

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houser, Barbara J.	2. Court or Organization US Bky Ct; No Dst of Tx	3. Date of Report 04/2/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1254 Earle Cabell Federal Bldg 1100 Commerce Street Dallas, TX 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Present Interest Trust
2. Fellow; Member of Executive Committee	National Bankruptcy Conference
3. Fellow	American College of Bankruptcy
4. Fellow	American Bar Foundation
5. Fellow	Texas Bar Foundation
6. Master	John C. Ford American Inn of Court
7. Member; Member of Board of Directors	American Bankruptcy Institute
8. Chair of Board of Directors	Dallas Legal Hospice n/k/a Legal Hospice of Texas
9. Member	National Conference of Bankruptcy Judges

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	March 14-16	Washington DC	Attend Spring Meeting of College and speak at educational program	Transportation and Lodging
2.	National Conference of Bankruptcy Judges	April 8-9	Scottsdale, AZ	Attend mid-year meeting of NCBJ	Transportation, Lodging, and Meals
3.	American Bankruptcy Institute	April 18-21	Washington DC	Attend ABI Board meeting and speak at bankruptcy conference	Transportation, Lodging, and Meals
4.	State Bar of Texas	May 18-28	Tanzania, Africa	Speak at bankruptcy conference	Transportation, Lodging, and Meals

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5.	American Bankruptcy Institute	July 1-2	Washington DC	Subcommittee meeting of ABI commission	Transportation, Lodging, and Meals
6.	National Conference of Bankruptcy Judges	July 18-19	Washington DC	Attend hearings in Cornish litigation	Transportation, Lodging, and Meals
7.	Federal Bar Association in Michigan	July 24-27	Traverse City, MI	Speak at bankruptcy conference	Transportation, Lodging, and Meals
8.	National Conference of Bankruptcy Judges	September 18-19	Washington DC	Attend hearings in Houser class action	Transportation, Lodging, and Meals
9.	American Bankruptcy Institute	October 10-12	New York City, NY	Speak at bankruptcy conference	Transportation, Lodging, and Meals
10.	National Conference of Bankruptcy Judges	October 29-November 2	Atlanta, GA	Attend annual meeting of NCBJ	Transportation, Lodging, and Meals (partial payment)
11.	Commercial Law League of America	October 29-November 2	Atlanta, GA	Speak at annual meeting of NCBJ	Transportation, Lodging, and Meals (partial payment)
12.	National Conference of Bankruptcy Judges	December 4-5	Washington DC	Attend hearings in Houser class action	Transportation, Lodging, and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Glenn Cox	Cash gift	\$14,000.00
2.	Veronica Cox	Cash gift	\$14,000.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Technical Communications common stock	A	Dividend	J	T					
2. Bank of America (various accounts)	A	Interest	K	T					
3. Capital One FSB CD	B	Interest			Redeemed	07/03/13	M		
4. Capital One Checking and saving accounts	B	Interest	M	T	Open	02/19/13	M		
