

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VRATIL, KATHRYN H.	2. Court or Organization DISTRICT OF KANSAS	3. Date of Report 5/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 STATE AVENUE, SUITE 529 KANSAS CITY, KANSAS 66101-2435		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Director	The Rehabilitation Institute Foundation
4.	Director	Federal Judges Association
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

AT&T pension

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Judges Association

May 3

Washington, DC

Board of Directors meeting

Lodging and Subsistence.

2. Duke University Law School

June 5-6

Raleigh, NC

LLM Program for Judges
(speaker)

Travel, Lodging and Subsistence

3. Duke University Law School

September 10-12

Washington, DC

Conference on Best MDL
Practices

Travel, Lodging & Subsistence

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	National Education	Student Loans	K
2.	Citibank NY State	Student Loans	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	B	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. GNMA PL	A	Interest	J	T	Matured (part)	01/15/14	J		
4.					Matured (part)	02/15/14	J		
5.					Matured (part)	03/15/14	J		
6.					Matured (part)	04/15/14	J		
7.					Matured (part)	05/15/14	J		
8.					Matured (part)	06/15/14	J		
9.					Matured (part)	07/15/14	J		
10.					Matured (part)	08/15/14	J		
11.					Matured (part)	09/15/14	J		
12.					Matured (part)	10/15/14	J		
13.					Matured (part)	11/15/14	J		
14.					Matured (part)	12/15/14	J		
15. Orthologic Corp. (IRA)		None	J	T					
16. California Amplifier (IRA)		None	J	T					
17. iShares MSCI Emrg Mkt Fd Emerging Markets Indx (IRA)	A	Dividend	J	T	Buy	07/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18.					Buy	12/26/14	J		
19. Schwab Cash Reserves	A	Dividend	J	T					
20. Schwab Cash Reserves (IRA)	A	Interest	J	T					
21. Schwab 1 Cash Acct	A	Interest	J	T					
22. Schwab Cash Reserves Sweep Shares (Trust #1)	A	Dividend	K	T					
23. Technology Select Sector SPDS a/k/a Sector	A	Dividend	K	T					
24. Vanguard Total Stock Mkt	A	Dividend	K	T	Sold (part)	01/17/14	J	B	
25.					Sold (part)	03/18/14	J	D	
26.					Sold (part)	06/03/14	J	C	
27.					Sold (part)	06/30/14	K	D	
28. Schwab Bank	A	Interest	J	T					
29. Schwab Bank Deposit	A	Interest	J	T					
30. Commerce Bank (Trust #2) (X)		None			Open				
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36.									
37.									
38.									
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40.									
41.									
42.									
43.									
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49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 30: Account at Commerce Bank (Trust #2) was opened on March 9, 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ KATHRYN H. VRATIL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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