

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, David R.	2. Court or Organization U.S. Bankruptcy Court, Southern District of Texas	3. Date of Report 06/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 515 Rusk Avenue, Room 4505 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2004-2011	Withdrawal provisions of law partnership agreement with Porter Hedges LLP (former firm) - still receiving payments
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2. 2012	Withdrawal payments from law partnership	\$169,254.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Texas School of Law	8/8/12 - 8/10/12	Galveston, Texas	Non-FJC educational seminar	Lodging and meals
2. State Bar of Texas - Bankruptcy Law Section	3/9/12-3/18/12	China	Non-FJC educational seminar	Airfare, meals and lodging
3. The Center for American and International Law	2/22/12 - 2/23/12	New Orleans, Louisiana	Non-FJC educational seminar	Airfare, meals and lodging
4. State Bar of Texas	9/12/12	Houston, Texas	Non-FJC educational seminar	lunch
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BOA Bank Accounts	A	Interest	N	T					
2. Capital One Bank Account	D	Interest	M	T					
3. ING Bank Account	B	Interest	M	T					
4. ING Cap. Allocation Mutual Fund (sep from ING Bank Account)	A	Int./Div.	J	T					
5. Compass Bank Accounts	A	Interest	N	T					
6. Am/Ex Bank Account	A	Interest	M	T					
7. PIMCO Total Return Fund (401k)	B	Int./Div.	L	T					
8. Royce Value Fund (401k)	A	Int./Div.	K	T					
9. Scout International Fund (401k)	A	Int./Div.	J	T					
10. American Funds Mutual Fund (401k)	A	Int./Div.	K	T					
11. Vanguard Div. Growth Fund (401k)	A	Int./Div.	K	T					
12. Vanguard Total Stock Indx. Fund (401k)	A	Int./Div.	K	T					
13. Vanguard Total Bond Mkt. Index Fund (401k) (Prev. Unknown)	A	Int./Div.	K	T					
14. Vanguard Wellington Fund (401k)	A	Int./Div.	L	T					
15. AEP common (IRA)	A	Dividend	J	T					
16. Chevron common (IRA)	A	Dividend	K	T					
17. Conoco Phillips common (IRA)	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Exxon common (IRA)	A	Dividend	K	T					
19. Fedex common (IRA)	A	Dividend	K	T					
20. Royal Dutch common (IRA)	B	Dividend	K	T					
21. Service Corp common (IRA)	A	Dividend	J	T					
22. American Balance Fund (IRA)	B	Int./Div.	L	T					
23. Capital World Growth & Income Fund (IRA)	B	Int./Div.	L	T					
24. Fundamental Investors Fund (IRA)	A	Int./Div.	K	T					
25. Income Fund of America (IRA)	C	Int./Div.	M	T					
26. Templeton Dragon Fund (IRA)	A	Int./Div.	J	T					
27. Washington Mutual Fund (IRA)	A	Int./Div.	L	T					
28. Texas Muncipal Bonds	G	Interest	P1	T	Redeemed (part)	4/1/12	K	A	
29.					Redeemed (part)	3/1/12	L	A	
30.					Redeemed (part)	4/19/12	J	A	
31.					Redeemed (part)	12/19/12	K	B	
32.					Redeemed (part)	4/26/12	L	A	
33.					Redeemed (part)	6/13/12	K	A	
34.					Redeemed (part)	7/6/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Redeemed (part)	7/6/12	L	A	
36.					Redeemed (part)	9/4/12	K	A	
37.					Redeemed (part)	6/13/12	J	A	
38.					Redeemed (part)	2/28/12	J	A	
39.					Redeemed (part)	10/1/12	J	A	
40.					Redeemed (part)	10/1/12	K	A	
41.					Sold (part)	10/12/12	L	B	
42.					Sold (part)	8/8/12	L	C	
43.					Sold (part)	6/7/12	K	B	
44.					Sold (part)	6/7/12	K	C	
45.					Sold (part)	8/24/12	K	B	
46.					Redeemed (part)	9/4/12	K	A	
47.					Redeemed (part)	11/21/12	K	A	
48.					Redeemed (part)	11/21/12	K	A	
49.					Redeemed (part)	11/21/12	K	A	
50.					Sold (part)	11/26/12	L	B	
51.					Redeemed (part)	5/8/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Sold (part)	8/24/12	L	B	
53.					Sold (part)	5/14/12	K	A	
54.					Redeemed (part)	3/12/12	K	A	
55.					Sold (part)	5/14/12	L	D	
56.					Buy (add'l)	5/16/12	K		
57.					Buy (add'l)	5/17/12	L		
58.					Buy (add'l)	9/5/12	J		
59.					Buy (add'l)	9/5/12	K		
60.					Buy (add'l)	1/30/12	K		
61.					Buy (add'l)	11/8/12	L		
62.					Buy (add'l)	11/28/12	K		
63.					Buy (add'l)	2/28/12	K		
64.					Buy (add'l)	2/15/12	L		
65.					Buy (add'l)	2/24/12	J		
66.					Buy (add'l)	2/3/12	J		
67.					Buy (add'l)	8/10/12	K		
68.					Buy (add'l)	2/24/12	J		

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69.					Buy (add'l)	7/6/12	L		
70.					Buy (add'l)	8/20/12	L		
71.					Buy (add'l)	8/24/12	L		
72.					Buy (add'l)	4/26/12	K		
73.					Buy (add'l)	4/18/12	L		
74.					Buy (add'l)	6/6/12	K		
75.					Buy (add'l)	2/24/12	K		
76.					Buy (add'l)	9/5/12	K		
77.					Buy (add'l)	8/16/12	L		
78.					Buy (add'l)	11/14/12	L		
79.					Buy (add'l)	6/7/12	L		
80.					Buy (add'l)	9/7/12	K		
81.					Buy (add'l)	6/7/12	K		
82.					Buy (add'l)	2/10/12	K		
83.					Buy (add'l)	2/1/12	L		
84.					Buy (add'l)	6/11/12	K		
85.					Buy (add'l)	8/28/12	K		

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86.					Buy (add'l)	2/24/12	J		
87.					Buy (add'l)	2/24/12	J		
88.					Buy (add'l)	2/24/12	J		
89.					Buy (add'l)	2/24/12	J		
90.					Buy (add'l)	10/12/12	L		
91.					Buy (add'l)	11/2/12	K		
92.					Buy (add'l)	4/11/12	K		
93.					Buy (add'l)	6/12/12	K		
94.					Buy (add'l)	2/23/12	L		
95. Colorado Municipal Bonds	D	Interest	N	T					
96. P&G common	A	Dividend	K	T					
97. Capital World Growth & Income Fund	A	Int./Div.			Sold	11/27/12	K	A	
98. Income Fund of America	D	Int./Div.			Sold	5/16/12	M	A	
99. Washington Mutual Fund	A	Int./Div.	M	T					
100. Am Ex Annuity	C	Interest	L	T					
101. Fundamental Investors Fund (SIRA)	A	Int./Div.	K	T	Buy (add'l)	11/27/12	K		
102. AMCAP FUND Class A	A	Int./Div.	M	T	Buy	5/16/12	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544