

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Claire R.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 04/22/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address One Federal Plaza New York, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member and Adjunct Faculty Member	Brooklyn Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Hewlett-Woodmere Union Free School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Case Western Reserve	Oct 25-27, 2018	Cleveland, OH	Trade Policy Conference	Travel, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank - Cash Accounts	A	Interest	M	T					
2. Met Life - whole life / life insurance	A	Dividend	K	T					
3. Series EE US Savings Bond		None	J	T					
4. BROKERAGE ACCCOUNT #1 (H)									
5. Citigroup Inc Stock (common) (C)	A	Dividend	J	T					
6. General Electric Stock (common) (GE)	A	Dividend	J	T					
7. AMERICAN FUNDS, AMERICAN EUROPACIFIC GRW F2 (AEPFX)	B	Dividend	K	T					
8. AMERICAN FUNDS, AMERICAN GW FD OF AMERICA F2 (GFFFX)	C	Dividend	K	T					
9. AMERICAN FUNDS, AMERICAN WA MUTUAL F2 (WMFFX)	C	Dividend	K	T					
10. MAINSTAY NY TAX FREE OPPORT I (MNOIX)	B	Dividend	K	T					
11. PGIM (FORMERLY PRUDENTIAL) TOTAL RETURN BD Z (PDBZX)	B	Dividend	K	T					
12. IRA #1 (H)									
13. MORGAN STANLEY BANK NA (CASH)	A	Interest	J	T					
14. ISHARES INCMSCI JAPAN ETF (EWJ)	A	Dividend			Buy (add'l)	01/12/18	J		
15.					Sold	09/13/18	K	A	
16. ISHARES MORNINGSTAR MID CP ETF (JKG)	A	Dividend	K	T	Buy	09/13/18	K		
17. WISDOMTREE TR JAPN HEDGE EQ (DXJ)	A	Dividend	K	T	Buy	09/13/18	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BARON GROWTH INSTITUTIONAL (BGRIX)	B	Dividend	K	T	Sold (part)	01/12/18	J		
19.					Sold (part)	07/27/18	J	A	
20.					Buy (add'l)	09/13/18	K		
21.					Buy (add'l)	12/11/18	J		
22. BLACKROCK INFLAT PROT BOND I (BPRIX)	A	Dividend	K	T	Buy (add'l)	09/14/18	K		
23. BLACKSTONE ALT MULT-STRAT INST (BXMIX)		None			Buy (add'l)	01/12/18	J		
24.					Sold	09/13/18	K		
25. BRANDYWINE (FORMERLY LEGG MASON) BW ALT CREDIT (LMANX)	A	Dividend			Sold	09/13/18	J		
26. CLEARBRIDGE LG CAP GRWTH I (SBLYX)	C	Dividend	L	T	Buy	09/13/18	L		
27. DELAWARE INV SM VAL INST (DEVIX)	B	Dividend	K	T	Sold (part)	01/12/18	J	A	
28.					Sold (part)	07/27/18	J	A	
29.					Buy (add'l)	09/13/18	K		
30. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	L	T	Buy	09/13/18	L		
31. EV ADV FLOATING RATE I (EIFAX)	A	Dividend	K	T	Buy	09/13/18	K		
32. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend			Sold	01/12/18	K		
33. IVY MID CAP GROWTH I (IYMIX)		None			Sold (part)	01/12/18	J	A	
34.					Sold (part)	07/27/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	09/13/18	J	C	
36. LOCORR MARKET TREND I (LOTIX)		None			Sold	09/13/18	J		
37. MAINSTAY CUSHING MLP PREMIER I (CSHZX)	C	Dividend	K	T	Sold (part)	01/12/18	J	A	
38.					Buy (add'l)	03/02/18	J		
39.					Sold (part)	05/23/18	J		
40.					Sold (part)	07/27/18	J		
41.					Sold (part)	08/22/18	J		
42.					Sold (part)	09/13/18	K		
43. OAKMARK I (OAKMX)		None			Sold (part)	01/12/18	J	A	
44.					Sold	05/04/18	L		
45. OAKMARK FUND ADV (OAYMX)	D	Dividend	L	T	Buy	05/04/18	L		
46.					Buy (add'l)	07/27/18	K		
47.					Buy (add'l)	09/13/18	J		
48.					Sold (part)	09/25/18	J	A	
49.					Buy (add'l)	12/11/18	J		
50.					Buy (add'l)	12/18/18	J		
51. OAKMARK INTERNATL I (OAKIX)		None			Buy (add'l)	01/12/18	K		

