

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, David E.	2. Court or Organization Eastern District of California	3. Date of Report 10/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Recalled Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Bankruptcy Court 501 I Street, Suite 3-200 Sacramento, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee of Family Trust. Assets reported in Part VII

2.

3.

4.

5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. California Bankruptcy Forum	May 14, 2015 to May17, 2015	Monterey, California	Participation in Bankruptcy Programs for professionals	3 nights lodging and some meals
2.				
3.				
4.				
5.				

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMR IRA									
2. 1. American Electric Power Co	A	Dividend	J	T					
3. 2. Berkshire Hathaway Inc		None	J	T					
4. 3. BP PLC ADS	A	Dividend	J	T					
5. 4. Chico Fas Inc.	A	Dividend	J	T					
6. 5. Clorox Co De	A	Dividend	J	T					
7. 6. Emerson Electric Co	A	Dividend	J	T					
8. 7. General Mills Inc.	A	Dividend	J	T					
9. 8. Hawaiian Electric Ind	A	Dividend	J	T					
10. 9. Nestle Spon ADR Reg Shr	A	Dividend	J	T					
11. 10. Pepsico Inc	A	Dividend	J	T					
12. 11. PG&E Corporation	A	Dividend	J	T					
13. 12. Plum Creek Timber	A	Dividend	J	T					
14. 13. Procter & Gamble	A	Dividend	J	T					
15. 14. Target Corp	A	Dividend	J	T					
16. 15. M/S Bank	A	Interest	J	T					
17. DER IRA (see Part VIII)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 1. M/S Bank	A	Interest	K	T					
19. 2. Alerian MLP ETF		None			Sold	02/11/15	J	B	
20. 3. Cheniere Energy Inc New		None	J	T	Buy	02/11/15	J		
21. 4. Cheniere Energy Partners LP	A	Distribution	J	T	Buy	02/11/15	J		
22. 5. CPFL Energia S.A.		None			Sold	02/11/15	J		Loss on sale
23. 6..Energy Trans Eqty LP	A	Distribution	J	T	Buy	02/11/15	J		
24. 7. Health Care Sel Sect SPDR FD	A	Dividend			Sold	02/11/15	J	B	
25. 8.Industrial Sel Sect SPDR FD		None			Sold	02/11/15	J	B	
26. 9.Markwest Engy Ptnrs LP	A	Distribution			Buy	02/11/15	J		
27. 10.Markwest Engy Ptnrs LP		None			Redeemed	12/04/15	J		Exchange for MPLX (below)
28. 11. MPLX Com Unit Rep Ltd		None	J	T	Open	12/04/15	J		Exchange for Markwest abov
29. 12. Summit Midstream Ptnrs LP	A	Distribution	J	T	Buy	02/11/15	J		
30. 13. ISHARES Cohen & Steers REIT ETF		None			Sold	02/11/15	J	B	
31. 14. ISHARES High Divd ETF		None			Sold	02/11/15	J	B	
32. 15. ISHARES IBoxx Invest Gr Cor Bd	A	Distribution	J	T	Buy	02/11/15	J		
33. 16. ISHARES Intl Sel Divd ETF		None			Sold	02/11/15	J		Loss on sale
34. 17. ISHARES Micro-Cap ETF	A	Dividend	J	T	Buy	02/11/15	J		

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35. 18. ISHARES S&P 500 GRWTH ETF	A	Dividend	J	T	Buy	02/11/15	J		
36. 19. ISHARES S&P 500 VAL ETF	A	Dividend	J	T	Buy	02/11/15	J		
37. 20. ISHARES S&P Mid-Cap 400 G ETF	A	Dividend	J	T	Buy	02/11/15	J		
38. 21. ISHARES Mid-Cap 400 V ETF	A	Dividend	J	T	Buy	02/11/15	J		
39. 22. ISHARES S&P Midcap 400 Index		None			Sold	02/11/15	K	D	
40. 23. ISHARES S&P Smallcap 600 Index		None			Sold	02/11/15	J	D	
41. 24. ISHARES US Technology ETF		None			Sold	02/11/15	J	B	
42. 25. S & P 500 Index FD		None			Sold	02/11/15	J	B	
43. 26. SPDR DJ Wilshire Global REA	A	Dividend	J	T	Buy	02/11/15	J		
44. 27. Vanguard FTSE Developed Mkts	A	Dividend	J	T	Buy	02/11/15	J		
45. 28. Vanguard FTSE Pacific ETF	A	Dividend	J	T	Buy	02/11/15	J		
46. 29. Vanguard Sm Cap Growth ETF	A	Dividend	J	T	Buy	02/11/15	J		
47. 30. Vangurard Sm Cap Value ETF	A	Dividend	J	T	Buy	02/11/15	K		
48. 31. Vanguard Total Bond Market	A	Dividend	J	T	Buy	02/11/15	J		
49. 32. Wisdom Tree Europe Hedged Equit	A	Dividend	J	T	Buy	02/11/15	J		
50. 33. AQR Managed Futures Strategy I	B	Dividend	K	T	Buy (add'l)	02/11/15	J		Reinvest Cap Gains & Divds
51. 34. Blackrock Multi-Asset Inc Inst	A	Dividend	K	T	Buy	02/11/15	K		Reinvest Cap Gains & Divds