5. Present Interest Trust		None							
6. Wal-Mart Stores, Inc. common stock	A	Dividend	J	T					
7. Discover Bank CD	D	Interest	M	T					
8. Washington Federal Savings Bank CDs	C	Interest			Redeemed (part)	01/23/13	L		
9.					Redeemed	09/12/13	K		
10. ING CD n/k/a Capitol One Bank	C	Interest			Redeemed	02/19/13	L		
11. Jefferson Bank CD n/k/a Mid-South Bank	C	Interest	L	T					
12. Acacia Federal Savings Bank CD	C	Interest			Redeemed	12/03/13	L		
13. Wachovia Bank CD n/k/a Wells Fargo	B	Interest			Redeemed	10/03/13	L		
14. Intervest National Bank CD	C	Interest	L	T					
15. Nexity Bank CD n/k/a Alostair Bank	B	Interest	M	T					
16. Exchange Bank CD	C	Interest			Redeemed	12/03/13	M		
17. Washington Mutual Bank CD n/k/a Chase Bank	B	Interest			Redeemed	12/23/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. GMAC Bank (various accounts and CDs) n/ k/a Ally Bank	D	Interest	M	T					
19. Transportation Alliance Bank CD	A	Interest			Redeemed	08/29/13	K		
20. Am Trust Direct n/k/a New York Community Bank money market	B	Interest	M	T					
21. Patriot Bank CDs	D	Interest	M	T					
22. Great Western Bank CDs	C	Interest	M	T					
23. Third Federal Bank CD	B	Interest			Redeemed	07/03/13	M		
24. Omni American Bank CD	B	Interest			Redeemed	07/10/13	M		
25. ELoan Bank CD	B	Interest			Redeemed	07/22/13	L		
26. On Bank CD	B	Interest			Redeemed	08/11/13	L		
27. Equity Bank CDs n/k/a Triumph Savings Bank	C	Interest	M	T	Redeemed (part)	11/14/13	M		
28. Salem 5 CD	C	Interest			Redeemed	09/16/13	M		
29. Salem 5 Savings and Checking accounts	A	Interest	M	T	Open	09/16/13	M		
30. Zions Bank CD	B	Interest			Redeemed	09/20/13	L		
31. View Point Bank CD	C	Interest			Redeemed	11/22/13	L		
32. Community Trust Bank of Texas CD	A	Interest			Redeemed	01/15/13	M		
33. Share Plus Federal Bank CD and checking account	B	Interest	L	T	Redeemed (part)	04/12/13	K		
34. Green Bank Money Market	A	Interest			Closed	12/23/13	J		

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35. Colorado Federal Savings Bank Money Market	B	Interest	M	T	Open	07/16/13	K		
36. First National Bank of Omaha Money Market	B	Interest	M	T	Open	07/16/13	K		
37. SallieMae Bank Money Market	B	Interest	M	T	Open	07/21/13	K		
38. GE Capital Retail Bank CD	A	Interest	M	T	Open	11/20/13	M		
39. GE Capital Retail Bank High Yield Savings	A	Interest	L	T	Open	12/12/13	L		
40. Brokerage account # 1 (H)									
41. Anheuser-Busch (BUD) common stock	B	Dividend	L	T					
42. Automatic Data Processing Inc (ADP) common stock	A	Dividend	K	T					
43. Beam, Inc. (BEAM) common stock	A	Dividend			Buy (add'l)	01/29/13	K		
44.					Sold	11/26/13	J	C	
45. Berkshire Hathaway Inc (BRKB) common stock		None	M	T					
46. Bristol Myers Squibb (BMY) common stock	A	Dividend			Sold	04/29/13	J	D	
47. Campbell Soup (CPB)		None	L	T	Buy	11/05/13	K		
48.					Buy (add'l)	12/17/13	K		
49. Coca-Cola (KO) common stock	A	Dividend	K	T					
