

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Korrmann, Charles B.	2. Court or Organization District of South Dakota	3. Date of Report 05/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 102 4th Ave, SE, Suite 408 Aberdeen, SD 57401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. General partner	Ivey and Korrmann, a partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1-1-97	Articles of Partnership, Ivey & Korrmann
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common stock, Red Ribbon, Inc.	D	Distribution			Redeemed	11/15/16	J	D	
2. farm land, Hamlin County, SD	E	Rent	P1	W					
3. 43.84% interest, partner, Ivey & Kornmann	E	Distribution	N	W					
4. 43.84% interest, partner, Ivey & Kornmann	E	Distribution	N	W					
5. SD Retirement System, monthly benefits	D	Distribution	L	W					
6. AMG Managers Investment Group, f/k/a / Brandywine Fund	A	Dividend	M	T					
7. Oakmark Select Shares	D	Dividend	M	T					
8. Masters Select Equity Fund Shares	D	Dividend	M	T					
9. Vanguard 500 Index Fund (Ivey & Kornmann)	C	Dividend	M	T					
10. Vanguard REIT Fund (Ivey & Kornmann)	D	Dividend	N	T					
11. Mexican condo (Ivey & Kornmann)		None	M	W					
12. Eaton Vance Tax Global Buy Write Fund (Ivey & Kornmann)	B	Dividend	K	T					
13. Ft. Spec Fin & Finl Oppty, Ivey & Kornmann	B	Dividend	J	T					
14. Healthcare Plus Federal Credit Union	A	Interest	M	T					
15. Healthcare Plus Federal Credit Union, Ivey & Kornmann	A	Interest	N	T					
16. Twin Homes, Aberdeen, Brown County, SD	E	Rent	N	W					
17. Wells Fargo Advantage Global (Ivey & Kornmann)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Euopacific Growth FD	A	Dividend	K	T	Buy (add'l)	09/22/16	J		
19. Euopacific Growth FD	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
20. Artisan Partners FDS	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
21. Artisan Partneers FDS	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
22. Gabelli Equity Series FD	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
23.					Sold (part)	12/15/16	J	A	
24. Gabelli Equity Series FD	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
25.					Sold (part)	12/15/16	J	A	
26. Goldman Sachs Tr. Finl Mny Mkt	A	Interest	J	T	Sold (part)	01/11/16	J	A	
27.					Sold (part)	04/08/16	J	A	
28.					Sold (part)	07/08/16	J	A	
29.					Buy (add'l)	09/22/16	J		
30.					Buy (add'l)	09/23/16	J		
31.					Sold (part)	10/14/16	J	A	
32. Goldman Sachs Tr. Finl Mny Mkt	A	Interest	J	T	Sold (part)	01/11/16	J	A	
33.					Sold (part)	04/08/16	J	A	
34.					Sold (part)	07/08/16	J	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	09/22/16	J		
36.					Buy (add'l)	09/23/16	J		
37.					Sold (part)	10/14/16	J	A	
38. Growth Fund Amer.	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
39.	B	Distribution			Sold (part)	12/15/16	J	A	
40. Growth Fund Amer.	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
41.	A	Distribution			Sold (part)	12/15/16	J	A	
42. Harbor Fund Cap	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
43. Harbor Fund Cap	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
44. Hartford Mut FDS Inc.	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
45. Hartford Mut FDS Inc.	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
46. Investment Co. Amer.	A	Dividend	K	T	Sold (part)	07/08/16	J	A	
47.	A	Dividend			Sold (part)	08/09/16	J	A	
48.					Buy (add'l)	09/22/16	J		
49.					Sold (part)	12/15/16	J	A	
50. Investment Co. Amer	A	Dividend	J	T	Sold (part)	07/08/16	J	A	
51.	A	Dividend			Sold (part)	08/09/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52.					Buy (add'l)	09/22/16	J		
53.					Sold (part)	12/15/16	J	A	
54. American FDS Inc. New World	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
55. American FDS Inc. New World	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
56. Pimco FDS Pac Inv	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
57. Pimco FDS Pac Inv	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
58. Oppenheimer Dev. Mkts.	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
59. Oppenheimer Dev. Mkts	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
60. T Rowe Price Real Est. Fund Inc.	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
61. T Rowe Price Real Est. Fund Inc.	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
62. T Rowe Price MD-CP Val.	A	Dividend	K	T	Sold (part)	08/09/16	J	A	
63. T Rowe Price MD-CP Val	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
64. T Rowe Price MD-CP Val.	A	Dividend	J	T	Sold (part)	08/09/16	J	A	
65. T Rowe Price MD-CP Val	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
66. Small Cap World Fund Inc. I	A	Dividend	K	T	Sold (part)	09/22/16	J	A	
67. Small Cap World Fund Inc.	A	Dividend	J	T	Sold (part)	12/21/16	J	A	
68. Wash; Mut. Investors Fund	A	Dividend	K	T	Sold (part)	08/09/16	J	A	

