

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gibson, Kim R.	2. Court or Organization U.S. District Court, WDPA	3. Date of Report 04/1/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 104 Penn Traffic Building 319 Washington Street Johnstown, PA 15901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Board of Counselors, Penn State University Dickinson School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978	Somerset County Employees Retirement Plan - pension received each month beginning in 2001.
2. 1998	Pennsylvania State Employees Retirement Plan - pension received each month beginning in 2003; also medical insurance is provided under the plan.
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Somerset County Employees Retirement Plan (county pension)	\$11,101.00
2. 2013	Pennsylvania State Employees Retirement Plan (state pension)	\$17,170.00
3. 2013	Penn State Dickinson School of Law (teaching salary)	\$24,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Penn State University Dickinson School of Law	2/8-11/2013 and 10/25-28/2013	University Park , PA	Mock Trial Competition	Lodging , meals
2. Academy of Trial Lawyers of Allegheny County	2/20-22/2013	Pittsburgh , PA	Mock Trial Competition	Lodging
3. Allegheny County Bar Association	6/20-22/2013	Champion , PA	Bar Association Conference	Lodging , meals
4. Academy of Trial Lawyers of Allegheny County	10/2-4/2013	Farmington, PA	Legal Conference	Lodging , meals
5. Penn State University Dickinson School of Law	9/227-28/2013	Carlisle , PA	Law School Bd. of Counselors Meeting	Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	USAA Bank	Line of unsecured credit	K
2.	Citi Bank	Line of unsecured credit	K
3.	Bank of America	Line of unsecured credit	K
4.	Chase Bank	Line of unsecured credit	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank - IRA Account	A	Interest			Redeemed	08/27/13	J	A	
2. Somerset Trust Company (assets - lines 6-9,11-16)	C	Interest	K	T					
3. Nationwide Life Insurance/Universal Life	A	Int./Div.	J	T					
4. AAFMAA Life Insurance/Whole Life	A	Int./Div.	K	T					
5. Prudential Life Insurance/Whole Life	A	Int./Div.	J	T					
6. Real Estate/Residential Lot/Somerset PA, appraisal 4/29/2010		None	K	Q					See note in part VIII
7. Federated GNMA Trust #16	A	Int./Div.	J	T					
8. Federated Stock Trust #22	A	Int./Div.			Sold	07/22/13	J	A	See note in part VIII
9. Federated Growth Fund #656	A	Int./Div.			Sold	07/22/13	J	A	See note in part VIII
10.									
11. Prime Obligations Fund Principal #10	A	Interest	J	T					
12. Somerset Trust Company Accounts	A	Interest	J	T					
13. USAA Money Market/Savings Accounts	A	Int./Div.	J	T					
14. Fed. MDT Small Cap Growth #284	A	Int./Div.	J	T					See note in part VIII
15. Fed. MDT Large #269	A	Int./Div.	J	T					See note in part VIII
16. Fed. InterCont. Fund #176	A	Int./Div.	J	T					See note in part VIII
17. USAA Emerging Markets Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. USAA First Start Growth Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, page 4, line 8 - formerly known as Federated Stoct Trust #19.
- 2) Part VII, page 4, line 9 - formerly known as Federated Growth Fund #48.
- 3) Part VII, page 4, line 14 - formerly known as Fed.MDT Small Cap Growth #282.
- 4) Part VII, page 4, line 15 - formerly known as Fed.MDT Large #265.
- 5) Part VII, page 4, line 16 - formerly known as Fed.InterCont Fund #169.
- 6) Part VII, page 4, line 6 - appraisal by trustee - 4/29/10.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Gibson, Kim R.

Date of Report

04/1/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kim R. Gibson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544