50. Davita Inc (DVA)		None	L	T	Buy	09/09/13	L		
51. DirectTV COM (DTV)		None	K	T	Buy	03/08/13	K		

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52. Dr Pepper Snapple Group common stock	A	Dividend			Sold	02/13/13	K	B	
53. Emerson Electric (EMR) common stock	A	Dividend	K	T					
54. Exxon Mobil (XOM) common stock	B	Dividend	K	T					
55. HJ Heinz (HNZ) common stock	A	Dividend			Sold	06/10/13	J	D	
56. Hershey (HSY) common stock	A	Dividend	K	T					
57. Imperial Oil LTD (IMO) common stock	A	Dividend			Sold	06/11/13	K		
58. Intl Business Machines (IBM) common stock	B	Dividend	L	T					
59. Johnson & Johnson (JNJ) common stock	B	Dividend	L	T					
60. Mc Donalds Corp (MCD) common stock	A	Dividend	K	T					
61. Monsanto (MON) common stock	A	Dividend	K	T					
62. Nestle SA (NSRGY) common stock	B	Dividend	K	T					
63. Pepsico (PEP) common stock	A	Dividend	K	T					
64. Pfizer Inc (PFE)	A	Dividend	K	T	Buy	01/17/13	K		
65. Procter & Gamble (PG) common stock	A	Dividend	K	T					
66. Sigma-Aldrich (SIAL) common stock	A	Dividend	K	T					
67. 3 M (MMM) common stock	A	Dividend	K	T					
68. Southern Co (SO) common stock	B	Dividend	K	T					

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69. United Parcel Service (UPS) common stock	A	Dividend	K	T					
70. Wal Mart Stores (WMT) common stock	A	Dividend	K	T					
71. Ishares MSCI Emerging Mkts Index FD (EEM)		None			Sold	06/11/13	J		
72. Vanguard FTSE Emerging Mkts (VWO)		None			Buy	04/29/13	K		
73.					Sold	06/11/13	K		
74. Brokerage Account # 2 (H)									
75. Bristol Myers Squibb (BMY) common stock	A	Dividend	J	T					
76. Conoco Phillips (COP) common stock		None	J	T					
77. HJ Heinz (HNZ) common stock	A	Dividend			Sold	06/10/13	J		
78. Phillips 66 Com (PSX) common stock		None	J	T					
79. Brokerage Account # 3 (H)									
80. DWS Intrmd Tax/Amt Free Mutual Fund (SCMTX)	A	Dividend			Sold (part)	02/07/13	J	A	
81.					Sold	03/14/13	L	B	
82. Lord Abbett Intermediate Tax Free Fund (LISFX)	A	Dividend			Sold	03/14/13	L	C	
83. Mainstay Large CapGrowth Fund (MLAIX)	A	Dividend			Buy (add'l)	02/07/13	J		
84.					Sold	03/14/13	K	C	
85. T Rowe Price Summit Muni Intermediate Fund (PRSMX)	A	Dividend			Sold	03/14/13	L	B	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. MFS Value Fund (MEIIX)		None			Buy (add'l)	02/07/13	K		
87.					Sold	03/14/13	L	C	
88. Dreyfus Appreciation Fund (DGAGX)		None			Sold	03/14/13	K	C	
89. Federated Strategic Value Dividend Fund (SVAIX)	A	Dividend			Sold	02/07/13	K	C	
90. Legg Mason Western Asset Municipal High Income Fund (LMHIX)	A	Dividend			Sold	03/14/13	K	B	
91. Permanent Portfolio Fund (PRPFX)		None			Sold	03/14/13	K	A	
92. Eaton Vance Tax Adv Bd Stra Int Ter FD (ETIX)	A	Dividend			Sold	03/14/13	L		
93. Nuveen High Yield Muni Bond Fd Cl(1) (NHMRX)	A	Dividend			Sold (part)	02/07/13	J	A	
94.					Sold	03/14/13	K	A	
95. Emerging Global Shares (ECON)	A	Dividend	J	T	Buy	09/24/13	J		
96. Ishares MSCI DCA ETF (EWC)	A	Dividend	J	T	Buy	03/26/13	J		