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69.	B	Dividend	K	T	Buy (add'l)	09/22/16	J		
70.					Buy (add'l)	09/23/16	J		
71.					Sold (part)	12/15/16	J	A	
72. Wash. Mut. Investors Fund	A	Dividend	J	T	Sold (part)	08/09/16	J	A	
73.	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
74.					Buy (add'l)	09/23/16	J		
75.					Sold (part)	12/15/16	J	A	
76. Invesco Comstock Fund	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
77. Invesco Comstock Fund	A	Distribution	J	T	Sold (part)	12/15/16	J	A	
78. Invesco Comstock Fund	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
79. Invesco Comstock Fund	A	Distribution	J	T	Sold (part)	12/15/16	J	A	
80. Dodge & Cox FDS		None			Sold	09/22/16	J	C	
81. Dodge & Cox FDS S)		None			Sold	09/22/16	J	A	
82. JP Morgan Large Cap	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
83. JP Morgan Large Cap	A	Dividend	J	T	Buy (add'l)	09/22/16	J		
84. Russell Invt Co. Commodity Strategies		None	K	T	Buy (add'l)	07/13/16	J		
85. Russell Invt.Co. Global CR Strategies FD	A	Dividend	J	T	Buy (add'l)	07/12/16	J		

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86.					Buy (add'l)	07/13/16	J		
87. Russell Emerging Mkts. CI S	A	Dividend	L	T	Buy (add'l)	07/13/16	J		
88. Russell Invt.Co. Global Real Est. Secs	A	Dividend	K	T	Sold (part)	07/12/16	J	A	
89.	A	Dividend							
90. Russell Glbl. Eqty	A	Dividend	L	T	Sold (part)	07/12/16	J	A	
91.	C	Dividend							
92. Russell Intl. Devel PD Mkts FD Class S	A	Dividend	L	T	Buy (add'l)	07/12/16	J		
93.					Buy (add'l)	07/13/16	J		
94. Russell Invt Co. Multi-Strategy	A	Dividend			Sold	07/12/16	K	A	
95. Russell US Small Cap	A	Dividend	K	T	Sold (part)	07/12/16	J	A	
96. Russell U.S. Strat. Equit.	B	Dividend	M	T	Sold (part)	01/12/16	J	A	
97.	D	Dividend			Sold (part)	04/11/16	J	A	
98.					Sold (part)	07/08/16	J	A	
99.					Sold (part)	07/12/16	J	B	
100. Russell US Strat Equit					Buy (add'l)	07/13/16	J		
101. Russell Invt. Co. Global Infrastructure Fund	A	Dividend	K	T	Sold (part)	07/08/16	J	A	
102. Jefferson City Retirement, LLC (Ivey & Kornmann)		None	K	T					

