

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bastian, Stanley A.	2. Court or Organization United States District Court	3. Date of Report 04/05/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 25 South Third Street P.O. Box 2706 Yakima, Washington 98907		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2. 2010	Jeffers, Danielson, Sonn, & Aylward, P.S. 401(k) - includes provisions for contributions by both employee and employer	
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

State of Washington - salary

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AllianceBernstein 2020 Retirement Strategy Fund	A	Dividend	J	T					
2. Amazon common stock - AMZN	A	Dividend	K	T					
3. Amgen Inc, common stock - AMGN	A	Dividend	L	T					
4. Baron Partners Fund - BPTRX	A	Dividend	J	T					
5. Boeing common stock - BA	A	Dividend	J	T					
6. Dodge & Cox Fund - DODFX	A	Dividend	J	T					
7.									
8. Fidelity Freedom 2025 Fund FATWX	C	Dividend	M	T					
9. Fidelity New Insights Fund - FNIAX	A	Dividend	M	T					
10. Janus High Yield Fund - JAHYX	A	Dividend	J	T					
11. Janus Overseas Fund - JAOSX	A	Dividend	J	T					
12. Microsoft common stock - MSFT	A	Dividend	K	T					
13. Oncogenex Pharm. stock - OGX1	A	Dividend	J	T					
14. Rydex Nasdaq 100 Strategy Fund - RYOCX	A	Dividend	K	T					
15. Schwab Core Equity Fund - SWANX	B	Dividend	K	T					
16. Schwab Dividend Equity Fund - SWDSX	B	Dividend	J	T					
17. Schwab Large Cap Growth Fund - SWLSX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Schwab S&P 500 Index Fund - SWPPX	A	Dividend	J	T					
19. Schwab Small Cap Equity Fund - SWSCX	B	Dividend	K	T					
20. Schwab Total Stock Fund - SWT SX	A	Dividend	J	T					
21. Scout International Fund - UMBWX	A	Dividend	J	T					
22. SSgA International Stock Selection Fund - SSAIX	A	Dividend	J	T					
23. T.Rowe Price Blue Chip Fund - TRBCX	A	Dividend	J	T					
24. UPS Inc. common stock - UPS	A	Dividend	J	T					
25. Vanguard Emerging Market Equity Index Fund	A	Dividend	L	T					
26. Vanguard Internation Growth Fund - VWIGX	A	Dividend	J	T					
27. Vanguard Total Stock Fund - VTSMX	A	Dividend	K	T					
28. Vanguard U.S. Large Cap Equity Index Fund	A	Dividend	L	T					
29. Vanguard U.S. Small Cap Equity Fund	A	Dividend	L	T					
30. Wasate Small Cap Growth Fund - WAAEX	A	Dividend	J	T					
31. Washington State Bond Fund	B	Dividend	M	T					
32. WMI Holding Stock - WMIH	A	Dividend	J	T					
33. Bank of America accounts	A	Interest	M	T					
34. Chase Bank accounts	A	Interest			Closed	09/01/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Banner Bank accounts	A	Interest	M	T					
36. Cashmere Valley Bank accounts	A	Interest	L	T					
37. Charles Schwab accounts	B	Interest	K	T					
38. Utah Educational 529 Savings Plan - Age based Aggressive Global	A	Interest	J	T					
39. Washington 529 GET Accounts	A	Interest	K	T					
40.									
41. Nakata Orchards, Inc.	E	Int./Div.	M	W					
42.									
43. IPG Photonics IPGP	A	Dividend	J	T					
44. Walt Disney	A	Dividend	J	T					
45. Spartan 500 Index ADV FUSVX	E	Dividend	P1	T					
46. Banner Bank Common Stock BANR(X)	A	Dividend	J	T					
47.									
48.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stanley A. Bastian**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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