

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rosenbluth, Jean P.	2. Court or Organization Central District of California, Southern Division	3. Date of Report 02/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) full-time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 411 West Fourth Street Santa Ana, CA 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Trustee, ECR 1998 Trust (not yet vested)
2. _____	_____
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Accounts	A	Interest	L	T					
2. American Electric Power common stock	A	Dividend	J	T					
3. Schwab IRA		None	K	T					
4. --Blackrock Equity Dividend Fund					Sold	08/19/13	J		
5. --FMI Large Cap									
6. --Fidelity Contrafund									
7. --Loomis Sayles Small Cap Fund									
8. --ING Midcap Opportunity Fund (X)									
9. --Aberdeen Emerging Markets					Sold	07/15/13	J		
10. --Artisan International Fund									
11. --Dodge & Cox International Stock Fund									
12. --Oakmark International Small Cap Fund									
13. --ASTON/River Road Independent Value									
14. --Marketfield Fund									
15. --UBS AG Jersey 2040FE Tracs Due 4/2/40									
16. Bank of America Accounts		None	J	T					
17. Morgan Stanley Legg Mason Clearbridge		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 401(k) -- TIAA/CREF (no control)		None	M	T					
19. --managed allocation fund (TIMRX)									
20. --Lifecycle Retirement Income (TLRRX)									
21. Schwab Gov't Money Fund		None	L	T					
22. Brokerage Account (H)									
23. --Berkshire Hathaway B		None	L	T					
24. --Deutsche BK Cap 7.6%	B	Dividend	K	T					
25. --Navaree Corp	A	Dividend	K	T					
26. --Verizon	A	Dividend	J	T					
27. --iShares TR S&P Global Energy Sector Index ETF	A	Dividend	K	T					
28. --Powershs QQQ Trust Ser 1 ETF	D	Distribution			Sold	10/02/13	J		
29. --Sector Spdr Consumer Fund ETF	A	Dividend	K	T					
30. --Sector Spdr INdl Select Shares ETF	A	Dividend	K	T					
31. --Sector Spdr Tech Select Shares ETF	A	Dividend	J	T					
32. --Sector SPDR Tr Con Splitshares ETF	A	Dividend	K	T					
33. --Columbia Energy & Natural Resources CL Z	A	Dividend			Sold	10/03/13	J		
34. --Harding Loevner Emerging Markets Adv	B	Dividend	K	T					

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35. --JP Morgan Small Cap Equity Fund	B	Dividend	K	T					
36. --Loomis Sayles bond fund CL R	B	Dividend	K	T					
37. --Manning & Napier World Oppty A	A	Dividend	L	T					
38. --Perkins Mid Cap Value T	C	Dividend	K	T					
39. --PIMCO Total Return Fund CL D	A	Dividend	K	T					
40. --RS Value Fund CL A	A	Dividend	K	T					
41. --Schwab Core Equity Fund	B	Dividend	K	T					
42. --Schwab Health Care Fund	B	Dividend	K	T					
43. --Scout Intl Fund	A	Dividend	L	T					
44. --Vanguard Inflation protected Securities Fund	B	Dividend	K	T					
45. --Vanguard S/t Investment Grade Fund Inv	A	Dividend	K	T					
46. --Schwab Money Market	A	Interest	L	T					
47. New York Times common stock (X)		None	J	T					
48.									
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean P. Rosenbluth**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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