97.					Sold (part)	09/24/13	J	A	
98. Ishares MSCI Switzerland (EWL)	A	Dividend	J	T	Buy	03/26/13	J		
99.					Sold (part)	09/24/13	J	A	
100. Ishares MSCI Sweden (EWD)	A	Dividend	J	T	Buy	03/26/13	J		
101.					Sold (part)	09/24/13	J	A	
102. Ishares MSCI United Kingdom (EWU)	A	Dividend	J	T	Buy	03/26/13	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/24/13	J	A	
104. Ishares MSCI Pacific Ex Japan (EPP)	A	Dividend	J	T	Buy	09/24/13	J		
105. Ishares IBOXX Invst Grade Corp Bd (LQD)	A	Dividend	J	T	Buy	03/26/13	J		
106.					Sold (part)	07/18/13	J		
107. Ishares 20+ Year T Bond ETF (TLT)	A	Dividend	J	T	Buy	03/26/13	J		
108. Ishares TIPS Bond ETF (TIP)	A	Dividend	J	T	Buy	03/26/13	J		
109. Ishares 3-7 Year Treasury Bond ETF (IEI)	A	Dividend	J	T	Buy	03/26/13	J		
110.					Sold (part)	09/24/13	J		
111. Ishares MBS ETF (MBB)	A	Dividend	J	T	Buy	03/26/13	J		
112.					Sold (part)	07/18/13	J		
113.					Buy (add'l)	09/24/13	J		
114. Ishares MSCI EMU ETF (EZU)	A	Dividend	K	T	Buy	09/24/13	K		
115. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Buy	09/24/13	K		
116. Market Vectors Emergin Mkts Local Currency Debt ETF (EMLC)	A	Dividend	J	T	Buy	03/26/13	J		
117.					Sold (part)	07/18/13	J	A	
118.					Buy (add'l)	09/24/13	J		
119. Powershares Global ETF TR (PCY)	A	Dividend	J	T	Buy	03/26/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/24/13	J		
121. Powershares Preferred Portfolio (PGX)	A	Dividend	J	T	Buy	03/26/13	J		
122. SPDR Barclays Intl Treasury Bond ETF (BWX)	A	Dividend	J	T	Buy	03/26/13	J		
123.					Sold (part)	09/24/13	J		
124. SPDR Barclays High Yield Bond ETF (JNK)	A	Dividend	J	T	Buy	03/26/13	J		
125.					Buy (add'l)	09/24/13	J		
126. Vanguard Small Cap Value ETF (VBR)	A	Dividend	K	T	Buy	03/26/13	K		
127.					Buy (add'l)	09/24/13	J		
128. Vanguard Small Cap Growth ETF (BK)	A	Dividend	K	T	Buy (add'l)	03/26/13	K		
129.					Sold (part)	07/18/13	J	A	
130.					Sold (part)	09/24/13	J	A	
131. Vanguard Value ETF (VTV)	B	Dividend	L	T	Buy	03/26/13	L		
132.					Sold (part)	07/18/13	J	A	
133.					Buy (add'l)	09/24/13	J		
134. Vanguard Growth ETF (VUG)	B	Dividend	M	T	Buy	03/26/13	M		
135.					Buy (add'l)	07/18/13	J		
136.					Buy (add'l)	09/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vanguard Intermediate Term Bond ETF (BIV)	A	Dividend	J	T	Buy	03/26/13	J		
138.					Sold (part)	07/18/13	J		
139. Vanguard Short Term Bond (BSV)	A	Dividend	J	T	Buy	03/26/13	J		
140.					Buy (add'l)	07/18/13	J		
141.					Buy (add'l)	09/24/13	J		
142. Wisdomtree Trust Japan Hedged Equity FD (DXJ)	A	Dividend	J	T	Buy	03/26/13	J		
143.					Sold (part)	09/24/13	J	A	
144. Wisdomtree India (EPI)	A	Dividend			Buy	03/26/13	J		
145.					Sold	09/24/13	J		
146. Ishares MSCI Australia (EWA)	A	Dividend			Buy	03/26/13	J		
147.					Sold	09/24/13	J		
148. Ishares MSCI Germany (EWG)	A	Dividend			Buy	03/26/13	J		
149.					Sold	09/24/13	J	A	
150. Ishares MSCI Hong Kong (EWH)	A	Dividend			Buy	03/26/13	J		
