

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 4/6/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	UNIVERSITY OF KANSAS LAW SCHOOOL - TEACHING	\$1,750.03
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Conference Institute	02/27/14 - 02/28/14	Washington, DC	Educational seminar	meals, lodging, transportation
2.	American Conference Institute	06/05/14	Chicago, IL	Educational seminar	meals, lodging, transportation
3.	American Conference Institute	09/23/14	Dallas, TX	Educational seminar	meals, lodging, transportation
4.	American Conference Institute	10/28/14	New York, NY	Educational seminar	meals, lodging, transportation
5.	American Conference Institute	10/29/14 - 10/30/14	New York, NY	Educaitonal seminar	meals, lodging, transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Valley View State Bank (savings & checking accounts)	A	Interest	J	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3.									
4. - Fidelity Cash Reserves	A	Interest	J	T					
5. - Vanguard Index Fds Reit ETF		None	K	T	Buy	10/22/14	J		
6. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	K	T					
7. - Metropolitan West Tot Return Bond Cl M	A	Dividend	K	T					
8. - Oppenheimer Int'l Bond Class A		None			Sold	01/15/14	J	A	
9. Convergence Core Plus Fund Institutional C1 (con't line 10)	A	Dividend	K	T					
10. (f/k/a Mariner I 30/30 Fund Institutional C1 Shares)									
11. - Wasatch Emerging Mkt Sm Cap Fd		None			Sold	08/05/14	K	B	
12. - Palmer Square Absolute Return Cl 1	A	Dividend	K	T					
13. - Nuance Concentrated Value Fd Inst'l	A	Dividend	K	T	Buy	03/05/14	J		
14. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	K	T					
15. - Eaton Vance Floating Rate Advantage	A	Dividend			Sold	10/20/14	J	A	
16. - Palmer Square Fountain Short Duration High Income I	A	Dividend	K	T					
17. - Mainstay Marketfield Fund Class I		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Stone Ridge Reinsurance Risk Premium I	A	Dividend	J	T	Buy	02/06/14	J		
19. - Stone Ridge High Yield Reinsurance Risk Premium I	A	Dividend	J	T					
20. - Templeton Global Bond Fund Class A	A	Dividend	J	T	Sold (part)	03/05/14	J	A	
21. - Touchstone Small Cap Core Fund Class I		None			Sold	07/21/14	J	B	
22. - Plum Creek Timber Co., Inc.		None			Sold	08/07/14	J	A	
23. - Rayonier, Inc.		None			Sold	01/16/14	J	A	
24. - Consilium Emerging Mkt. Sm. Cap. Inst'l	A	Dividend	K	T	Buy	08/05/14	K		
25. - Walthausen Small Cap Value Fund		None	J	T	Buy	11/20/14	J		
26. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
27. - Fidelity Cash Reserves	A	Interest	J	T					
28. - Vanguard Index Fds Reit ETF		None			Buy	10/22/14	J		
29.					Sold	11/25/14	J	A	
30. - Harding Loevner Int'l Equity Port Inst'l		None			Sold	11/25/14	J	A	
31. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T	Sold (part)	11/25/14	J	A	
32. - Oppenheimer Int'l Bond Class A		None			Sold	01/15/14	J	A	
33. - Convergence Core Plus Fund Institutional C1 (con't line 51)		None			Sold	11/25/14	J	A	
34. (f/k/a Mariner I 30/30 Fund Institutional C1 Shares)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Wasatch Emerging Mkts Sm Cap Fd		None			Sold	08/05/14	J	A	
36. - Palmer Square Absolute Return Cl I	A	Dividend			Sold	11/25/14	J	A	
37. - Nuance Concentrated Value Fd Inst'l	A	Dividend			Buy	03/05/14	J		
38.					Sold	11/25/14	J	A	
39. - Tortise MLP Pipeline Fd Inst'l	A	Dividend			Sold	11/25/14	J	A	
40. - Eaton Vance Floating Rate Advantage	A	Dividend			Sold	10/20/14	J	A	
41. - Palmer Square Fountain Short Duration High Income I	A	Dividend			Sold	11/25/14	J	A	
42. - Mainstay Marketfield Fund Class I		None	J	T	Sold (part)	11/25/14	J	A	
43. - Stone Ridge Reinsurance Risk Premiums I	A	Dividend			Buy	02/06/14	J		
44.					Sold	11/25/14	J	A	
45. - Stone Ridge High Yield Reinsurance Risk Premium I	A	Dividend			Sold	11/25/14	J	A	
46. - Templeton Global Bond Fund Class A	A	Dividend			Sold (part)	03/05/14	J	A	
47.					Sold	11/25/14	J	A	
48. - Touchstone Small Cap Core Fund Class I		None			Sold	07/21/14	J	A	
49. - Plum Creek Timber Co., Inc.		None			Sold	08/07/14	J	A	
50. - Rayonier, Inc.		None			Sold	01/06/14	J	A	
51. - Consilium Emerging Mkt Sm Cap Inst'l		None			Buy	08/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Sold	11/25/14	J	A	
53. - Spartan 500 Index Fd Adv Cl		None	J	T	Buy	11/25/14	J		
54. - Spartan Int'l Index Fd Adv Cl	A	Dividend	J	T	Buy	11/25/14	J		
55. - Spartan Sm Cap Index Fd Adv Cl		None	J	T	Buy	11/25/14	J		
56. - Spartan Real Est Index Fd Adv Cl		None	J	T	Buy	11/25/14	J		
57. - Tortoise MLP & Pipeline Fd Investor Cl	A	Dividend	J	T	Buy	11/25/14	J		
58. - Palmer Square Fountain Short Term Duration High Inc A	A	Dividend	J	T	Buy	11/25/14	J		
59. - Nuance Concentrated Value Fund Class A	A	Dividend	J	T	Buy	11/25/14	J		
60. - Weitz Short Intermediate Income Fd Investor Cl	A	Dividend	J	T	Buy	11/25/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII, Lines 15 and 40, I have corrected the name of the mutual fund. In the 2013 report, I misspelled it as "Eaton Vance Floating Raste Advantage." It should be "Eaton Vance Floating Rate Advantage".

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544