

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                           |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Nowak, Christine A.                                                                                                   | <b>2. Court or Organization</b><br><br>United States District Court, Eastern District of Texas                                                                                                                                                                                                                            | <b>3. Date of Report</b><br><br>05/15/2018                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Full-Time United States Magistrate Judge                       | <b>5a. Report Type (check appropriate type)</b><br><div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Nomination<br/> <input type="checkbox"/> Initial         </div> <div> <input checked="" type="checkbox"/> Annual<br/> <input type="checkbox"/> Final         </div> </div> | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>5b. <input type="checkbox"/> Amended Report</b>                                                                                                                                         |                                                                                                                                                                                                                                                                                                                           |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse Annex<br>200 North Travis Street<br>Sherman, TX 75090                                                                 |                                                                                                                                                                                                                                                                                                                           |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                                                                                                           |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>                | <u>NAME OF ORGANIZATION/ENTITY</u>                     |
|--------------------------------|--------------------------------------------------------|
| 1. Former Participating Member | Dykema Cox Smith, PLLC (former law firm)               |
| 2. Board Member at Large       | Dallas Women Lawyers Association (non-profit)          |
| 3. Board Member at Large       | Collin County Women Lawyers Association (non-profit)   |
| 4. President                   | Grayson County Bar Association (local bar association) |
| 5.                             |                                                        |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u>   | <u>PARTIES AND TERMS</u>                                                                                                                                    |
|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 2008       | KL Gates 401K Savings and Retirement Plan (former law firm, no control)                                                                                     |
| 2. 06/19/2015 | Departure Agreement with Dykema Cox Smith (agreement with former law firm re: return of capital and withdrawal of participation in payments/benefits/taxes) |
| 3.            |                                                                                                                                                             |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Henley &amp; Henley- Salary

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. | ACS             | Student Loan       | K                 |
| 2. | AES             | Student Loan       | K                 |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. USAA Account                                                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. USAA CD                                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Texas Tuition Promise Fund (prepaid tuition plan)                                                                        |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Dallas County Pension Plan (not self directed)                                                                           | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. KL Gates 401K Savings & Investment Plan                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 6. - Hancock Horizon Diversified Int'l                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. - Nuveen Real Estate I                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. - Vanguard Growth Index Institution                                                                                      | A                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Dallas County 457(b)                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. - American Century Growth Fund                                                                                          | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. - Neuberger Berman Equity Trust- Genesis Fund                                                                           | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. - Pimco Total Return Fund                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. - TRowe Price Growth Stock                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. - Wells Fargo Advantage                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. - Discovery Fund Admin. Class                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Henley & Henley 401K Savings & Investment Plan                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17. - Voya Small Cap Index Equity Fund 361657                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                |   |          |   |   |  |  |  |  |  |
|--------------------------------|---|----------|---|---|--|--|--|--|--|
| 18. USAA IRA                   |   |          |   |   |  |  |  |  |  |
| 19. - V.F. Corp.               | A | Dividend | J | T |  |  |  |  |  |
| 20. - Proctor & Gamble Company | A | Dividend | J | T |  |  |  |  |  |
| 21. - Johnson & Johnson        | A | Dividend | J | T |  |  |  |  |  |
| 22. - Ford Motor Co.           | A | Dividend | J | T |  |  |  |  |  |
| 23. - Fluor Corp New           | A | Dividend | J | T |  |  |  |  |  |
| 24. - Estee Lauder Companies   | A | Dividend | J | T |  |  |  |  |  |
| 25. - Caterpillar Inc.         | A | Dividend | J | T |  |  |  |  |  |
| 26. - Big Lots Inc.            | A | Dividend | J | T |  |  |  |  |  |
| 27. - Baxter Int'l             | A | Dividend | J | T |  |  |  |  |  |
| 28. - Abbvie Inc. Com          | A | Dividend | J | T |  |  |  |  |  |
| 29. - Abbott Laboratories      | A | Dividend | J | T |  |  |  |  |  |
| 30. USAA IRA                   |   |          |   |   |  |  |  |  |  |
| 31. - VISA Inc. CL A           | A | Dividend | J | T |  |  |  |  |  |
| 32. - Microsoft Corp.          | A | Dividend | J | T |  |  |  |  |  |
| 33. - MGM Mirage Inc.          | A | Dividend | J | T |  |  |  |  |  |
| 34. - Intel Corporation        | A | Dividend | J | T |  |  |  |  |  |

|                                                  |                                                                                       |                                                      |                                                              |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                              | T = Cash Market                                              |                         |

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|                                                     |   |           |   |   |  |  |  |  |  |
|-----------------------------------------------------|---|-----------|---|---|--|--|--|--|--|
| 35. - Ford Motor Co.                                | A | Dividend  | J | T |  |  |  |  |  |
| 36. - Fitbit Inc. CL A                              | A | Dividend  | J | T |  |  |  |  |  |
| 37. - Columbia Thermostat A                         | A | Dividend  | J | T |  |  |  |  |  |
| 38. Knights of Columbus Whole Life Insurance Policy | A | Int./Div. | K | T |  |  |  |  |  |
| 39. Knights of Columbus Whole Life Insurance Policy | A | Int./Div. | K | T |  |  |  |  |  |
| 40.                                                 |   |           |   |   |  |  |  |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine A. Nowak**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544