

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daughtrey, Martha C.	2. Court or Organization 6th Circuit Court of Appeals	3. Date of Report 12/28/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 300 Customs House 701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1975-93	Tennessee State Retirement System (former state judge)
2. 1972-75	TIAA-CREF (former law professor)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Illinois Bar Assn	09/13/17	Chicago, IL	panel	Transportation
2. University of Cincinnati Law School	09/18/17	Cincinnati, OH	speech	Lodging, food
3. Council of State Chief Judges	10/18/17 - 10/19/17	Lexington, KY	speech	Lodging, food
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Financial Corp (stock certificate)	A	Dividend	J	T					
2. Regions Bank (checking accounts)	A	Interest	L	T					
3. Williams Co. (stock)	A	Dividend	K	T					
4. Gannett Spinco, Inc. (stock) (formerly Gannett, Inc.)	A	Dividend	J	T					
5. American Electric Power (stock)	B	Dividend	K	T					
6. Bristol Meyer Co. (stock)	A	Dividend	K	T					
7. J.P. Morgan Chase (stock)	B	Dividend	L	T					
8. Kroger Co. (stock)	A	Dividend	K	T					
9. Chevron Corp. (stock)	E	Dividend	N	T					
10. All State Harbor (annuity)	A	Interest	M	T					
11. TIAA-CREF (stock and annuity)	A	Interest	N	T	Redeemed (part)	12/20/17	J	A	
12. Longleaf Partners (mutual fund)	B	Dividend	M	T					
13. Franklin Mutual Series Beacon Fund (mutual fund)	C	Dividend	M	T					
14. T Rowe Price Stock Fund (mutual fund)	A	Dividend	L	T					
15. Pershing Government Accounts (money market)	A	Interest	K	T					
16. HSBC Holdings (stock)	A	Dividend	J	T					
17. Exxon Mobile (stock)	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. Intel Corp (stock)	B	Dividend	J	T					
19. Oracle Corp (stock)	A	Dividend	J	T					
20. Pioneer Natural Resources, Midland TX	A	Royalty	J	W					
21. Plains Mktg., LP, Houston, TX	A	Royalty	J	W					
22. Dodge & Cox Stock Funds (mutual fund)	A	Dividend	K	T					
23. Federated Cap Res (money market)	A	Interest	M	T					
24. Sequoia Fund (mutual fund)	A	Dividend	L	T					
25. Citigroup (stock)	A	Dividend	J	T					
26. Vanguard Index (mutual fund)	B	Dividend	K	T					
27. Ford Motor Co. (stock)	A	Dividend	J	T					
28. CF Industries (stock)	A	Dividend	J	T					
29. Vanguard Int'l Index (mutual fund)	B	Dividend	K	T					
30. WPX Energy (stock)	A	Dividend	J	T					
31. Manchester UTD (stock)	A	Dividend	J	T					
32. Tegna, Inc. (stock)	A	Dividend	J	T					
33. Dell Tech (stock)	A	Dividend	J	T					
34. Cars.com, Inc. (spinoff from Tegna, Inc.)	A	Dividend	J	T	Spinoff (from line 32)	05/18/17	J		

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35.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 12 of the 2016 report listed Gannett 401 (K) (pension account), which was owned by my husband. That account was closed shortly after his death in May 2016.

Line 19 of the 2016 report list EMC Corp. (stock), but that company merged with Dell Tech, as noted in line 36 of the 2016 report. It was my impression at the time that the new entity would be known as EMC Corp., but it now appears that it is Dell Tech instead.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martha C. Daughtrey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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