151.					Sold	09/24/13	J	A	
152. Ishares MSCI Italy (EWI)	A	Dividend			Buy	03/26/13	J		
153.					Sold	09/24/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Ishares MSCI Netherlands (EWN)	A	Dividend			Buy	03/26/13	J		
155.					Sold	09/24/13	J	A	
156. Ishares MSCI Spain (EWP)	B	Dividend			Buy	03/26/13	J		
157.					Sold	09/24/13	J	A	
158. Ishares MSCI France (EWQ)	A	Dividend			Buy	03/26/13	J		
159.					Sold	09/24/13	J	B	
160. Ishares MSCI Taiwan (EWT)		None			Buy	03/24/13	J		
161.					Sold	09/24/13	J	A	
162. Ishares MSCI South Korea (EWY)		None			Buy	03/26/13	J		
163.					Sold	09/24/13	J	A	
164. Ishares MSCI Brazil (EWZ)	A	Dividend			Buy	03/26/13	J		
165.					Sold	09/24/13	J		
166. Ishares MSCI South Africa (EZA)	A	Dividend			Buy	03/26/13	J		
167.					Sold	09/24/13	J	A	
168. SPDR S P China (GXC)	A	Dividend			Buy	03/26/13	J		
169.					Sold	09/24/13	J	A	
170. Market Vectors Emerging Mkts Local Currency Debt ETF (RSX)	A	Dividend			Buy	03/26/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	09/24/13	J		
172. Brokerage Account # 4 (H)									
173. Pimco Emerging Local Bond Fund (PELPX)	A	Dividend			Sold	07/25/13	J		
174. J P Morgan Mortgage Backed Securities Fund (OMBIX)	A	Dividend	J	T	Sold (part)	12/03/13	J		
175. iShares Trust Dow Jones US Real Estate Index Fund (IYR)	A	Dividend	J	T	Buy (add'l)	01/02/13	J		
176.					Sold (part)	07/01/13	J	A	
177.					Buy (add'l)	12/02/13	J		
178. iShares Barclays MBS Bond Fund (MBB)	A	Dividend			Sold	02/15/13	J		
179. Vanguard MSCI Emerging Markets ETF (VWO)	A	Dividend			Sold	06/03/13	K	A	
180. iShare Core Total US (Bond Market EFT (AGG)	A	Dividend	J	T	Sold (part)	01/02/13	J		
181.					Buy (add'l)	01/31/13	J		
182.					Buy (add'l)	04/30/13	J		
183.					Buy (add'l)	06/03/13	K		
184.					Sold (part)	08/30/13	K		
185.					Buy (add'l)	10/01/13	J		
186.					Sold (part)	10/31/13	J	A	
187.					Sold (part)	12/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. iShares MSCI EAFE Index Fund (EFA)	B	Dividend	K	T					
189. SPDR Barclay Capital High Yield Bond Fund (JNK)	A	Dividend			Sold	02/21/13	J	A	
190. Cohen & Steers Preferred Sec & Income Fd Cl 1 (CPXIX)	A	Dividend			Sold	12/03/13	J		
191. Eaton Vance Floating Rate Fund Cl 1 (EIBLX)	A	Dividend	K	T					
192. Elements-Rogers TR SV		None	J	T	Sold (part)	04/30/13	J		
193.					Sold (part)	05/31/13	J		
194.					Buy (add'l)	07/02/13	J		
195.					Buy (add'l)	09/03/13	J		
196.					Sold	10/01/13	J	A	
197.					Buy (add'l)	10/03/13	J		
198. First TR Financials Alphadex Fund	A	Dividend			Sold	01/02/13	J	A	
199. Guggenheim Bulletshares 2016 Corporate Bond ETF (BSCG)	A	Dividend	J	T					
200. Guggenheim Bulletshares 2017 Corporate Bond ETF (BSCH)	A	Dividend			Sold	02/21/13	J	A	
201. iShares Gold TR (IAU)		None			Sold (part)	01/31/13	J		
202.					Sold	04/30/13	J		
203. iSharesiBOXX \$ Investment Grade Bd Fund (LQD)	A	Dividend			Sold	02/21/13	J	A	