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52.					Sold (part)	01/24/18	J	A	
53.					Buy (add'l)	03/02/18	J		
54.					Sold	05/04/18	M		
55. OAKMARK INTERNATIONAL ADV (OAYIX)		None			Buy	05/04/18	M		
56.					Buy (add'l)	07/27/18	J		
57.					Sold	09/13/18	M		
58. PEAR TREE POLARIS FGN VL I (QFVIX)	A	Dividend	K	T	Buy	09/13/18	K		
59. PIONEER MULTI AST ULT SHT INC Y (MYFRX)	A	Dividend			Buy (add'l)	01/12/18	J		
60.					Buy (add'l)	07/27/18	J		
61.					Sold	09/13/18	K		
62. POLEN GRW INST (POUX)		None			Sold (part)	01/12/18	J	A	
63.					Sold (part)	05/23/18	J	A	
64.					Sold (part)	07/24/18	J	A	
65.					Sold (part)	07/27/18	K	C	
66.					Sold	09/13/18	K	D	
67. PGIM (FORMERLY PRUDENTIAL) SHT TRM CORP BD Z (PIFZX)	B	Dividend			Buy (add'l)	01/12/18	K		
68.					Sold (part)	02/21/18	J		

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69.					Sold (part)	03/26/18	J		
70.					Buy (add'l)	07/27/18	J		
71.					Sold (part)	08/22/18	J		
72.					Sold	09/13/18	L		
73. PGIM (FORMERLY PRUDENTIAL) TOTAL RETURN BD Z (PDBZX)	B	Dividend	L	T	Buy (add'l)	01/12/18	J		
74.					Buy (add'l)	09/13/18	J		
75.					Sold (part)	10/24/18	J		
76. THORNBURG INTL GRWTH I (TINGX)	B	Dividend	K	T	Buy	09/13/18	K		
77.					Buy (add'l)	12/11/18	J		
78. TOUCHSTONE MID CAP VALUE Y (TCVYX)	A	Dividend			Sold (part)	01/12/18	J	A	
79.					Sold	09/13/18	J		
80. VAN ECK EMERGING MARKETS Y (EMRYX)		None			Sold (part)	02/21/18	J	A	
81.					Buy (add'l)	07/27/18	J		
82.					Sold	09/13/18	K		
83. WESTERN ASSET CORE PLUS BD I (WACPX)	A	Dividend	L	T	Buy	09/13/18	L		
84. IRA #2 (H)									
85. MORGAN STANLEY BANK NA (CASH) (Y)									

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86. ISHARES INCMSCI JAPAN ETF (EWJ)		None			Buy (add'l)	01/12/18	J		
87.					Sold	09/13/18	J	A	
88. ISHARES MORNINGSTAR MID CP ETF (JKG)	A	Dividend	J	T	Buy	09/13/18	K		
89. WISDOMTREE TR JAPN HEDGE EQ (DXJ)	A	Dividend	J	T	Buy	09/13/18	J		
90. BARON GROWTH INSTITUTIONAL (BGRIX)	A	Dividend	J	T	Sold (part)	01/12/18	J		
91.					Sold (part)	07/27/18	J	A	
92.					Buy (add'l)	09/13/18	J		
93.					Buy (add'l)	12/11/18	J		
94. BLACKROCK INFLAT PROT BOND I (BPRIX)	A	Dividend	K	T	Buy (add'l)	09/14/18	J		
95. BLACKSTONE ALT MULT-STRAT INST (BXMIX)		None			Sold	09/13/18	K		
96. BRANDYWINE (FORMERLY LEGG MASON) BW ALT CREDIT (LMANX)	A	Dividend			Sold	09/13/18	J		
97. CLEARBRIDGE LARGE CAP GRWTH I (SBLYX)	B	Dividend	L	T	Buy	09/13/18	L		
98. DELAWARE INV SM VAL INST (DEVIX)	B	Dividend	J	T	Sold (part)	01/12/18	J	A	
99.					Sold (part)	07/27/18	J	A	
100.					Buy (add'l)	09/13/18	J		
101. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	K	T	Buy	09/13/18	K		
102. EV ADV FLOATING RATE I (EIFAX)	A	Dividend	K	T	Buy	09/13/18	K		

