

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 08/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Professor of Law	NYU
2.	Professor of Law	GMU
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 7/16/2013	George Mason University	\$173,604.12
2. 1/1/2013	NYU	\$163,270.95
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *(Reimbursements for travel, food, entertainment, etc.)**(Indicate a dollar amount for each reimbursement, but not a percentage of total non-investment income.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NYU	1/22/2013 - 1/23/2013	New York, NY	Teaching	Travel related expenses
2.	NYU	1/29/2013 - 2/1/2013	New York, NY	Teaching	Travel related expenses
3.	NYU	2/6/2013 - 2/8/2013	New York, NY	Teaching	Travel related expenses
4.	Florida International University College of Law	2/9/2013 - 2/11/2013	Miami, FL	Teaching	Travel related expenses
5.	NYU	2/13/2013 - 2/15/2013	New York, NY	Teaching	Travel related expenses
6.	NYU	2/20/2013 - 2/22/2013	New York, NY	Teaching	Travel related expenses
7.	NYU	2/27/2013 - 2/28/2013	New York, NY	Teaching	Travel related expenses

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8.	NYU	3/6/2013 - 3/8/2013	New York, NY	Teaching	Travel related expenses
9.	NYU	3/12/2013 - 3/15/2013	New York, NY	Teaching	Travel related expenses
10.	NYU	3/27/2013 - 3/29/2013	New York, NY	Teaching	Travel related expenses
11.	NYU	3/2/2013 - 3/4/2013	New York, NY	Teaching	Travel related expenses
12.	NYU	3/10/2013 - 3/13/2013	New York, NY	Teaching	Travel related expenses
13.	NYU	4/16/2013 - 4/19/2013	New York, NY	Teaching	Travel related expenses
14.	NYU	4/25/2013 - 4/26/2013	New York, NY	Teaching	Travel related expenses
15.	GMU	5/3/2013 - 5/6/2013	Chicago, Illinois	Professional Association	Travel related expenses
16.	GMU	5/30/2013 - 6/7/2013	London, United Kingdom	Teaching	Travel related expenses
17.	NYU	6/1/2013 - 6/7/2013	London, United Kingdom	Teaching	Travel related expenses
18.	University College, London	6/7/2013 - 6/11/2013	London, United Kingdom	Teaching	Travel related expenses
19.	GMU	6/30/2013 - 7/1/2013	Athens, Greece	Teaching	Travel related expenses
20.	GMU	7/1/2013 - 7/15/2013	New Providence, NJ	Teaching	Travel related expenses
21.	GMU	7/24/2013 - 7/24/2013	Bangor, ME	Teaching	Travel related expenses
22.	Federalist Society	9/26/2013 - 9/27/2013	New Haven, CT	Professional Association	Travel related expenses
23.	GMU	10/05/2013 - 10/5/2013	Chicago, Illinois	Teaching	Travel related expenses
24.	Federalist Society	10/24/2013 - 10/29/2013	Paris, France	Professional Association	Travel related expenses
25.	GMU	11/14/2013 - 11/15/2013	New York, NY	Teaching	Travel related expenses
26.	NYU	11/15/2013 - 11/16/2013	New York, NY	Teaching	Travel related expenses
27.	GMU	11/29/2013 - 12/2/2013	New York, NY	Teaching	Travel related expenses
28.	GMU	12/9/2013 - 12/11/2013	New York, NY	Teaching	Travel related expenses
29.	GMU	12/23/2013 - 12/23/2013	St. Louis, MO	Teaching	Travel related expenses
30.	GMU	12/27/2013 - 12/27/2013	West Palm Beach, FL	Teaching	Travel related expenses

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Matthew J. Decker, Jr.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
2.		
1.		
1.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
5.		

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Joshua L. Douglas, Jr.

08/2013

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (Do not name trust assets) Place "TM" after each asset except from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	Amount	Type (e.g., Code 1 – div., rent, as applicable)	Value Code 2 (\$)	Value Code 2 Method (UAW)	Type (e.g., buy, sell, liquidation)	Date	Value Code 2 (\$)	Gain Code 1 (\$)	Identity of buyer/seller if not a transaction
1. AAA Corp. common stock									
2. BBA Corp. common stock									
3. WDRXX		None	M	T					
4. DRX		None			Sold	08/23/13	K	E	
5. ECI	H	Dividend	M	T					
6. FFI	B	Dividend							
7. GGG									
8. HHH									
9. IJJ									
10. KKK									
11. LLL									
12. PPP	A	Dividend	K	T					
13. RRR		None	M	T					
14. SSS									
15. TTT									
16. UUU									
17. VVV									
18. WWW									
19. XXX									
20. YYY									
21. ZZZ									
22. AAA									
23. BBB									
24. CCC									
25. DDD									
26. EEE									
27. FFF									
28. GGG									
29. HHH									
30. III									
31. JJJ									
32. KKK									
33. LLL									
34. MMM									
35. NNN									
36. OOO									
37. PPP									
38. QQQ									
39. RRR									
40. SSS									
41. TTT									
42. UUU									
43. VVV									
44. WWW									
45. XXX									
46. YYY									
47. ZZZ									
48. AAA									
49. BBB									
50. CCC									
51. DDD									
52. EEE									
53. FFF									
54. GGG									
55. HHH									
56. III									
57. JJJ									
58. KKK									
59. LLL									
60. MMM									
61. NNN									
62. OOO									
63. PPP									
64. QQQ									
65. RRR									
66. SSS									
67. TTT									
68. UUU									
69. VVV									
70. WWW									
71. XXX									
72. YYY									
73. ZZZ									
74. AAA									
75. BBB									
76. CCC									
77. DDD									
78. EEE									
79. FFF									
80. GGG									
81. HHH									
82. III									
83. JJJ									
84. KKK									
85. LLL									
86. MMM									
87. NNN									
88. OOO									
89. PPP									
90. QQQ									
91. RRR									
92. SSS									
93. TTT									
94. UUU									
95. VVV									
96. WWW									
97. XXX									
98. YYY									
99. ZZZ									
100. AAA									

