

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jackson, Jr., Stephen B.	2. Court or Organization United States District Court, Southern District of Iowa	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 131 E. 4th Street Davenport, IA 52801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2010	State of Iowa Judicial Retirement System, defined benefit pension, no control
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

Self-employed professional organizer

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. University of Notre Dame
Law School

February 3-6, 2017

Notre Dame, IN

Trial Advocacy Teaching

Lodging

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. U.S. Bank	Mortgage on vacation/rental property, (Part VII., Line 1)	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vacation/rental property, (2013 \$217,000)	E	Rent	M	R					
2. U.S. Bank Accounts	A	Interest	K	T					
3. IRA #1 (H)									
4. - AQR Managed Futures Strategy	A	Dividend	J	T	Buy (add'l)	7/5/17	J		
5. - DFA Investment Grade	A	Dividend	J	T					
6. - DFA International Vector Equity	A	Dividend	J	T					
7. - DFA International Value	A	Dividend	J	T	Buy (add'l)	6/27/17	J		
8. - DFA Emerging Markets Core Equity	A	Dividend	K	T	Buy (add'l)	6/27/17	J		
9. - DFA Emerging Markets Small Cap	A	Dividend	J	T	Buy (add'l)	6/27/17	J		
10. - DFA Global Fixed Income	A	Dividend	J	T	Sold (part)	6/27/17	J	A	
11. - DFA International Core Equity	A	Dividend	K	T	Buy (add'l)	6/27/17	J		
12. - DFA Short Term Extended Quality	A	Dividend	J	T					
13. - DFA US Large Cap Growth	A	Dividend	K	T	Sold (part)	6/27/17	J	B	
14. - DFA US Core Equity	A	Dividend	K	T	Sold (part)	6/27/17	J	B	
15. - DFA One-Year Fixed Income	A	Dividend	J	T	Buy (add'l)	6/27/17	J		
16.					Sold (part)	6/29/17	J	A	
17. - DFA US Targeted Value	B	Dividend	K	T	Sold (part)	6/27/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/18/17	J		
19. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					
20. - Diamond Hill Long Short	A	Dividend	J	T					
21. - Permanent Portfolio	A	Dividend	J	T					
22. - Vanguard Reit Index	A	Dividend	J	T					
23. IRA #2 (H)									
24. - AQR Managed Future Strategy	A	Dividend	J	T	Buy (add'l)	6/21/17	J		
25. - DFA Investment Grade	A	Dividend	J	T					
26. - DFA International Vector Equity	A	Dividend	J	T					
27. - DFA International Value	A	Dividend	J	T					
28. - DFA Emerging Markets Core Equity	A	Dividend	J	T	Buy (add'l)	6/21/17	J		
29. - DFA Emerging Markets Small Cap	A	Dividend	J	T					
30. - DFA Global Fixed Income	A	Dividend	J	T					
31. - DFA International Core Equity	A	Dividend	J	T	Buy (add'l)	6/21/17	J		
32. - DFA Short Term Extended Quality	A	Dividend	J	T					
33. - DFA US Large Cap Growth	A	Dividend	J	T	Sold (part)	6/21/17	J	A	
34. - DFA US Core Equity	A	Dividend	K	T	Sold (part)	6/21/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - DFA US Targeted Value	A	Dividend	K	T	Sold (part)	6/21/17	J	A	
36. - DFA One-Year Fixed Income	A	Dividend	J	T	Buy (add'l)	6/21/17	J		
37.					Sold (part)	6/21/17	J	A	
38. - DFA Two-Year Global Fixed Income	A	Dividend	J	T					
39. - Diamond Hill Long Short	A	Dividend	J	T	Sold (part)	6/21/17	J	A	
40. - Permanent Portfolio	A	Dividend	J	T					
41. - Vanguard Reit Index	A	Dividend	J	T					
42. IRA #3 (H)									
43. - Russell Lifepoints Fund Growth Strategy	A	Dividend	K	T					
44. -Spdr S&P 500	A	Dividend	J	T					
45. -DFA 2035 Target Date	A	Dividend	J	T	Buy	4/5/17	J		
46. TIAA CREF 457(b)(H)									
47. - TIAA CREF Lifecycle 2030	A	Dividend	J	T					
48. TIAA CREF 401(a)(H)									
49. - TIAA CREF S&P 500 Index	A	Dividend	J	T					
50. 529 Plan #1 (H)									
51. -BlackRock Mod +17 Age Based Option	A	Dividend			Sold	12/1/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - BlackRock Aggressive Growth	A	Dividend	J	T	Sold (part)	8/2/17	J	B	
53. -BlackRock College Enrollment-A	A	Dividend	J	T	Buy	12/1/17	J		
54. 529 Plan #2 (H)									
55. - Amcap Fund	A	Dividend	J	T					
56. - American Balanced Fund	A	Dividend	J	T					
57. - American High Income Trust	A	Dividend	J	T					
58. - Capital Income Builder	A	Dividend	J	T					
59. - Capital World Growth and Income	A	Dividend	J	T					
60. - Fundamental Investor	A	Dividend	J	T					
61. - Growth Fund of America	A	Dividend	J	T					
62. 529 Plan #3 (H)									
63. - Ohio Tuition Trust Fifth Third Cash Account	A	Interest	L	T	Sold (part)	7/26/17	K		
64. - Ohio Tuition Trust Fifth Third Cash Account					Sold (part)	12/8/17	J		
65. Trust #1 (H) (Beneficiary)									
66. - American Funds Money Market A		None			Closed	1/23/17	J		
67. Trust #2 (H) (Beneficiary)									
68. - Lincoln Universal Life Insurance	B	Interest	K	T					

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69. Trust #3 (H) (Beneficiary)									
70. - Transamerica Universal Life Insurance	C	Interest	M	T					
71. LPL Investment Acct (H)									
72. - DFA Global, Alloc. 60/40	A	Dividend	J	T	Buy (add'l)	01/09/17	J		
73.					Buy (add'l)	2/7/17	J		
74.					Buy (add'l)	4/10/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII. Investments and Trusts. DFA is abbreviation for Dimensional Fund Advisors.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen B. Jackson, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544