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52. 35. Columbia Acorn Z		None			Sold	02/11/15	K	B	
53. 36. Columbia Select LG CP Growth Z	A	Distribution	K	T	Buy	02/11/15	K		Reinvest Cap Gains
54. 37. First Eagle Global I	A	Dividend	J	T	Sold (part)	02/11/15	J	B	Reinvest Cap Gains & Divds
55. 38. First Eagle Gold I		None			Sold	02/11/15	J		Loss on sale
56. 39. Goldman Sachs N-11 Equity		None			Sold	02/11/15	J		Loss on sale
57. 40. Goldman Sachs Strategic Inc I	A	Dividend	K	T	Buy	02/11/15	K		Reinvest Dividends
58. 41. Good Harbor US Tactical Core I		None			Sold	02/11/15	J		Loss on sale
59. 42. Mainstay High Yield Opport I	A	Dividend			Buy (add'l)	02/11/15	J		Reinvest Dividends
60. 43. Mainstay High Yield Opport I					Sold	05/04/15	J		Loss on sale
61. 44. Oppenheimer Intl Smll-Mid Co Y	A	Dividend	J	T	Sold (part)	02/11/15	J	B	Reinvest Dividends
62. 45. Oppenheimer Sr Floating Rate Y	A	Dividend			Sold	02/11/15	J		Reinvest Div Loss on sale
63. 46. Pimco Emerging Local Bd P	A	Dividend			Sold	02/11/15	J		Reinvest Div Loss on Sale
64. 47. Pioneer Mlti Ast Ult Sht Inc Y	A	Dividend			Sold	02/11/15	K		Reinvest Div Loss on sale
65. 48. Pioneer Strategic Income Y	A	Dividend			Sold	02/11/15	K		Reinvest Div Loss on sale
66. 49. Prudential Total Return Bd Z	A	Dividend	K	T	Sold (part)	02/11/15	J		Reinvest Div Loss on sale
67. 50. Templeton Global Bd Fd Adv		None			Sold	02/11/15	J		Loss on sale
68. 51. Templeton GLB Total Return Adv	A	Dividend	J	T	Buy	02/11/15	J		Reinvest Dividends

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69. 52. Tortoise Mlp & Pipeline Inst	A	Dividend			Buy	02/11/15	J		Reinvest Dividends
70. 53. Tortoise Mlp & Pipeline Inst					Sold	05/04/15	J	A	
71. CASH FUNDS									
72. 1. American Express Savings	B	Interest	M	T					
73. 2. Golden 1 Credit Union	A	Int./Div.	J	T					
74. 3. Safe Credit Union	A	Int./Div.	J	T					
75. 4. Schools Financial Credit Union	A	Int./Div.	K	T					
76. FAMILY TRUST									
77. 1. M/S Bank & Money Mkt Fds	A	Interest	L	T					
78. 2. 3M Company	A	Dividend	J	T					
79. 3. Abbott Laboratories	A	Dividend	J	T					
80. 4. Abbvie Inc Com	A	Dividend	J	T					
81. 5. Aflac Incorporated		None	J	T	Buy	12/02/15	J		
82. 6. Alphabet Inc Cl A (see no.		None	J	T					name change fr Google Cl A
83. 7. Alphabet Inc Cl C (see no.		None	J	T					name change fr Google Cl C
84. 8. Altria Group Inc	A	Dividend	J	T					
85. 9. American Tower REIT Com	A	Distribution	J	T					

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86. 10. Anadarko Petroleum	A	Dividend	J	T					
87. 11. Apple Inc		None	J	T	Buy	11/13/15	J		
88. 12. Arthur J. Gallagher	A	Dividend	J	T					
89. 13. AT&T	A	Dividend	J	T					
90. 14. Atmos Energy	A	Dividend	J	T					
91. 15. Berkshire Hathaway B		None	K	T					
92. 16. Catapillar Inc	A	Dividend	J	T					
93. 17. Celgene Corp		None	J	T					
94. 18. Chevron Corp	A	Dividend	J	T					
95. 19. Cincinnati Financial	A	Dividend	J	T					
96. 20. Conoco Phillips	A	Dividend	J	T					
97. 21. Costco Wholesale Corp New	A	Dividend	J	T					
98. 22. CSX Corp	A	Dividend	J	T	Buy	11/13/15	J		
99. 23. CVS Health Corp Com		None	J	T	Buy	11/13/15	J		
100. 24. Dow Chemical Company	A	Dividend	J	T					
101. 25. Duke Energy Corp	A	Dividend	K	T					
102. 26. Eli Lilly & Co	A	Dividend	J	T					