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103. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend			Sold	01/12/18	J		
104. IVY MID CAP GROWTH I (IYMIX)		None			Sold (part)	01/12/18	J	A	
105.					Sold (part)	07/27/18	J	A	
106.					Sold	09/13/18	J	B	
107. LOCORR MARKET TREND I (LOTIX)		None			Sold	09/13/18	J		
108. MAINSTAY CUSHING MLP PREMIER I (CSHZX)	B	Dividend	J	T	Buy (add'l)	07/27/18	J		
109.					Sold (part)	09/13/18	J		
110. OAKMARK I (OAKMX)		None			Sold (part)	01/12/18	J	A	
111.					Sold	05/04/18	K		
112. OAKMARK FUND ADV (OAYMX)	C	Dividend	K	T	Buy	05/04/18	K		
113.					Buy (add'l)	07/27/18	J		
114.					Buy (add'l)	09/13/18	J		
115.					Buy (add'l)	12/18/18	J		
116. OAKMARK INTERNATL I (OAKIX)		None			Buy (add'l)	01/12/18	K		
117.					Sold	05/04/18	L		
118. OAKMARK INTERNATIONAL ADVISOR (OAYIX)		None			Buy	05/04/18	L		
119.					Buy (add'l)	07/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	09/13/18	L		
121. PEAR TREE POLARIS FGN VL I (QFVIX)	A	Dividend	K	T	Buy	09/13/18	K		
122. PIONEER MLTI AST ULT SHT INC Y (MYFRX)	A	Dividend			Buy (add'l)	07/27/18	J		
123.					Sold	09/13/18	K		
124. POLEN GRW INST (POUX)		None			Sold (part)	01/12/18	J	A	
125.					Sold (part)	07/27/18	K	C	
126.					Sold	09/13/18	K	D	
127. PGIM (FORMERLY PRUDENTIAL) SHT TRM CORP BD Z (PIFZX)	B	Dividend			Buy (add'l)	01/12/18	J		
128.					Sold	09/13/18	K		
129. PGIM (FORMERLY PRUDENTIAL) TOTAL RETURN BD Z (PDBZX)	B	Dividend	K	T	Buy (add'l)	01/12/18	J		
130.					Buy (add'l)	09/13/18	J		
131. THORNBURG INTL GROWTH I (TINGX)	A	Dividend	K	T	Buy	09/13/18	K		
132.					Buy (add'l)	12/11/18	J		
133. TOUCHSTONE MID CAP VALUE Y (TCVYX)	A	Dividend			Sold (part)	01/12/18	J	A	
134.					Sold	09/13/18	J		
135. VAN ECK EMERGING MARKETS Y (EMRYX)		None			Sold (part)	01/12/18	J	A	
136.					Buy (add'l)	07/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	09/13/18	K		
138. WESTERN ASSET CORE PLUS BD I (WACPX)	A	Dividend	K	T	Buy	09/13/18	K		
139. IRA #3 (H)									
140. Fidelity Blue Chip GR (FBGRX)	B	Dividend	L	T					
141. Fidelity Blue Chip Value (FBCVX)	B	Dividend	L	T					
142. Fidelity Diversified International Fund (FDIVX)	C	Dividend	L	T					
143. Fidelity Global Comdty Stock (FFGCX)	A	Dividend	K	T					
144. Fidelity Inf'l Real Estate (FIREX)	A	Dividend	K	T					
145. Fidelity Mid Cap ENH Index (FMEIX)	B	Dividend	K	T					
146. Fidelity SM Cap ENH Index (FCPEX)	C	Dividend	K	T					
147. Fidelity Total Emerging Markets (FTEMX)	A	Dividend	K	T					
148. Fidelity GLB High Income (FGHNX)	A	Dividend	K	T					
149. Fidelity NEW Mkts. Inc. (FNMIX)	A	Dividend	K	T					
150. Fidelity Strategic Income (FADMX)	B	Dividend	K	T	Sold	04/27/18	K		
151.					Buy	04/27/18	K		
152. Fidelity Total Bond (FTBFX)	B	Dividend	L	T					
153. IRA #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. TIAA Traditional	C	Dividend	L	T	Distributed (part)	06/01/18	J		
155. IRA #5 (H)									
156. MORGAN STANLEY BANK NA (cash) (Y)					Open	06/08/18	J		
157. CLEARBRIDGE LARGE CAP GROWTH (SBLYX)	A	Dividend	J	T	Buy	09/13/18	J		
158. OAKMARK FUND ADVISOR (OAYMX)	A	Dividend	J	T	Buy	06/14/18	J		
159. OAKMARK INTERNATIONAL ADVISOR (OAYIX)	A	Dividend			Buy	06/14/18	J		
160.					Sold	09/13/18	J		
161. PGIM SHORT TERM CORP BOND Z (PIFZX)	A	Dividend			Buy	06/14/18	J		
162.					Sold	09/13/18	J		
163. PGIM TOTAL RETURN BOND Z (PDBZX)	A	Dividend	J	T	Buy	06/14/18	J		
164. POLEN GROWTH INST (POLIX) (Y)					Buy	06/14/18	J		
165. WESTERN ASSET CORE PLUS BD I (WACPX)	A	Dividend	J	T	Buy	09/13/18	J		
166. CUSTODIAL ACCOUNT #1 (H)									
167. General Electric Stock (common) (GE)	A	Dividend	J	T					
168. COLLEGE FUND #1 (H)									
169. NY 529 College Svgs Prog, Mod. Age- Based Option: Discp Growth Portf.		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 156 and 164: Following reportable transactions, these items became unreportable without corresponding reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Claire R. Kelly*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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