

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 11/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

TEILBORG, JAMES A.

Date of Report

11/13/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. LIBERTY UNIVERSITY	APRIL 1-3, 2016	LYNCHBURG, VA	MOOT COURT	TRANSPORTATION, HOTEL AND MEALS
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

TEILBORG, JAMES A.

Date of Report

11/13/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

TEILBORG, JAMES A.

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11/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ++++RAW LAND, (2000, \$79,000.)		None	L	R					
2. (H) PACIFIC LIFE VARIABLE UNIVERSAL LIFE									
3. ---FIXED ACCOUNT-PACIFIC LIFE		None	M	T					
4. THERMOSURGERY, INC.		None	J	T					
5. (H) NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
6. --- NVIT MID CAP INDEX I		None	K	T					
7. --- DREY VIF APPRECIATION PT		None	K	T					
8. --- OPP MIDCAP/VA NSS		None	J	T					
9. --- OPP MS ST/VA NSS		None	K	T					
10. --- JNS ASP OVERSEAS SERVICE		None	J	T					
11. --- NVIT MULT-MGR MID CAP GROWTH		None	J	T					
12. (H) EQUITABLE LIFE POLICY									
13. --- EQ/ INTERMEDIATE GOVERNMENT BOND		None	J	T					
14. --- EQ/ BLACK ROCK BASIC VALUE EQUITY		None	K	T					
15. --- EQ/ MID CAP INDEX		None	K	T					
16. --- EQ/ LARGE CAP CORE PLUS		None	J	T					
17. ---AXA LARGE CAP GROWTH		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --- AXA INTERNATIONAL CORE PLUS		None	J	T					
19. ---CHARTER SMALL CAP VALUE		None	K	T					
20. [REDACTED] INC.		None	L	U					
21. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2013-2016A (NO CONTROL)	A	Int./Div.	J	T	Redeemed (part)	02/15/16	J	A	
22. JOHN HANCOCK FREEDOM 529, PORTFOLIO A, (NO CONTROL)	A	Int./Div.	J	T					
23. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					
24. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					
25. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2021-20524A (NO CONTROL)	A	Int./Div.	J	T					
26. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
27. (H) LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
28. ---AF BL CHP INCOME & GROWTH		None	L	T					
29. ---AF GROWTH		None	M	T					
30. ---AF GRWTH-INC		None	L	T					
31. ---AF GBLB SM CAP		None	K	T					
32. ---AF INT'L		None	L	T					
33. --- AF NEW WORLD		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. --- GLOBAL GROWTH-INCOME		None	K	T					
35. ---AF GLOBAL GROWTH		None	L	T					
36. --- BOND		None	K	T					
37. --- GLOBAL BOND		None	J	T					
38. --- GOV'T/AAA SECURITIES		None	K	T					
39. --- HIGH INCOME BOND		None	J	T					
40. AXA EQUITABLE WHOLE LIFE INSURANCE	A	Int./Div.	J	T					
41. GENERAL AMERICAN WHOLE LIFE INSURANCE POLICY	A	Int./Div.	J	T					
42. BANK OF AMERICA NA, RASP	A	Int./Div.	L	T					
43. ISHARES MBS ETF	B	Int./Div.	L	T	Sold (part)	01/06/16	J		
44.					Sold (part)	03/28/16	J	A	
45. (H) PRUDENTIAL RETIREMENT B SERIES ANNUITY									
46. ---AST ACADEMIC STRATEGIES ASSET ALLOCATION		None	L	T					
47. ---AST GOLDMAN SACHS MULTI-ASSET		None	L	T					
48. ---AST BLACKROCK GLOBAL STRATEGIES PORT.		None	L	T					
49. ---AST SCHRODERS GLOBAL TACTICAL PORTFOLIO		None	L	T					
50. ISHARES IBOX \$ INV GRADE CORP BD FD	A	Dividend	K	T	Sold (part)	01/06/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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TEILBORG, JAMES A.

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11/13/2017

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51.					Sold (part)	03/28/16	J	A	
52. VANGUARD INTERMEDIATE TERM BOND ETF	B	Dividend	L	T	Sold (part)	01/06/16	J		
53.					Sold (part)	03/28/16	J		
54.					Buy (add'l)	06/22/16	J		
55. VANGUARD SHORT TERM BOND	A	Dividend	K	T	Sold (part)	01/06/16	J		
56.					Sold (part)	03/28/16	J	A	
57.					Sold (part)	06/22/16	K	A	
58. POWERSHARES GLOBAL (EFF. 10/01 BECAME POWERSHARES EM S SOVEREIGN BOND)	B	Dividend	K	T	Sold (part)	01/06/16	J		
59.					Sold (part)	03/28/16	J		
60.					Buy (add'l)	06/22/16	J		
61. ISHARES 3-7 YEAR TREASURY BOND ETF	A	Dividend	J	T	Sold (part)	01/06/16	J	A	
62.					Sold (part)	03/28/16	J	A	
63.					Buy (add'l)	06/22/16	J		
64. LEASEHOLD IMPROVEMENT, SPRINGERVILLE, AZ (2015, \$55,000.)		None	L	R					
65. VANGUARD GROWTH ETF	C	Dividend	M	T	Sold (part)	01/06/16	J	A	
66.					Sold (part)	03/28/16	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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TEILBORG, JAMES A.

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67.					Sold (part)	05/18/16	K	A	
68.					Sold (part)	06/22/16	J	A	
69. ISHARES INC CORE MSCI EMERG MKTS ETF	A	Dividend	K	T	Sold (part)	03/28/16	J		
70.					Buy (add'l)	05/19/16	K		
71.					Sold (part)	06/22/16	J		
72. VANGUARD VALUE ETF	D	Dividend	M	T	Sold (part)	01/06/16	J	A	
73.					Sold (part)	03/28/16	K	B	
74.					Buy (add'l)	05/18/16	K		
75.					Sold (part)	06/22/16	J	B	
76. POWERSHARES PREFERRED PORTFOLIO	A	Dividend	J	T	Sold (part)	01/06/16	J	A	
77.					Sold (part)	03/28/16	J	A	
78. ISHARES MSCI EAFE	C	Dividend	L	T	Sold (part)	03/28/16	J		
79.					Sold (part)	05/18/16	K		
80.					Buy (add'l)	06/22/16	J		
81. ISHARES TIPS BOND ETF	A	Dividend	K	T	Sold (part)	01/06/16	J		
82.					Sold (part)	03/26/16	J	A	
83.					Buy (add'l)	06/22/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

TEILBORG, JAMES A.

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84. VANGUARD SMALL CAP VALUE ETF	A	Dividend	K	T	Sold (part)	03/28/16	J		
85.					Buy (add'l)	05/18/16	J		
86.					Sold (part)	06/22/16	J	A	
87. VANGUARD SMALL CAP GROWTH ETF	A	Dividend	K	T	Sold (part)	01/06/16	J		
88.					Sold (part)	03/28/16	J		
89.					Sold (part)	05/18/16	J		
90.					Sold (part)	06/22/16	J		
91. SPDR BARCLAYS HIGH YIELD BOND ETF	A	Dividend			Sold (part)	03/28/16	J		
92.					Sold	06/22/16	J		
93. MARKET VECTORS J.P.MORGAN EM LOCAL CURRENCY BOND ETF	A	Dividend	J	T	Sold (part)	03/28/16	J	A	
94.					Buy (add'l)	06/22/16	J		
95. ISHARES IBOXX HIGH YIELD CORP BD	A	Dividend	J	T	Buy	06/22/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

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Date of Report

11/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

TEILBORG, JAMES A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **JAMES A. TEILBORG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544