

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Randolph, Arthur R.	2. Court or Organization U.S. Court of Appeals/D.C. Cir	3. Date of Report 8/8/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Court of Appeals/D.C. Cir 333 Constitution Avenue, N.W. Washington, D.C. 20001-2866		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Distinguished Adjunct Professor of Law	George Mason University of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3/25/11	University of Oklahoma - teaching	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Pillsbury, Winthrop, Shaw, Pittman
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Benjamin N. Cardozo School of Law	3/12/11 - 3/13/11	New York, New York	BMI Moot Court Finals	transportation and meals
2. University of Oklahoma	3/24/11 - 3/26/11	Norman, Oklahoma	Habeas Corpus Symposium	transportation and meals
3. University of Washington School of Law	5/11/11 - 5/13/11	Seattle, Washington	Shefelman Visiting Jurist-in-Residence Program	transportation and meals
4. Drexel University	11/11/11	Philadelphia, Pennsylvania	Board of Advisors' Meeting	transportation and meal
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(K) - Fidelity Adv. Growth	A	Dividend	J	T					
2. Money Market at Smith Barney	A	Interest	L	T					
3. 401(K) - World Bond Fund - American Funds	A	Dividend	K	T					
4. 401(K) - Pimco Real	B	Dividend	J	T					
5. 401(K) - Pimco High Income	A	Dividend	J	T					
6. 401(K) - Dur. Inc. Fund - Eaton Vance	B	Dividend	K	T					
7. 401(K) - Pimco Real Ret.	A	Dividend	K	T					
8. Pillsbury Retirement Savings Plan	A	Dividend	M	T					
9. ML & Co. Str. ACC - Am Cap.	A	Dividend	K	T					
10. Blackrock Gov't & Cap.	A	Dividend	L	T					
11. Citibank - Savings	C	Interest	K	T					
12. 401(K) - U.S. Gov't - American	A	Dividend	J	T					
13. 401(K) - Short T. Bond - American	A	Dividend	K	T	Sold (part)	01/26/11	J	A	
14. 401(K) - Short T. Bond - American	A	Dividend			Sold (part)	04/21/11	J	A	
15. 401(K) - Eaton Vance - Floating	A	Dividend	J	T					
16. 401(K) Fidelity - Floating	A	Dividend	J	T					
17. 401(K) - Bond Fund - John H. Inv.	A	Dividend			Sold	01/21/11	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 401(K) - Gov't Goldman Sachs	A	Dividend	J	T	Sold (part)	04/27/11	J	B	
19. 401(K) - Adv. Fidelity Int	A	Dividend	J	T					
20. Mutual Fund - NATX- IRA	A	Dividend	L	T	Buy	11/15/11	J		
21. Mutual Fund - First Eagle - IRA	A	Dividend	L	T	Buy	03/01/11	K		
22. Mutual Fund - Calamos Conv - IRA	A	Dividend	K	T					
23. Mutual Fund - Ivy Asset - IRA	A	Dividend	L	T	Buy	03/1/11	L		
24. Mutual Fund - Am Century Bond - IRA	A	Dividend	K	T					
25. Mutual Fund - Eaton Emerge - IRA	A	Dividend	K	T					
26. Mutual Fund - JP Tax Free - JT	A	Dividend	K	T					
27. Mutual Fund - Sun Am - A	A	Dividend			Sold	09/27/11	J	A	
28. Mutual Fund - Eaton Global - A	A	Dividend			Sold	09/14/11	L	A	
29. Mutual Fund - Franklin TOT. - A	A	Dividend	K	T					
30. Mutual Fund - Putnam	A	Dividend	K	T					
31. Mutual Fund - Sun Am Senior	A	Dividend	K	T					
32. Mutual Fund - Eaton Emerge	A	Dividend	K	T					
33. Mutual Fund - Temp. Global	A	Dividend	L	T	Buy	05/04/11	J		
34. Mutual Fund - Eaton Tax Adv	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. 401(K) Mutual Fund - ClearBridge	A	Dividend	K	T					
36. 401(K) - Tortoise MLP	A	Dividend	J	T					
37. 401(K) - EKSPORT Stars	A	Dividend			Sold	04/26/11	J	A	
38. 401(K) - Bank of Am.	A	Dividend	J	T					
39. 401(K) - Pimco Bond C.	A	Dividend	K	T	Buy	04/25/11	K		
40. 401(K) - Dreyfus Int'l Bond	A	Dividend	L	T	Buy	09/14/11	L		
41. 401(K) - Goldman St. Inc.	A	Dividend	K	T	Buy	11/15/11	K		
42. M- Dreyfus Bond Fund	A	Dividend	K	T	Buy	04/14/11	K		
43. M - I Shares Silver	A	Dividend	K	T	Buy	11/15/11	K		
44. 401(K) - JH Rainer	A	Dividend	J	T	Buy	01/12/11	J		
45. 401(K) - Blackrock Cap.	A	Dividend	K	T	Buy	01/12/11	J		
46. 401(K) - Gabelli Gold	A	Dividend	J	T	Buy	01/26/11	K		
47. 401(K) - Am Global Bal.	A	Dividend	J	T	Buy	04/21/11	K		
48. 401(K) - Goldman Equity	A	Dividend	J	T	Buy	04/21/11	J		
49. Jt - JP Morgan Int. Tax	A	Dividend	J	T	Buy	03/25/11	K		
50. Jt - SPDR Gold	A	Dividend			Buy	03/25/11	K		
51. Jt - I Shares Silver	A	Dividend	K	T	Buy	03/25/11	K		

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52. Jt - Barclays PLC	A	Dividend	K	T	Buy	03/25/11	K		
53. Jt - I Shares Silver	A	Dividend			Sold	04/29/11	J	A	
54. Jt - SPDR Gold	A	Dividend			Buy	04/29/11	J		
55. Jt - Eaton Str. Inc.	A	Dividend	K	T	Buy	05/04/11	K		
56. Jt - 1st Eagle Global	A	Dividend	K	T	Buy	05/04/11	K		
57. Jt - Pimco Uncon. Bond	A	Dividend	K	T	Buy	05/04/11	K		
58. Jt - Am Infl. Bond	A	Dividend	K	T	Buy	05/04/11	K		
59. Jt - SPDR Gold	A	Dividend			Sold	09/07/11	K	A	
60.									
61.									
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Arthur R. Randolph**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544