

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ball, Franklin K.	2. Court or Organization U.S. District Court - Mississippi Southern District	3. Date of Report 07/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court Jackson Federal Courthouse 501 East Court Street, Suite 5.150 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citi Bank - Visa	Credit Card	J
2.	Discover Card	Credit Card	J
3.	USAA Mastercard	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Checking Account #1	A	Interest	J	T					
2. Solomon Financial Fidelity Investments #1		None							
3. - FCASH Account	A	Dividend	K	T					
4. Charles Schwab Investment Account (IRA) #1		None							
5. - Intel Corporation	A	Dividend			Sold	07/18/13	J	A	
6. - LSI Corporation	A	Dividend	J	T					
7. - Standard PAC Corp New	A	Dividend	J	T					
8. -Kinder Morgan Inc.	A	Dividend	J	T	Buy	12/16/13	J		
9. 25% interest in Brier Creek Investments, LLC	B	Distribution	M	W					
10. Mortgage Company Escrow Accounts for Insurance and Taxes		None	J	W					
11. Stephen's Investment Account [REDACTED] #1		None							
12. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
13. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
14. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
15. Stephen's Investment Account [REDACTED] #2		None							
16. - American Funds Grwoth Fund of America Class A	A	Dividend	J	T					
17. -American Funds New Perspective Fund Class A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - American Funds Washington Mutual Fund Class A	A	Dividend	K	T					
19. Stephen's Investment Account #3		None							
20. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
21. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
22. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
23. Stephen's Investment Account #4		None							
24. - American Funds Growth Fund of America Class A	A	Dividend	K	T					
25. - American Funds New Perspective Fund Class A	A	Dividend	K	T					
26. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
27. Stephen's Investment Account #5		None							
28. - American Funds Growth Fund of America Class A	A	Dividend	J	T					
29. - American Funds New Perspective Fund Class A	A	Dividend	J	T					
30. - American Funds Washington Mutual Fund Class A	A	Dividend	J	T					
31. Denbury Onshore Minerals located in Madison County, MS	B	Royalty	J	W					
32. Bankplus Checking Account #1	A	Interest	J	T					
33. Solomon Financial Advisors - Rollover IRA		None							
34. - Fidelity Cash Reserve	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Eaton Vance Floating Rate Fund	A	Dividend	J	T					
36. - Mainstay High Yield Fund	A	Dividend	J	T	Sold (part)	10/30/13	J	A	
37. - Templeton Global Bond Fund	A	Dividend	J	T	Sold (part)	04/22/13	J	A	
38. - American Cent Equity Growth Fund	A	Dividend	J	T					
39. - Janus Research Fund	A	Dividend	J	T	Sold (part)	04/23/13	J	A	
40. - JPMorgan Disciplined Equity A Fund	A	Dividend	J	T	Sold (part)	04/23/13	J	A	
41. - T Rowe Price Healthcare Fund	A	Dividend	J	T	Sold (part)	04/23/13	J	A	
42. - TIAA-CREF Growth & Income Inst Fund	A	Dividend	J	T					
43. - Oppenheimer Developing Markets Y Fund	A	Dividend	J	T	Sold (part)	07/31/13	J	A	
44. - Oppenheimer International Growth Y Fund	A	Dividend	J	T					
45. - Thornburg Int'l Value A Fund	A	Dividend			Sold	07/30/13	J	A	
46. -Columbia Acorn Fund	A	Dividend	J	T	Sold (part)	04/23/13	J	A	
47. - Rainier Mid Cap Equity Fund	A	Dividend			Sold	04/23/13	J	A	
48. - Pimco Commodity Real Return Fund	A	Dividend			Sold	12/10/13	J	A	
49. - ING Global Real Estate Fund	A	Dividend	J	T	Buy (add'l)	04/23/13	J		
50.					Buy (add'l)	10/30/13	J		
51. - AM Century Real Estate	A	Dividend	J	T	Buy	04/22/13	J		

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52. - Principal Midcap Blend	A	Dividend	J	T	Buy	04/23/13	J		
53. - Teton Westwood Mighty	A	Dividend	J	T	Buy	04/23/13	J		
54. - MFS International Value	A	Dividend	J	T	Buy	07/31/13	J		
55. - Pioneer Short Term Inc	A	Dividend	J	T	Buy	10/30/13	J		
56. - Pimco Commodities Plus Strategy	A	Dividend	J	T	Buy	12/12/13	J		
57. - Pimco Foreign Bond Fund	A	Dividend	J	T	Buy	01/31/13	J		
58. - Pimco Emerging Markets Fund	A	Dividend	J	T	Buy	01/31/13	J		
59. - Loomis Sayles Inv Grade Bond	A	Dividend			Buy	02/04/13	J		
60.					Sold	10/30/13	J	A	
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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69.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Franklin K. Ball**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544