

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Karlin, Janice M.	2. Court or Organization District of Kansas	3. Date of Report 05/04/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 215 U.S. Courthouse 444 S.E. Quincy Topeka, Kansas 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Karlin, Janice M.

Date of Report

05/04/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Self-employed Attorney

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Karlin, Janice M.

Date of Report

05/04/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Bank, N.A.	Guaranty of portion of mtg. debt of BESCO, L.L.C. [REDACTED]	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Karlin, Janice M.

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05/04/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank, N.A.	A	Interest	K	T					
2. University National Bank	A	Interest	J	T					
3. Barber Emerson Profit Sharing									
4. --Principal Core Plus Bond Separate Account	A	Interest	O	T	Buy	11/25/16	N		
5. --Principal Large Cap S & P 500 Index Separate Account	E	Dividend	N	T	Sold (part)	11/25/16	O		
6. --Principal Mid Cap Value Separate Account	D	Dividend	N	T	Sold (part)	11/25/16	M		
7. --Principal U.S. Property Separate Account	C	Dividend	N	T	Buy	11/25/16	N		
8. --Principal Large Cap Value Separate Account	D	Dividend	M	T	Sold (part)	11/25/16	L		
9. --Principal Mid Cap Separate Account	C	Dividend	M	T	Sold (part)	11/25/16	N		
10. --Principal Small Cap Separate Account	D	Dividend	K	T	Sold (part)	11/25/16	K		
11. --Principal Diversified International Separate Account	C	Dividend			Sold	11/25/16	L		
12. --Principal Liquid Assets Separate Acct (fka Money Mkt Separate Acct)	A	Interest	M	T	Buy	11/25/16	M		
13. --Principal Short-Term Income Separate Account	A	Interest	N	T	Buy	11/25/16	N		
14. Vanguard IRA -- Value Index Fund	D	Dividend	M	T	Sold (part)	11/25/16	M		
15. Vanguard IRA -- Money Market Fund	A	Interest	M	T	Buy	11/25/16	M		
16. MFS Total Return Fund IRA	D	Dividend	N	T					
17. Ameriprise IRA Managed Portfolio (now Strategic Portfolio)	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Karlin, Janice M.

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18. --Capital World Bond, Class A, CWBFX	A	Dividend			Sold	08/26/16	J		
19. --Columbia Multi Advisor Small Cap Value, Class C APVCX	A	Dividend			Sold	08/26/16	J		
20. --Hartford Capital Appreciation, Class C, HCACX	A	Dividend			Sold	08/26/16	J		
21. --Ivy Asset Strategy, Class C, WASCX	A	Dividend			Sold	08/26/16	J		
22. --Perkins Mid Cap Value, Class C, JMVX	A	Dividend			Sold	08/26/16	J		
23. --John Hancock Strategic Income Opportunities, Class C, JIPCX	A	Dividend			Sold	08/26/16	J		
24. --Templeton Global Bond, Class C, TGBX	A	Dividend			Sold	08/26/16	J		
25. --Thornburg Int'l Value, Class C, THGCX	A	Dividend			Sold	08/26/16	J		
26. --American Century Equity Income, Class A, TWEAX	A	Dividend	J	T	Buy	09/02/16	J		
27. --Columbia Balanced, Class A, CBLAX	A	Dividend	J	T	Buy	09/02/16	J		
28. --Locorr, Managed Future Strategy, Class A, LFMAX	A	Dividend	J	T	Buy	09/02/16	J		
29. --Lord Abbett Growth Leaders, Class A, LGLAX	A	Dividend	J	T	Buy	09/02/16	J		
30. --Thornburg Investment Income Builder, Class A, TIBAX	A	Dividend	J	T	Buy	09/02/16	J		
31. 1/5 interest in Park Rental L.C., [REDACTED], Douglas County, KS		None			Merged (with line 32)	12/23/16	J		
32. 1/5 interest in BESCO, L.L.C., [REDACTED], Douglas County, KS	E	Rent	M	W					
33. Vanguard Total Int'l Stock Index	A	Dividend	L	T	Redeemed (part)	05/26/16	K	D	
34. Vanguard Total Int'l Stock Index (remaining shares held after 5/26/16)	A	Dividend			Sold	11/25/16	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Karlin, Janice M.

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35. Vanguard 500 Index Fund	B	Dividend	M	T					
36. Vanguard Int'l Explorer	A	Dividend	K	T					
37. Vanguard Extended Market Index	B	Dividend	M	T	Redeemed (part)	09/26/16	J	D	
38. Vanguard Int'l Growth		None			Sold	11/25/16	J	A	
39. Vanguard Health Care		None			Sold	11/25/16	L	A	
40. Vanguard Total Stock Market Index	A	Dividend	K	T					
41. Vanguard Selected Value		None			Sold	11/25/16	K	A	
42. Emprise Bank	A	Interest	M	T					
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Karlin, Janice M.

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52.									
53.									
54.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Karlin, Janice M.

Date of Report

05/04/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Karlin, Janice M.

Date of Report

05/04/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Janice M. Karlin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544