

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Godbey, David C.	2. Court or Organization Northern District of Texas	3. Date of Report 08/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. District Judge 1100 Commerce Street Room 1504 Dallas Tx 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Agent	Agent, See Part VII Lines 13-30
2. Executor	Executorship, see Part VII Lines 31-56
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Self employed, attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMorgan Chase Bank N A	Line of credit	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - Retirement	D	Dividend	O	T					
2. -Vanguard Institutional Index Fund					Buy (add'l)	06/30/13	K		
3. -Vanguard Short Term Investment Grade Fund ADM Class					Buy (add'l)	06/30/13	J		
4. UBS Bank USA Dep Acct		None			Closed	03/08/13	M		
5. JPMorgan Chase Bank Account	A	Interest	L	T					
6. Vanguard Wellington Fund Admiral	D	Dividend	M	T					
7.					Buy (add'l)	03/08/13	M		
8.					Sold (part)	04/15/13	L	C	
9.					Sold (part)	07/15/13	L	D	
10.					Sold (part)	11/26/13	M	D	
11.					Buy (add'l)	07/26/13	J		
12. JPMorgan Chase Bank Account	A	Interest	K	T	Open	01/03/13	K		
13. Sch Adv Cash Reserve SWZXX	A	Dividend	O	T					
14. DFA One Yr Fixed Inc Portfolio	A	Dividend	L	T					
15. DFA Two Yr Global Fixed Inc Portfolio	A	Dividend	L	T					
16. DFA Emerging Mkts Sm Cap Portfolio	A	Dividend	K	T					
17. DFA Emerging Mkt Value Portfolio	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA Intl Sm Cap Value Prtfolio	B	Dividend	K	T					
19. DFA Intl Value Portfolio	A	Dividend	K	T					
20. DFA Real Estate Sec Portfolio	B	Dividend	K	T					
21. DFA US Lg Cap Value Portfolio	A	Dividend	K	T					
22. DFA US LG Co Portfolio	B	Dividend	L	T					
23. DFA US Targeted Value Portfolio	B	Dividend	K	T					
24. Powershares Common Stcok	A	Dividend	K	T	Sold (part)	06/25/13	J	A	
25. SCHW Intl Eq ETF	C	Dividend	M	T					
26. SCHW US Brd Mkt ETF	B	Dividend	M	T					
27. SPDR Barclays Cap Intl T Bond	B	Dividend	L	T					
28. Vanguard Bond Index Fund	C	Dividend	L	T					
29. Vanguard Energy ETF	B	Dividend	M	T					
30. Vanguard REIT	C	Dividend	M	T					
31. JPMorgan Chase Bank Account	A	Interest	N	T	Open	01/03/13	N		
32. SPDR TR Unit Sr 1	D	Dividend	N	T					
33. SPDR S&PMidcap 400ETF Tr U	C	Dividend	N	T					
34. Vanguard ScottsdaleFDS Int-Term Corp	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard Tax-Managed Fnd Eur Pac ETF	D	Dividend	M	T					
36. Scottrade Brokerage account	A	Interest	K	T					
37. Gold & silver coins DOD value 6/18/12		None			Sold	03/05/13	O	D	
38. Riley James Matagorda Prospect LP DOD value 6/18/12	D	Royalty	K	Q					
39. Fergus Oil & Gas LLC DOD value 6/18/12	B	Royalty	J	Q					
40. Harbor Resources LLC DOD value 6/18/12	B	Royalty	J	Q					
41. Harbor Resources LLC DOD value 6/18/12	C	Royalty	J	Q					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 12 through 30 Respondent was agent for [REDACTED] investment accounts during 2013.

Part VII, Lines 31 through 41 Respondent was appointed as Executor of a [REDACTED] estate.

Part VII, Lines 37 through 41 were inadvertently listed as DOD value 6/18/13 instead of 6/18/12. This report reflects the correct dates.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Godbey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544