

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Michael R.	2. Court or Organization U.S.C.A. 10th Circuit	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Bennett Federal Bldg., Room 5438 125 South State Street Salt Lake City, UT 84138-1181		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. See VIII	Utah Judicial Retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/30/2017	Annuity - State of Utah Judicial Retirement Plan. The income shown is the annual distribution -- See Section VIII	\$36,114.80
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WF PMA premier ckg	A	Interest	J	T					
2. WF Money Market Prime Checking Acct.	A	Interest	J	T					
3. Wells Fargo IRA Brokerage Acct (including)									
4. -- IOO I Shares TR S&P Global Index Fund	A	Dividend	K	T	Sold (part)	09/18/17	K	D	
5. -- EZU I Shares Inc. MSCI EMU Index Fund	A	Dividend	K	T					
6. -- IVW I Shares S&P 500 Growth Index Fund I Shares Trust	A	Dividend	K	T	Sold (part)	09/13/17	K	D	
7. IVW (cont'd)					Sold (part)	09/15/17	K	E	
8. IVW (cont'd)					Sold (part)	09/18/17	K	D	
9. -- SPY SPDR S&P 500 ETF Trust Unit	A	Dividend	K	T	Sold (part)	09/13/17	K	D	
10. -- MDY SPDR S&PMidcap 400 ETF Trust	A	Dividend	K	T	Sold (part)	09/18/17	K	C	
11. -- VVO Vanguard Int'l Equity Index Fund Emerging Markets	A	Dividend	K	T					
12. -- EFA IShares MSCI EAFE Index Fund	A	Dividend	J	T					
13. -- WPS IShares S&P Developed EX-US property index fund	A	Dividend	J	T					
14. -- EMB IShares JP Morgan ETF Emerging Markets Bond Fd	A	Dividend	J	T					
15. -- CIU IShares Barclays ETF Intermediate Credit Bond Fund	A	Dividend	K	T					
16. -- PCY Powershares EM MAR ETF SOV DE PT	A	Dividend	J	T					
17. -- PHB Powershares High Yld ETF Corporate Bond Portfolio	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- BSV Vanguard Short Term Bond ETF					Sold	01/31/17	J	A	
19. -- IJT I Shares S&P Small Cap 600 Growth	A	Dividend	J	T					
20. -- IJS I Shares S&P Small Cap 600 Value	A	Dividend	J	T					
21. -- IJK I Shares S&P Midcap 400 Growth (x)	A	Dividend	K	T					
22. -- IVE I Shares S&P 500 Value	A	Dividend	K	T					
23. -- EEM I Shares MSCI Emerging Markets (x)	A	Dividend	J	T	Buy	01/31/17	J		
24. -- XMLV Powershares Exch. ETF Fund Trust II-S&P 400 Low Volatility (x)	A	Dividend	K	T	Buy	01/31/17	K		
25. -- SRLN SPDR Blackstone/GSO ETF Senior Loan (x)	A	Dividend	J	T	Buy	01/31/17	J		
26. Wells Fargo Standard Brokerage Account (including)									
27. -- IVE I Shares S&P 500 Value	A	Dividend			Sold (part)	05/23/17	K	D	
28. IVE (cont'd)					Sold	09/11/17	K	C	
29. -- XMLV Powershares Exch. ETF Fund Trust II-S&P 400 Low Volatility (x)	A	Dividend			Buy	01/31/17	J		
30. XMLV (cont'd)					Sold	09/11/17	J	A	
31. -- SPLV Powershares S&P 500 ETF Low Volatility Portfolio (x)	A	Dividend			Buy	01/31/17	J		
32. SPLV (cont'd)					Sold	09/11/17	J	A	
33. -- Cash & Sweep Funds	A	Interest			Distributed	11/02/17	J	A	
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III: Non-Investment Income

As of the date of my resignation from the Third District Court, State of Utah, in October 1995, I had accumulated nine years in the state judicial retirement plan. In February 2009 I transferred funds from the Wells Fargo IRA to purchase an additional year, bringing my total years to ten. That qualified me to receive benefits when I reached the age of 62 in August 2009. This is an annuity type plan. In 2017 I received monthly payments from the Utah State Judicial Retirement Plan for a total of \$36,114.80.

Part VII, Investments and Trusts

Line 22 - IVE Stock was inadvertently omitted from the disclosure filed for 2016. It is found on lines 20 and 21 of the report filed for 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael R. Murphy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544