

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Faber, David A.	2. Court or Organization West Virginia Southern	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 601 Federal Street Bluefield, WV 24701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Faber, David A.

Date of Report

05/07/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Monthly

West Virginia Teachers Retirement

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Faber, David A.

Date of Report

05/07/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Faber, David A.

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA IRA (see note VIII)									
2. VZ	C	Dividend	L	T					
3. TRTN	C	Dividend	L	T					
4. DUK	C	Dividend	L	T					
5. SNH	C	Dividend			Sold	10/18/18	K	A	
6. SD	C	Dividend	L	T					
7. CVX	B	Dividend	L	T					
8. EOD	D	Dividend	L	T					
9. IP	B	Dividend	L	T					
10. HPT	C	Dividend	K	T					
11. INTC	B	Dividend	K	T					
12. GM	C	Dividend	L	T					
13. LYB	B	Dividend	K	T					
14. STX	C	Dividend	K	T					
15. RDSB	C	Dividend	L	T					
16. WFC	B	Dividend	K	T					
17. KO	B	Dividend	K	T	Sold	08/03/18	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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18. GLAD	C	Dividend	K	T					
19. GSLPRB	C	Dividend	K	T					
20. CMP	B	Dividend	K	T	Buy	01/18/18	K		
21. GSK	C	Dividend	L	T	Buy	01/08/18	L		
22. GSK	B	Dividend	K	T	Buy (add'l)	07/05/18	K		
23. IBM	C	Dividend	L	T	Buy	10/31/18	L		
24. IRM	B	Dividend	K	T	Buy	02/20/18	K		
25. WELL	C	Dividend	L	T	Buy	10/08/18	L		
26. BSX	A	Dividend			Buy	02/15/18	K		
27. BSX	A	Dividend			Sold	07/05/18	K	D	
28. K	B	Dividend			Buy	01/19/18	L		
29. K	B	Dividend			Sold	10/31/18	L	A	
30. T	B	Dividend	K	T					
31. T	B	Dividend	K	T	Buy	01/18/18	K		
32. T	A	Dividend	K	T	Buy (add'l)	07/05/18	J		
33. BPL	B	Distribution	K	T					
34. BPL	B	Distribution	K	T	Buy (add'l)	07/05/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. BX	A	Distribution			Sold	07/05/18	K	B	
36. HCLP	B	Dividend	J	T	Buy	11/02/18	J		
37. HCLP	B	Dividend	J	T	Buy (add'l)	11/03/18	J		
38. AKS		None			Buy	01/18/18	J		
39. AKS		None			Buy (add'l)	02/01/18	J		
40. AKS		None			Sold	11/02/18	J	A	
41. PLAB		None	K	T					
42. USAA Money Markets	B	Dividend	M	T					
43. Pillar Energy	A	Royalty	J	T					
44. USATX	B	Dividend	K	T					
45. USCAX	A	Dividend	J	T					
46. UVALX	A	Dividend	K	T					
47. USAAX	A	Dividend	J	T					
48. USEMX	A	Dividend	J	T					
49. USIFX	A	Dividend	K	T					
50. USAA Classic Checking Act.	A	Interest	J	T					
51. USAA Savings Act.	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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52. USAA Performance First Savings Act.	A	Interest	K	T					
53. Gold Coin Collection		None	J	W					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Faber, David A.

Date of Report

05/07/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets in Section VII, items 1 through 32 are held in a self-directed IRA with USAA.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Faber, David A.

Date of Report

05/07/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David A. Faber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544