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103. Cheyenne Retirement, LLC	A	Distribution	K	T					
104. Mishawaka/South Bend Retirement, LLC		None	K	T					
105. Debt from Driver Properties LLC	A	Interest	J	T					
106. Debt from Bill Welk (Ivey & Kornmann)		None	K	T					
107. Nuveen S & P Buy-Write Fund (Ivey & Kornmann)	C	Dividend	K	T					
108. Newburgh/Evansville (Primrose)		None	K	T					
109. C.D., Capital One	A	Interest	L	T					
110. Deutsche Bank Global Real Estate FD	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
111. Deutsche Bank Global Real Estate FD	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
112. Deutsche Bank Enhanced Comm Fd	A	Dividend	J	T	Sold (part)	09/22/16	J	A	
113. Deutsche Bank Enhanced Comm Fd	A	Dividend	J	T	Sold (part)	09/22/16	J		
114. Bond Fund of America F-2	A	Dividend	J	T	Buy	09/22/16	J		
115. Bond Fund of America F-2	A	Dividend	J	T	Buy	09/22/16	J		
116. JP Morgan High Yield Fund	A	Dividend	J	T	Buy	09/22/16	J		
117. JP Morgan High Yield Fund	A	Dividend	J	T	Buy	09/22/16	J		
118. Goldman Sachs Treasury Instr (Money Mkt)	A	Dividend	J	T	Buy	07/12/16	J		
119. Goldman Sachs Treasury Instr (Money Mkt)					Buy (add'l)	07/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS (Contd.)

Line 1, Red Ribbon, Inc. owned an unimproved parcel of land in Indiana. It is a Subchapter S corporation and the income and losses are passed through to the stockholders. We sold the land to Gas America, Inc. and distributed net proceeds to the remaining stockholders (four). The corporation had no asseets on 12-31-16 and the corportion was dissolved in January of 2017.

Line 2, this land is leased on a cash rent basis to Lakness Farms of Hayti, S.D.

Lines 3 and 4, this partnership owns 2 apartment houses, one in Brown County, S.D., and one in Spink County, S.D.. Liquid investments of the partnership and income therefrom are reported in Part VII. All interests in the partnership are owned by immediate family members.

Line 6, this mutual fund is owned by a revocable trust, the trustees being the reporting party [REDACTED]. This is true also of all other mutual funds listed in Part VII, other than funds owned by Ivey and Kornmann which funds are so designated in Part VII. Brandywine Fund, Inc. changed its name to AMG Managers Investment Group but nothing has changed as to this investment.

Line 11, this is a furnished condominium in Mexico, which is owned by Ivey and Kornmann partnership as a leasehold interest since U.S. citizens cannot "own" real estate in Mexico. The partnership is now involved in a lawsuit vs. William Welk to collect damages or the condominium interest.

Line 14, this is money invested in Healthcare Plus Federal Credit Union of Aberdeen, S.D. by the reporting party [REDACTED].

Line 15, this is money invested in Healthcare Plus Federal Credit Union of Aberdeen, S.D. by Ivey and Kornmann partnership.

Line 16, this asset was constructed from scratch by reporting party in 2009 and 2010 and is a real estate investment in Aberdeen, S.D.

Line 102, this is a limited partnership interest in a partnership called Primrose that owns an assisted care living center in Jefferson City, MO. The owner of the limited partnership interest is Ivey and Kornmann partnership.

Line 103, this is a limited partnership interest in a Primrose partnership that owns an assisted care living center in Cheyenne WY.

Line 104, this is a limited partnership interest in a Primrose partnership that owns an assisted care living center near South Bend, IN. The actual name is not "South Bend" but is "Mishawaka/South Bend Primrose, LLC" and that clarification is made..

Line 105, this is a debt from a limited liability corporation that owns rental housing in Minneapolis, MN. The LLC is owned by two sons-in-law.

Line 106, this is a demand promissory note that became due on demand in 2014 and efforts are underway to force payment of the note. Nothing has been paid or collected although a trial court judgment has been obtained, subject to an appeal which is pending. The money is owed to Ivey and Kornmann

Line 108, this is a limited partnership interest in a Primrose partnership that owns an assisted care living center near Evanston, Indiana.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles B. Kornmann**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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