204. iShares JP Morgan EM Bond Fund (EMB)	A	Dividend			Sold	07/25/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

205. Ishares Russell 1000 Growth Index Fund		None			Sold	01/02/13	K	C	
206. Powershares International Corp Bond ETF (PICB)	A	Dividend			Sold	06/05/13	J		
207. Sector SPDR Consrms Stpl (XLP)	A	Dividend	J	T					
208. Sector SPDR Financial (XLF)	A	Dividend			Sold	10/01/13	K	C	
209. Sector SPDR Industrial (XLI)	A	Dividend			Sold	01/02/13	J	A	
210. SPDR S P World Ex US (GWL)	A	Dividend	K	T					
211. Third Avenue Focused Credit Fund CL Instl (TFCIX)	A	Dividend	J	T					
212. Vanguard Materials ETF (VAW)	A	Dividend			Sold	04/01/13	J	A	
213. Vanguard Short-Term Corporate Bond (VCSH)	A	Dividend	J	T					
214. Vanguard Telecomm Services ETF (VOX)		None			Sold	01/02/13	J	A	
215. Wisdomtree Emerging Mrkt Equity Income (DEM)	A	Dividend			Sold	06/28/13	K		
216. Blackrock Global Long Short Credit Fund Cl 1 (BGCIX)	A	Dividend	J	T	Buy	06/07/13	J		
217. Consumer Discretionary SPDR (XLY)	A	Dividend	K	T	Buy	01/02/13	J		
218. First TR Utilities Alphadex Fund (FXU)	A	Dividend	J	T	Buy	01/02/13	J		
219. Health Care Select SPDR (XLV)	A	Dividend	K	T	Buy	04/01/13	J		
220. Ishares 1-3 Year Treasury Bond ETF (SHY)	A	Dividend	K	T	Buy	06/28/13	J		
221.					Buy (add'l)	07/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	09/03/13	J		
223.					Sold (part)	10/31/13	K	A	
224.					Sold (part)	12/02/13	J	A	
225. Ishares MSCI Emerging Mkts (EEM)	A	Dividend	K	T	Buy	10/31/13	K		
226. Ishares RS 2000 Value (IWN)	A	Dividend	K	T	Buy	01/02/13	K		
227. Ishares Inc Global High Yield Corp BD FD (GHYG)		None	J	T	Buy	12/03/13	J		
228. Ishares Tips Bond ETF (TIP)	A	Dividend			Buy	07/26/13	J		
229.					Sold	12/03/13	J		
230. Ishares Barclays Intermediate Holding (CIU)	A	Dividend			Buy	02/21/13	J		
231.					Sold	06/05/13	J		
232. JP Morgan Strategic Income Opp Fund (JSOSX)	A	Dividend	J	T	Buy	06/07/13	J		
233. Legg Mason BW Global Opportunities BD FD 1 (GOBIX)	A	Dividend	J	T	Buy	07/25/13	J		
234. Pimco 0-5 Year H/Y Corp Bond Index ETF (HYS)	A	Dividend	J	T	Buy	02/21/13	J		
235. Sector SPDR Energy (XLE)	A	Dividend	K	T	Buy	01/02/13	J		
236. SPDR Barclays Convertible Securities ETF (CWB)	A	Dividend	J	T	Buy	12/03/13	J		
237. SPDR Gold Trust (GLD)		None	J	T	Buy	09/03/13	J		
238.					Sold	10/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy	12/02/13	J		
240. SPDR DB Intl Gov Infl Holding (WIP)	A	Dividend			Buy	02/21/13	J		
241.					Sold	06/05/13	J		
242. Vanguard Industrial ETF (VISI)	A	Dividend	J	T	Buy	10/01/13	J		
243. Ishares US Real Estate		None			Buy	01/02/13	J		
244.					Sold	07/01/13	J	A	
245. Brokerage Account # 5 (H)									
246. Abbott Labs (ABT) common stock		None			Sold	01/10/13	J	B	
247. ABBVIE Inc (ABBV) common stock	A	Dividend	K	T	Buy	01/10/13	J		
248.					Buy (add'l)	01/10/13	J		
249. Altria Group Inc. (MO) common stock	A	Dividend	J	T					
250. AMN Elec Power Co (AEP) common stock		None	J	T	Buy	11/12/13	J		
