

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hegarty, Michael E.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Various

Jefferson County Public Schools

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank	A	Interest	L	T					
2. Wells Fargo Checking	A	Interest	J	T					
3. FMI Large Cap Fund	A	Dividend	K	T					
4. Fidelity Contra Fund	A	Dividend	J	T					
5. Fidelity Diversified International Fund	A	Dividend	K	T					
6. Fidelity Low Priced Stock Fund	A	Dividend	J	T					
7. Intrepid Small Cap Fund	A	Dividend	J	T					
8. Janus Overseas Fund Class T	A	Dividend			Sold	12/30/11	J	A	
9. Fidelity Permanent Portfolio		None	J	T	Buy	12/30/11	J		
10. Matthews China Fund	A	Dividend	J	T					
11. TCW Total Return Bond Fund Class 1	A	Dividend	J	T					
12. Tocqueville Gold Fund	A	Dividend	K	T					
13. FPA Crescent Institutional Fund	A	Dividend	J	T	Buy	04/25/11	J		
14. Janus Balanced Fund Class T	A	Dividend	J	T	Buy	04/25/11	J		
15. Royce Value Fund Service CL	A	Dividend	J	T	Buy	04/25/11	J		
16. ☐ #1-2 Janus Balanced		None			Closed	04/25/11	J		
17. ☐ #2 Royce Value Fund Service CL		None			Closed	04/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. ☐ ##1-2 Wells Fargo Accounts		None			Closed	04/25/11	J		
19. ☐ ## 3-9 Fidelity Leveraged Company Stock Fund	A	Dividend	L	T					
20. ☐ # 10 Fidelity International Discovery Fund	A	Dividend	J	T					
21. ☐ ##1,2,3 Janus Balanced	A	Dividend	J	T					
22. ☐ ##2,3,4,5 Royce Value Fund Service CL	A	Dividend	J	T					
23. ☐ ##3,4,5 Janus Contrarian Fund		None			Sold	01/10/11	J		
24. ☐ ## 3,4,5,7,10 Royce Low Priced Stock Fund	A	Dividend	K	T	Buy	01/11/11	K		
25. ☐ ## 4, 8 Fidelity Contrafund	A	Dividend	J	T	Buy	01/11/11	J		
26. ☐ ##5, 7 T. Rowe Price Medial & Telecommunications Fund	A	Dividend	J	T	Buy	01/11/11	J		
27. ☐ ## 5, 6 Fairholme Fund	A	Dividend	K	T	Buy	01/11/11	K		
28. ☐ # 6Tocqueville Select Fund	A	Dividend	J	T	Buy	01/07/11	J		
29. ☐ # 8 FPA Crescent Fund	A	Dividend	J	T	Buy	01/11/11	J		
30. ☐ # 9 Oakmark International CL Fund	A	Dividend	J	T	Buy	01/11/11	J		
31. ☐ # 9 Primecap Odyssey Growth Fund	A	Dividend	J	T	Buy	01/11/11	J		
32. ☐ ## 3-11 Wells Fargo Accounts	A	Interest	J	T					
33. Retirement: Arnold & Porter 401(k) Pimco Total Return Fund	A	Dividend	J	T					
34. Retirement: Arnold & Porter 401(k) Growth Fund of America	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Retirement: Arnold & Porter 401(k) T Rowe Price Equity Income	A	Dividend	K	T					
36. Retirement: Arnold & Porter 401(k) Vanguard Inst'l Index	A	Dividend	K	T					
37. Retirement: Fidelity IRA Magellan Fund		None			Sold	01/11/11	J	C	
38. Retirement: Fidelity IRA Contrafund	A	Dividend	J	T	Buy	01/12/11	J		
39. Retirement: Fidelity IRA GMNA Fund	A	Dividend	J	T					
40. Retirement: Fidelity IRA Puritan Fund		None			Sold	01/12/11	J	B	
41. Retirement: Templeton Global Bond Class A IRA	A	Dividend	J	T	Buy	01/13/11	J		
42. Retirement: Royce Low Priced Stock Fund Service CL IRA		None	J	T	Buy	01/14/11	J		
43. Retirement: Fidelity IRA Contrafund	A	Dividend	J	T					
44. Retirement Fidelity IRA Value Fund		None			Sold	01/25/11	J	A	
45. Retirement Valley Forge Institutional Fund	A	Dividend	J	T	Buy	01/26/11	J		
46. Retirement: Templeton Global Bond Class A Fund	A	Dividend	J	T	Buy	01/26/11	J		
47. Retirement: Appleseed Fund	A	Dividend	J	T	Buy	01/26/11	J		
48. Retirement: Meridian Growth Fund	A	Dividend	J	T	Buy	01/28/11	J		
49. Retirement: Fidelity Freedom 2025		None			Sold	01/25/11	J	A	
50. Retirement: Fidelity International Small Cap Fund		None			Sold	01/25/11	J	A	
51. Retirement: Fidelity International Discovery Fund		None			Sold	01/25/11	J	A	

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52. ☐ Retirement: Fidelity IRA Fidelity Magellan Fund		None			Sold	01/25/11	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael E. Hegarty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544