

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 225 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

State of Kansas (Administration) ended 01/07/11

2. 2011

Washburn University (Administration) started 01/10/11

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account	E	Int./Div.	P1	T					See Note in Part VIII
6. -U.S. Treasury Inflation Protection Notes									
7. -Harbor Bond Fund									
8. -Claymore Securities Defined Portfolios									
9. -Vanguard GNMA Fund					Sold (part)	06/14/11	L	C	
10. -Fidelity Cash Reserves									
11. -Fidelity Contrafund									
12. -Fairholme Fund					Sold	07/13/11	L	C	
13. -Templeton Global Bond Fund									
14. -Fidelity Focused High Inc									
15. -Lazard Emerging Mkt					Sold (part)	05/20/11	L	E	
16. -Arbitrage Fund					Sold (part)	01/04/11	K	A	
17. -Arbitrage Fund					Sold	05/17/11	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Intrepid Small Cap Fund					Sold	05/11/11	L	C	
19. -Royce Premier Fund									
20. -SPDR Gold Tr Gold									
21. -Fidelity GNMA Bond									
22. -Harbor Bond Adm									
23. -Fidelity Floating Rate High Inc					Sold	08/10/11	M	A	
24. -Nuveen Tradewinds Value Opp					Buy	01/18/11	K		
25. -Nuveen Tradewinds Value Opp					Sold	11/04/11	K	A	
26. -Aston/River Road Independent Value					Buy	05/11/11	L		
27. -Eaton Vance Atlanta Cap Smid					Buy	05/11/11	L		
28. -Wells Fargo Advantage Growth					Buy	05/17/11	L		
29. -Wells Fargo Advantage Growth					Buy (add'l)	11/07/11	K		
30. -Thornburg International Value					Buy	05/23/11	K		
31. -Thornburg International Value					Buy (add'l)	05/24/11	K		
32. -Ishares S&P Midcap 400 Growth					Buy	06/13/11	K		
33. -Ishares S&P Midcap 400 Growth					Buy (add'l)	07/27/11	L		
34. -Fidelity High Income					Buy	06/14/11	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -FMI Large Cap Fund					Buy	11/04/11	L		
36. -TCW Core Fixed Income					Buy	12/06/11	M		
37. Fidelity Management Trust Company - IRA Account	A	Dividend	L	T					See Note in part VIII
38. -Fidelity Cash Reserves									
39. -Harbor Bond									
40. -Arbitrage Fund					Sold	05/17/11	K	A	
41. Fidelity Management Trust Company - Non IRA Acct	D	Int./Div.	N	T					See Note in part VIII
42. -Johnson County Kansas Un Sch Dist No 233 4.0% 9/1/16									
43. -Overland Park KS Go Intl Impt Bd 9/1/20 4%									
44. -Fidelity Tax Exempt Money Market									
45. -Fidelity Advisor New Insights									
46. -Fairholme Fund					Sold	07/13/11	K	B	
47. -Royce Premier Fund									
48. -SPDR Gold Tr Gold					Sold (part)	08/11/11	K	E	
49. -Lenexa Kans Go Ref Bds Ser. 20 9/1/18 3.25%									
50. -Nuveen Tradewinds Value Opp					Sold (part)	05/04/11	K	C	
51. -Nuveen Tradewinds Value Opp					Sold (part)	06/06/11	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Nuveen Tradewinds Value Opp					Sold	11/04/11	J	A	
53. -Harbor Bond Adm					Sold	05/04/11	K	A	
54. -Templeton Global Bond									
55. -Fidelity High Income					Buy	06/14/11	K		
56. Fidelity Management Trust Company - Non IRA Acct	A	Int./Div.	J	T					See Note in part VIII
57. -Fidelity Tax Exempt Money Market									
58. -Harbor Bond					Sold	05/04/11	J	A	
59. -Templeton Global Bond					Sold	05/04/11	J	A	
60. -SPDR Gold Tr Gold					Sold	05/04/11	J	C	
61. -Fairholme Fund					Sold	05/04/11	J	B	
62. -Intrepid Small Cap Fund					Sold	05/04/11	J	A	
63. -Royce Premier Service					Sold	05/04/11	J	B	
64. -Nuveen Tradewinds Value Opp					Sold	05/04/11	J	A	
65. -Fidelity Floating Rate High Inc					Sold	05/04/11	J	A	
66. -Aston/River Road Independent Va					Buy	05/11/11	J		
67. -Eaton Vance Atlanta Cap Smid					Buy	05/11/11	J		
68. -Wells Fargo Advantage Growth					Buy	05/17/11	J		

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69. -Ishares S&P Midcap 400 Growth					Buy	07/27/11	J		
70. ING - State of KS Def Comp Plan	B	Int./Div.	K	T					See Note in part VIII
71. -Vanguard T. Retirement 2025									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII -

A. Item #1 BJD Somers Family LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.

B. Items listed in #6 through #36 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.

C. Items listed in #38 through #40 are all assets of Fidelity Management Trust Company IRA account identified in #37 above.

D. Items listed in #42 through #55 are all assets of Fidelity Management Trust Company non-IRA account identified in #41 above.

E. Items listed in #57 through #69 are all assets of Fidelity Management Trust Company non-IRA account identified in #56 above.

F. Item #71 is the asset of ING-State of Kansas Deferred Compensation Plan identified in #70 above.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dale L. Somers

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544