1/2012	K		
328. -Vanguard Dividend Appreciation ETF	A	Dividend	K	T	Buy	8/1/2012	K		
329. -Vanguard Mega Cap ETF	A	Dividend	K	T	Buy	06/12/12	K		
330. -Vanguard High Dividend Yield ETF	A	Dividend	K	T	Buy	06/12/12	K		
331. -Vanguard FTSE Emerging Markets ETF	A	Dividend	K	T	Buy	8/1/2012	K		
332. -Vanguard Index FDS S & P 500 ETF	A	Dividend	L	T	Buy	8/1/2012	L		
333. -Vanguard Index Funds Vanguard Growth ETF	A	Dividend	K	T	Buy	8/1/2012	K		
334. -Vanguard Total Stock Mkt ETF	A	Dividend	L	T	Buy	8/1/2012	L		
335.									
336. (H) Brokerage Account #4 (40)									
337. -JP Morgan Income Builder Class C	A	Dividend	L	T	Buy	08/01/12	L		
338. -Principal Global Diversified Income Fund	A	Dividend	L	T	Buy	08/02/12	L		
339. -Thornburg Income Builder Fund	A	Dividend	K	T	Buy	08/02/12	K		
340.									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$250,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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341. (H) Brokerage Account #5 (52)									
342. -FMI Large Cap Fund	A	Dividend	K	T	Buy	05/18/12	K		
343. -iShares MSCI EAFE Index Fund	A	Dividend	J	T	Buy	09/18/12	J		
344. -John Hancock Disciplined Value Mid Cap Fund	A	Dividend	J	T	Buy	05/18/12	J		
345. -Mainstay ICAP International Fund	A	Dividend	K	T	Buy	05/18/12	K		
346. -Manning & Napier Fund World Opportunities SRS	A	Dividend	K	T	Buy	05/18/12	K		
347. -Prudential Jennison Mid-Cap Growth Fund	A	Dividend	K	T	Buy	05/18/12	K		
348. -RiverPark/Wedgewood Retail Fund	A	Dividend	K	T	Buy	05/18/12	K		
349. -SPDR S & P 500 ETF	A	Dividend	K	T	Buy	05/18/12	K		
350. -JP Morgan Core Bond Fund	A	Dividend	J	T	Buy	05/18/12	J		
351. -SPDR Barclays 1-3 Month T-Bill ETF	A	Dividend	J	T	Buy	11/13/12	J		
352. -Franklin Templeton Global Bond Fund	A	Dividend	J	T	Buy	05/18/12	J		
353. -Vanguard Bond Index Fund Inc. Total Bond Mkt ETF	A	Dividend	K	T	Buy	05/18/12	K		
354. -Virtus Multi-Sector Short Term Bond Fund	A	Dividend	J	T	Buy	05/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy L. Waldor

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544