

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mullin, Mark X.	2. Court or Organization U.S. Bankruptcy Court, Northern District of Texas	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Eldon B. Mahon U.S. Courthouse 501 W. 10th Street, Rm. 147 Fort Worth, TX 76102-3643		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Haynes and Boone LLP Partners' Retirement Plan (frozen), no control
2. 2015	Haynes and Boone Partners' Cash Balance Plan, no control
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. International Women's Insolvency & Restructuring Meeting	March 29, 2017	Dallas, Texas	Speaker/Judge special event	Dinner
2. State Bar of Texas	May 31 - June 2, 2017	San Antonio, Texas	Speaker at Texas Bankruptcy Bench Bar Conference	Hotel, Travel Expenses
3. National Conference of Bankruptcy Judges	October 8-11, 2017	Las Vegas, Nevada	Attend NCBJ Meetings	Hotel
4. Annual DFW Area Chapter 13 Seminar	October 23, 2017	Hurst, Texas	Speaker at the 27th Annual DFW Area Chapter 13 Seminar	Lunch

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Mullin, Mark X.	05/11/2018

5.	UT Law CLE	November 16-17, 2017	Austin, Texas	Speaker at the UT Law 36th Annual Jay L. Westbrook Bankruptcy Conference	Hotel, Travel Expenses
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FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Cisco Systems, Inc. Common Stock	A	Dividend	J	T					
2. AMG Managers Cadence Mid Cap-Inv	A	Dividend	J	T					
3. Mass Investors Growth Stock Fund-A	A	Dividend	J	T					
4. Putnam Growth & Income-A	A	Dividend	J	T					
5. Putnam International Value-A	A	Dividend	J	T					
6. Invesco American Franchise Fund-Class A- VAFAX	A	Dividend	J	T					
7. Minnesota Life - Adjustable Life Insurance	A	Dividend	J	T					
8. Bank of America - Cash Account		None	K	T					
9. Ameriprise Brokerage Account - AIMMA- tax qualified Retirement Account	A	Int./Div.	K	T	Distributed (part)	01/12/17	P1		
10. Western Core PL Bond	A	Int./Div.	O	T	Spinoff (from line 9)	01/12/17	O		
11. Santa Barbara DG	A	Int./Div.	M	T	Spinoff (from line 9)	01/12/17	M		
12. Lazard IA	A	Int./Div.	M	T	Spinoff (from line 9)	01/12/17	M		
13. Federated SV	A	Int./Div.	M	T	Spinoff (from line 9)	01/12/17	M		
14. Kayne Andrsn RDNK SC	A	Int./Div.	M	T	Spinoff (from line 9)	01/12/17	M		
15. Mutual Fund - ACLAX - American Century Mid Cap Value CL A	A	Int./Div.			Closed	03/16/17	J		
16. Mutual Fund - BHYAX - Blackrock High Yield Bond Investor CL A	A	Int./Div.			Closed	03/16/17	J		
17. Mutual Fund - BASIX - Blackrock Strategic Income Oppty Investor	A	Int./Div.			Closed	03/16/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mutual Fund - AIOAX - Columbia Income Oppty CL A	A	Int./Div.			Closed	03/16/17	K		
19. Mutual Fund - CSCEX Active Portfolio Multi MGR Small Cap Equity	A	Int./Div.			Closed	03/16/17	J		
20. Mutual Fund - CMCPX - Active Portfolio Multi MGR Total Return Bond	C	Int./Div.			Closed	03/16/17	M		
21. Mutual Fund - CSLGX Acgove Portfolio Multi MGR Growth CL A	A	Int./Div.			Closed	03/16/17	K		
22. Mutual Fund - CDEIX - Active Portfolio Aulti GGR Value CL A	A	Int./Div.			Closed	03/16/17	K		
23. Mutual Fund - CDAAX Active Portfolio Multi Mgr Directional Alt	A	Int./Div.			Closed	03/16/17	J		
24. Mutual Fund - OLGAX - JPMorgan Large Cap Growth CL A	A	Int./Div.			Closed	03/16/17	J		
25. Mutual Fund MGIAX - MFS Intl Value CL A	A	Int./Div.			Closed	03/16/17	K		
26. Mutual Fund MEIAX - MFX Value CLA A	A	Int./Div.			Closed	03/16/17	J		
27. Mutual Fund - OIGAX Oppenheimer Intl Growth CL A	A	Int./Div.			Closed	03/16/17	J		
28. Mutual Fund - ACMVX - American Century Mid Cap Value CL	A	Int./Div.	J	T	Spinoff (from line 15)	03/16/17	J		
29. Mutual Fund - BHYIX - Blackrock High Yield Bond Investor CL	A	Int./Div.			Spinoff (from line 16)	03/16/17	J		
30.					Sold	08/25/17	J		
31. Mutual Fund - BSIIX - Blackrock Strategic Income Oppty Instl CL	A	Int./Div.	J	T	Spinoff (from line 17)	03/16/17	J		
32. Mutual Fund - CIOZX - Columbia Income Oppty CL Z	A	Int./Div.			Spinoff (from line 18)	03/16/17	K		
33.					Sold	04/20/17	K		
34. Mutual Fund - CZMVX Multi MGR Value Strategies CL Z	A	Int./Div.	K	T	Spinoff (from line 19)	03/16/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

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35.	Mutual Fund - CTRZX - Multi MGR Total Return Bond Strategies CL Z	C	Int./Div.	M	T	Spinoff (from line 20)	03/16/17	M		
36.	Mutual Fund - CZMSX - Multi Manager Small Cap Equity Strategies CL Z	A	Int./Div.	J	T	Spinoff (from line 21)	03/16/17	K		
37.	Mutual Fund - CZMGX Multi Manager Small Cap Equity Strategies CL Z	A	Int./Div.	K	T	Spinoff (from line 22)	03/16/17	K		
38.	Mutual Fund - CDAZX Multi Manager Directional Alt Strategies CL Z	A	Int./Div.	J	T	Spinoff (from line 23)	03/16/17	J		
39.	Mutual Fund - SEEGX JPMorgan Large Cap Growth Select CL	A	Int./Div.			Spinoff (from line 24)	03/16/17	J		
40.						Sold	08/25/17	J		
41.	Mutual Fund MINIX MFS Intl Value CL I	A	Int./Div.	J	T	Spinoff (from line 25)	03/16/17	K		
42.	Mutual Fund MEHIX MFS Value CL I	A	Int./Div.	J	T	Spinoff (from line 26)	03/16/17	J		
43.	Mutual Fund - OIGYX Oppenheimer Intl Growth CL Y	A	Int./Div.	J	T	Spinoff (from line 27)	03/16/17	J		
44.	Mutual Fund - NFRIX Nuveen Symphony Floating Rate Income CL I	A	Int./Div.	K	T	Buy	04/20/17	K		
45.	Mutual Fund - DEUIX Delaware U S Growth Instl CL	A	Int./Div.	J	T	Buy	08/25/17	J		
46.	Mutual Fund - EMGNX Wells Fargo Emerging Markets Equity Instl CL	A	Int./Div.	J	T	Buy	08/25/17	J		
47.										
48.										
49.										
50.										
51.										

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

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52.									
53.									
54.									
55.									
56.									
57.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Mullin, Mark X.

Date of Report

05/11/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mark X. Mullin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544