

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) GWIN, JAMES S.	2. Court or Organization N.D. OHIO	3. Date of Report 05/06/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address CARL B. STOKES US COURT HOUSE 801 WEST SUPERIOR AVENUE CLEVELAND, OH 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. At retirem	STATE OF OHIO PUBLIC EMPLOYEE RETIREMENT SYSTEM AND OHIO STATE TEACHERS RETIREMENT SYSTEM. RIGHT TO RETIREMENT BENEFITS
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. Monthly

INTERNATIONAL BUSINESS MACHINES, INC., pension

2. Monthly

salary, bonus, restricted stock

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM common stock (IBM)	D	Dividend	N	T					
2. Galaxy mutual funds	A	Dividend	J	T					
3. Berkshire Hathaway common stock (BRKA)	A	Dividend	M	T					
4. Vanguard Total Stock Market Index Fund, tax deferred	A	Dividend	N	T	Variou purch		K		
5. Merrill Lynch money market fund	A	Dividend	K	T					
6. T.Rowe Price Mid-Cap Mutual Fund (RPMGX)	A	Dividend	K	T					
7. [REDACTED] common stock, [REDACTED]	D	Dividend	N	T					
8. Fidelity Contra Fund, mutual fund (FCNTX)	A	Dividend	M	T					
9. Lord Abbett MidCap Fund, mutual fund (LAVSZ)	B	Dividend			Sold	10/26/11	L	D	
10. Davis New York Venture Fund, mutual fund (NYVTX)	A	Dividend	L	T					
11. Exxon (XOM)	A	Dividend			Sold	10/26/11	J	A	
12. Camco Financial Corp., common stock (CAFI)	A	Dividend			Sold	10/26/11	J	A	
13. Heinz, common stock (HNZ)	A	Dividend	K	T					
14. Pfizer, common stock (PFE)	A	Dividend			Sold	10/26/11	J		
15. Briston Myers, common stock (BMY)	A	Dividend			Sold	10/26/11	J		
16. Cisco, common stock (CSCO)	A	Dividend	J	T					
17. Walt Disney Co., common stock (DIS)	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Microsoft Corp., common stock (MSFT)	A	Dividend	J	T					
19. Farmers National Bank, common stock (FMNB)	A	Dividend			Sold	10/26/11	J	A	
20. Intel Corporation, common stock (INTC)	A	Dividend			Sold	10/26/11			
21. Schwab Money Market Fund	E	Dividend	O	T					
22. BB & T Corp., common stock (BBT)	A	Dividend			Sold	10/26/11	J		
23. Citigroup, common stock (C)	A	Dividend			Sold	10/26/11	J	A	
24. Chevron Texaco Co., common stock (CVX)	A	Dividend			Sold	10/26/11	K		
25. Conoco Phillips (COP)	A	Dividend			Sold	10/26/11	K	C	
26. Templeton Dragon Fund (TDF)	A	Dividend			Sold	10/26/11	J	B	
27. Fpa Capital Fund (FPPTX)	A	Dividend			Sold	10/26/11	J	A	
28. Morgan Stanley Asia Fund (APF)	A	Dividend			Sold	10/26/11	J	A	
29. JPMorgan Chase & Co. (JPM)	A	Dividend	K	T					
30. Public Square Partners, LLC	C	Dividend	M	U					
31. Ishares S&P Midcap 400 Growth Index Fund (IJK)	A	Dividend	K	T	Buy	10/26/11	J		
32. WisdomTree Emerging ETF Mkts Local Debt Fund (ELD)	A	Dividend	K	T	Buy	10/26/11	K		
33. Ishares Dow Jones U S Telecommun Sector Index Fund (IYZ)	A	Dividend	K	T	Buy	10/26/11	K		
34. Materials Select Sector SPDR Fund (XLB)	A	Dividend	K	T	Buy	10/26/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Energy Select Sector SPDR Fund (XLE)	A	Dividend	L	T	Buy	10/26/11	L		
36. iShares Barclays Intermediate Credit Bond Fund (CIU)	A	Dividend	M	T	Buy	10/26/11	M		
37. PowerShares DB Commodity Index Tracking Trust (DBC)	A	Dividend	K	T	Buy	10/26/11	K		
38. iShares Dow Jones US Technology Sector Index Fund (IYW)	A	Dividend	M	T	Buy	10/26/11	M		
39. Consumer Discretionary Select Sector SPDR Fund (XLY)	A	Dividend	L	T	Buy	10/26/11	L		
40. Rydex ETF Trust S&P Equal Weight Financials (RYF)	A	Dividend	L	T	Buy	10/26/11	L		
41. Health Care Select Sector SPDR Fund (XLV)	A	Dividend	L	T	Buy	10/26/11	L		
42.									
43.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding the investment in the Vanguard Fund, this investment is made through an employer 401 plan sponsored at [REDACTED] employer. The yearly statutory maximum is met in the first quarter although we do not know the exact dates of purchase.

Regarding the Thrift Savings Plan Common Stock Index Fund, this investment is made through an employer 401 plan sponsored by the U.S. Courts. Maximum allowable deductions and purchases are made each month.

Regarding Schwab Money Market Fund (Part VII, 24) this investment is a money market fund to which investments are made relatively frequently and infrequent withdrawals occur. The account is used as a savings type account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES S. GWIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544