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103. 27. Ford Motor Co New		None	J	T	Buy	12/02/15	J		
104. 28. Frontier Communications Corp	A	Dividend	J	T					
105. 29. Google Inc Cl A (see item 6 above)		None							Name change to Alphabet
106. 30. Google Inc-Cl C (see item 7 above)		None							Name change to Alphabet
107. 31. Halyard Health		None	J	T					
108. 32. Health Care REIT (see item 62 below)	A	Dividend							Exchg for Welltower Inc
109. 33. Home Depot Inc	A	Dividend	J	T					
110. 34. Johnson & Johnson	A	Dividend	J	T					
111. 35. Kimberly Clark Corp	A	Dividend	J	T					
112. 36. Kinder Morgan Incorp	A	Dividend	J	T					
113. 37. Kraft Heinz Co	A	Dividend							see line above
114. 38. Leucadia Nat CP	A	Dividend			Sold	12/02/15	J		Loss on sale
115. 39. LinkedIn Corp		None	J	T	Buy	05/01/15	J		
116. 40. Lowes Companies Inc	A	Dividend	J	T					
117. 41. MercadoLibre Inc	A	Dividend	J	T					
118. 42. MetLife Incorporated		None	J	T	Buy	12/02/15	J		
119. 43. Microsoft Corp		None	J	T	Buy	12/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Russell, David E.

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10/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. 44. Mondelez Intl Inc Com	A	Dividend	J	T					
121. 45. Nextera Energy Inc Com	A	Dividend	K	T					
122. 46. Novartis AG ADR	A	Dividend	J	T					
123. 47. Otter Tail Corp	A	Dividend	J	T					
124. 48. Phillip Morris Intl Inc	A	Dividend	J	T					
125. 49. Phillips 66 Com	A	Dividend	J	T					
126. 50. Rackspace Hosting Inc Com		None			Sold	12/02/15	J		Loss on sale
127. 51. Royal Dutch Shell PLC CL B	A	Dividend			Sold	12/02/15	J		Loss on sale
128. 52. Spectra Energy Corp	A	Dividend	J	T					
129. 53. Stratasys LTD SHS		None			Sold	02/05/15	J		Loss on sale
130. 54. Sysco Corp	A	Dividend	J	T					
131. 55. Time Inc	A	Dividend	J	T					
132. 56. Time Warner Cable Inc New	A	Dividend	K	T					
133. 57. Time Warner Inc New	A	Dividend	J	T					
134. 58. TJX COS Inc New	A	Dividend	L	T					
135. 59. USG Corp New		None	J	T					
136. 60. Verizon Communications	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Russell, David E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. 61. Waste Connections	A	Dividend	J	T					
138. 62. Welltower Inc (see item 32 above)	A	Dividend	J	T	Open	09/30/15	J		Exchng frm Healthcare REIT
139. 63. Blackrock Cal Muni Inc Trust	A	Int./Div.	K	T	Buy (add'l)	02/05/15	J		
140. 64. IShares MSCI EAFE ETF	A	Dividend	J	T					
141. 65. IShares Emerging Mkts ETF	A	Dividend	J	T					
142. 66. Prospect Capital Corp	A	Distribution	J	T					
143. 67. SPDR S & P Emerging Asia Pacif	A	Dividend	J	T					
144. 68. SPDR S & P Intl Utilities Sect	A	Dividend			Sold	12/02/15	J		Loss on sale
145. 69. The Technology Sel Sec SPDR FD	A	Dividend	K	T					
146. 70. California St Genl Oblig Bds	A	Interest	K	T					
147. 71. Columbia Select Smllr Cp Val A	A	Dividend	J	T					
148. 72. Franklin CA Tax Free Income Fd	A	Dividend	J	T					
149. 73. Franklin Mutual Global Discovery A	A	Dividend	J	T					
150. 74. Thornburg CA Ltd Term Muni A Fd	A	Dividend	J	T					
151. 75. Rental Property, Lincoln, CA	E	Rent	N	W					
152. 89. Netgear		None			Sold	05/04/15	J		Loss on sale
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 17. The DER IRA is managed by our broker. We have limited control over the investments.

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Name of Person Reporting

Russell, David E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David E. Russell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544