251. Automatic Data Processing (ADP) common stock		None	J	T	Buy	11/21/13	J		
252. Bristol-Myers Squibb Co (BMY) common stock	A	Dividend	J	T	Buy	02/06/13	J		
253. Chevron Corp (CVX) common stock	A	Dividend	J	T					
254. Coca Cola Company (KO) common stock		None			Sold	02/06/13	J	A	
255. Conagra Foods Inc (CAG) common stock	A	Dividend	J	T	Buy	02/06/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Digital Rlty Tr Inc (DLR) common stock	A	Dividend			Sold	12/13/13	J	C	
257. Du Pont E I De Nemours (DD) common stock	A	Dividend	J	T					
258. Emerson Elec Co (EMR) common stock	A	Dividend	J	T					
259. Glaxosmithkline PLC ADR (GSK) common stock	A	Dividend			Sold	02/06/13	J	A	
260. Glaxosmithkline PLC ADR (GSK) common stock	A	Dividend	J	T	Buy	11/04/13	J		
261. Intel Corp (INTC) common stock	A	Dividend	J	T					
262. Kimberly Clark (KMB) common stock	A	Dividend	J	T					
263. Kraft Foods Group Inc (KRFT) common stock	A	Dividend	J	T					
264. LVMH Eur 0.3 (LVMHF) common stock	A	Dividend	K	T	Buy	09/04/13	K		
265. McDonalds Corp (MCD) common stock	A	Dividend	J	T					
266. Merck and Co Inc (MRK) common stock	A	Dividend			Buy (add'l)	07/01/13	J		
267.					Sold	11/07/13	J	A	
268. Microsoft Corp (MSFt) common stock	A	Dividend			Sold	11/21/13	J	B	
269. Nextera Energy Inc (NEE) common stock	A	Dividend	J	T	Buy (add'l)	07/01/13	J		
270. Occidental Pete Corp Cal (OXY) common stock	A	Dividend	J	T	Buy	02/06/13	J		
271. Paccar Inc (PCAR) common stock	A	Dividend	J	T					
272. Philip Morris Intl Inc (PM) common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. PG&E Corp (PCG) common stock	A	Dividend			Buy	07/01/13	J		
274.					Sold	11/12/13	J		
275. PPL Corporation (PPL) common stock	A	Dividend	J	T	Buy	07/01/13	J		
276. Royal Dutch Shell Plc (RDSB) common stock		None			Sold	02/06/13	J		
277. Sempra Energy (SRE) common stock	A	Dividend			Sold	07/01/13	J	C	
278. Southern Company (SO) common stock	A	Dividend	J	T					
279. Spectra Energy Corp (SE) common stock	A	Dividend	J	T					
280. SPDR Series Trust shares (XTL)	A	Dividend	K	T					
281. Time Warner Inc (TWX) common stock	A	Dividend			Sold	03/25/13	J	C	
282. Thomson Reuters Corp (TRI) common stock	A	Dividend	J	T	Buy	03/25/13	J		
283. Toronto Dominion Bank (TD) common stock	A	Dividend	J	T					
284. United Parcel Service (UPS) Class B shares	A	Dividend	J	T					
285. Ventas Inc (VTR) Reit	A	Dividend			Sold	12/13/13	J	A	
286. XCEL Energy Inc (XEL) common stock	A	Dividend			Sold	07/01/13	J	A	
287. First TR Financials Alphadex Fund (FXO)	A	Dividend	J	T					
288. First TR Industrial PRDCR Durables Alphadex Fund (FXR)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Name of Person Reporting

Houser, Barbara J.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 5 - This trust has no assets.

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Houser, Barbara J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara J. Houser**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544