

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oliver Jr., Solomon	2. Court or Organization U.S. District Court	3. Date of Report 11/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Chief District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Carl B. Stokes US Court House 801 West Superior Avenue Cleveland, OH 44113-1838		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	College of Wooster
2.	Committee Member	National Conference of Bar Examiners, Multi-State Bar Exam Evidence Drafting Committee
3.	Chair-Elect	American Bar Association Section of Legal Education and Admissions to the Bar
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 5/1/2011	Evidence Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
2. 9/29/2011	Evidence Drafting Committee Multi-State Bar Examination Meeting	\$3,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 5/3/2012	Evidence Drafting Committee Multi-State Bar Examination Meeting
2. 11/15/2012	Evidence Drafting Committee Multi-State Bar Examination Meeting
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	3/15-3/17	Ft. Lauderdale, FL	Activity of prof. org.	Travel, lodging, food in conjunction with program
2. National Conference of Bar Examiners	5/3-5/5	Berkeley, CA	Activity of prof. org.	Travel, lodging, food in conjunction with meeting
3. American Bar Association	6/7-6/9	Boston, MA	Activity of prof. org.	Travel, lodging, food in conjunction with program
4. American Bar Association	8/2-8/4	Chicago, IL	Activity of prof. org.	Travel, lodging, food in conjunction with program
5. Just the Beginning Foundation	9/20-9/23	Chicago, IL	Activity of prof. org.	Travel, lodging, food in conjunction with program

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

6.	University of Missouri School of Law	10/18-10/19	Columbia, MO	Activity of prof. org.	Travel, lodging, food in conjunction with program
7.	American Bar Association	10/20-10/21	Chicago, IL	Activity of prof. org.	Travel, lodging, food in conjunction with program
8.	National Conference of Bar Examiners	11/15-11/18	Tucson, AZ	Activity of prof. org.	Travel, lodging, food in conjunction with program
9.	American Bar Association	11/29-12/2	Denver, CO	Activity of prof. org.	Travel, lodging, food in conjunction with meeting

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Abbott Laboratories (X)	A	Dividend	J	T					
2. Auto Data Processing (X)	A	Dividend	J	T					
3. Bank of America (X)	A	Dividend	J	T					
4. Bank of NY M (X)	A	Dividend	J	T					
5. Cardinal Health (X)	A	Dividend	J	T					
6. EnPro (X)	A	Dividend	J	T					
7. Express Scripts	A	Dividend	J	T	Buy	4/2/12	J		
8. Exxon Mobil (X)	A	Dividend	J	T					
9. Hewlett-Packard (X)	A	Dividend	J	T					
10. Honeywell International (X)	A	Dividend	J	T					
11. Johnson & Johnson (X)	A	Dividend	J	T					
12. JP Morgan Chase (X)	A	Dividend	J	T					
13. New Jersey Resources (X)	A	Dividend	J	T					
14. Nextera Energy (X)	A	Dividend	J	T					
15. Proctor & Gamble (X)	A	Dividend	J	T					
16. Progress Energy (X)	A	Dividend	J	T					
17. Royal Bk of Scotland (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Royal Dutch Shell B (X)	A	Dividend	J	T					
19. Spectra Energy (X)	A	Dividend	J	T					
20. Cohen & Steers Infrastructure Fund (X)	A	Dividend	J	T					
21. Nuveen Mun Valve Fund (X)	A	Dividend	J	T					
22. Nuveen OH Quality Income Municipal Fund (X)	A	Dividend	J	T					
23. Blackrock Euro Fund Investor (X)	A	Dividend	J	T					
24. SLM Corp Frn (X)	A	Interest	J	T					
25. Schwab Investor Checking	A	Interest	L	T					
26. AT&T common	A	Dividend	J	T					
27. Bristol Meyers common	A	Dividend	K	T					
28. Coca Cola common	A	Dividend	K	T					
29. Morgan Stanley Dean Witter common	A	Dividend	K	T					
30. Ford common	A	Dividend	J	T					
31. General Electric common	A	Dividend	K	T					
32. BF Goodrich common	A	Dividend	J	T	Sold	7/27/12	J	D	
33. Goodyear common	A	Dividend	J	T					
34. Heinz common	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Home Depot common	A	Dividend	K	T					
36. Federated Department Stores common	A	Dividend	J	T					
37. Merck common	A	Dividend	K	T					
38. Nike common	A	Dividend	L	T					
39. WalMart common	A	Dividend	K	T					
40. Putnam Global Equity	A	Dividend	K	T					
41. Fidelity Ohio Tax Free	A	Interest	K	T					
42. CREF stock-403(b)	A	Dividend	O	T					
43. TIAA Annuity-403(b)	A	Interest	M	T					
44. Ohio Savings Bank Accounts	B	Interest	L	T					
45. DWS Schudder International Fund	A	Dividend	J	T					
46. Vodaphone	A	Dividend	J	T					
47. Pepsico	A	Dividend	L	T					
48. Allstate	A	Dividend	K	T					
49. Tricontinental Global	A	Dividend	J	T					
50. Resource Careers, Inc. 401K Vanguard 500	A	Dividend	J	T					
51. Cisco		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Zimmer		None	J	T					
53. Lowes	A	Dividend	J	T					
54. Pfizer	A	Dividend	J	T					
55. Medco Health	A	Interest	J	T	Redeemed	4/2/12	J	D	
56. Comcast	A	Interest	J	T					
57. Vanguard Intermediate Term Tax-Exempt Fund	A	Interest	K	T					
58. Vanguard 500 Index	A	Dividend	K	T					
59. Vanguard Small Cap Index	A	Dividend	K	T					
60. Fidelity Balance	A	Dividend	K	T					
61. Fidelity Capital Appreciation	A	Dividend	K	T					
62. T. Rowe Price Equity Income	B	Dividend	K	T					
63. T. Rowe Price Retirement 20/20	B	Dividend	K	T					
64. Vanguard Total International Stock Fund	A	Dividend	K	T					
65. Vanguard Wellington	A	Dividend	L	T					
66. Vanguard Wellesley	A	Dividend	L	T					
67. Vanguard Total Bond Market Index	A	Interest	K	T					
68. T. Rowe Price Emerging Markets	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Intel	A	Dividend	K	T	Buy	02/28/97	J		
70. Discover Financial Services	A	Dividend	J	T	Spinoff (from line 29)	07/02/07	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Oliver Jr., Solomon

Date of Report

11/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Solomon Oliver Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544