

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harrison, Marian F.	2. Court or Organization U.S. Bankruptcy Court-TN-M	3. Date of Report 5/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court 232 Customs House-701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Treasurer, Executive Committee Member	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NCBJ	3/14/2016 - 3/14/2016	Dallas, TX	Mid-Year Meeting	Transportation, lodging, food
2. NCBJ	10/21/2016 - 10/29/2016	San Francisco, CA	Annual Meeting	Transportation, lodging, food
3. Seigenthaler Moot Court Competition	3/18/2016 - 3/20/2016	Washington, D.C.	Judge of Moot Court Competition	Lodging, food
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual	Insurance Policy Loan	J
2.	American Express	Credit Card	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Coca Cola Stock	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.		None	J	T					
3. John Hancock Policy		None	K	T					
4. Northwestern Mutual Policy - Whole Life	C	Dividend	L	T					
5. Savings Account- U.S. Community Credit Union	A	Interest	J	T					
6. SunTrust Checking Account #1		None	J	T					
7. SunTrust Checking Account #2		None	J	T					
8. UBS Bank USA Dep. Acct. - IRA	A	Interest	J	T					
9. Henderson Global Invest. Int'l Opport. Fund A - IRA	B	Dividend	L	T	Sold (part)	08/23/16	K	D	
10. Fidelity Adv. Strateg. Inc. Fund Class A - IRA	B	Dividend	K	T					
11. UBS Pace Money Mkt. Fund Class P - IRA	A	Dividend	J	T					
12. Oppenheimer Int'l Bond Fd. Class A - IRA	B	Dividend	K	T					
13. Apple, Inc. - IRA	A	Dividend	K	T					
14. Touchstone Mid Cap Growth Fund Class A IRA	A	Dividend	K	T					
15. Alphabet, Inc. - IRA - Class A (formerly known as Google)		None	K	T					
16. Alphabet, Inc. - IRA - Class C (formerly known as Google)		None	K	T					
17. Johnson & Johnson - IRA	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000			
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NY Times Co. - IRA	A	Dividend	J	T					
19. Mainstay Funds Hi Yld Corp. Bond A - IRA	A	Dividend	J	T					
20. Alger Spectra Fund Class A - IRA	A	Dividend	K	T					
21. Parnassus Fund - IRA	A	Dividend	K	T					
22. Blackrock Global Alloc Fund A - IRA	A	Dividend	K	T	Sold (part)	08/23/16	K		
23. Siemens A.G. Spon Adr - IRA	A	Dividend	K	T					
24. Natixis Vaughan Nelson Value Opp Fund Class Y - IRA	A	Dividend	K	T					
25. Sun America Focused Div Strategy Class W - IRA	A	Dividend	K	T					
26. Whole Foods MKT - IRA	A	Dividend	J	T					
27. American Funds Dev Wrld Grwth & Inc.- IRA-Class F-2	A	Dividend	K	T	Buy	08/22/16	K		
28. Lomis Sayles Global Eq Inc.-IRA-Class Y	A	Dividend	K	T	Buy	08/22/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Harrison, Marian F.

Date of Report

5/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian F. Harrison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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