(See Column 11 and 12)

N: \$250,000 A: \$200,000

D: \$250,000 A: \$200,000

T: \$200,000 S: \$200,000

P: \$200,000 S: \$200,000

(See Column 11)

A: \$200,000

A: \$200,000

A: \$200,000

A: \$200,000

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N.P.T. (a) *responsible for the* *structure and* *function*

A.		B.		C.		D.				
Description of Assets		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place T-1 (after cash) asset		Amount	Income	Value	Value	Type of transaction	Date	Value	Gross	Net
Asset, T-1 (after cash) asset		(L)	(S)	(L)	(S)	(L)	(S)	(L)	(S)	(L)
18.	ADN	B	Dividend	L	T					
19.	AGN	A	Dividend	L	T					
20.	SYK	B	Dividend	L	T					
21.	HSTM									
22.	KMX		None	L	T					
23.	COO	A	Dividend	L	T					
			Dividend							
25.	NGVC		None	M	L	Buy (add'l)	04/18/13	J		
			Dividend	L		Sold (part)	01/14/13	L		
						Sold (part)	02/11/13	L		
						Sold (part)				
25.	SAH	A	Dividend	L						
27.	SLI		Dividend	A		Sold	02/13/13			
1.						Buy (add'l)	08/01/13	K		
1.						Buy (add'l)	08/05/13	K		
1.			None			Sold	02/18/13			
1.						Sold	07/11/13	L		

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VII. INVESTMENTS and TRUSTS

☐ NONE (No reportable income, assets, or transactions.)

A Number of Asset (Including time assets)	B Name of Asset	C Income during reporting period		D Gross Value at end of reporting period		E Transactions during reporting period			
		(A-H)	(B-L)	(C-P)	(D-Q)	(E-R)	(F-S)	(G-T)	(H-U)
		Income	Type of Income	Value	Value	Description	Date	Code	Value
36	IBM		Dividend	M	T	Buy	08/02/13	L	
37	IDXX		None	M	T	Buy	08/02/13	K	
38	SLCA		Dividend	M	T	Buy	07/22/13	J	
39	LULU		None	L	T	Buy	10/23/13	L	
40	PINC		None	M	T	Buy	10/23/13	I	
41	Federated Govt Cash Series: Nations FmTG (Not With Pwng Data)	A	Interest	O	T				
42	IBM		Dividend	M	T				
43	IBM		Dividend	O	T				
44	MRK	A	Dividend	K	T				
45	Q2 (HQ) (Japanese DSO)		None	T	T				
46	SLCA	B	Dividend	K	T				
47	CVX	B	Dividend	L	T	Buy	07/10/13	I	
48	AA		None			Sold	08/30/13	J	
49	IBM	C	Dividend	L	T				
50	MSF		None			Sold	08/30/13	I	
51	AMGN		None			Sold	08/30/13	J	C

1. Asset Location Codes (See Columns B1 and D4)	F - \$50,001 - \$100,000	G - \$100,001 - \$1,000,000	H - \$1,000,001 - \$5,000,000	I - \$5,000,001 - \$25,000,000	J - \$25,000,001 - \$50,000,000	K - More than \$50,000,000
2. Job Codes (See Columns C1 and D3)	N - \$250,001 - \$500,000	O - \$500,001 - \$1,000,000	P - \$1,000,001 - \$5,000,000	Q - \$5,000,001 - \$25,000,000	R - \$25,000,001 - \$50,000,000	S - More than \$50,000,000
3. Value Method Codes (See Column C1)	O - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash Market		

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Calashang, Douglas H.	06/22/2013

VII. INVESTMENTS and TRUSTS (Include sales transactions for income of spouse and dependent children; see pp. 34-44 of filing instructions.)

☐ NONE: No reportable income, assets, or transactions.

	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount (A-H)	Type and Source (I-M)	Value (N-P) Code J	Value (Q-W) Code K	Type (e.g., buy, sell, redemption)	Date (X-Y)	Value (Z-AA)	Gain (AB-AC) Code J	Identify or describe (if private securities)
52. JNJ		A	Dividend	K	T	Sold (part)	08/30/13	J	B	
53. JPM			None			Sold	08/30/13	J		
54. NYX			None			Sold	08/30/13	K		
55. QCOM			None			Sold	08/30/13	J	C	
56. NVS			None			Sold	08/30/13	J	B	
57. SKT		A	Dividend	K	T					
58. PM		D	Dividend	M	T					
59. GG		A	Dividend	J	T					
60. CHK		A	Dividend	K	T	Buy	08/30/13	K		
61. KO		B	Dividend	K	T					
62. CHK			None			Sold	08/30/13	K		
63. BA		A	Dividend	K	T					
64. CSCO		A	Dividend	J	T					
65. CDE			None	K	T					
66. DVN		A	Dividend	K	T					
67. KMP		C	Dividend	L	T					
68. MMP		B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. MSFT	A	Dividend	K	T					
70. SIAL	A	Dividend	K	T					
71. BP	B	Dividend	K	T					
72. KO	A	Dividend	K	T					
73. ESRX		None	N	T	Buy (add'l)	02/25/13	L		
74.					Buy (add'l)	05/28/13	K		
75.					Buy (add'l)	06/07/13	K		
76.					Sold (part)	08/30/13	J		
77. MON	A	Dividend	K	T					
78. SIAL	A	Dividend	L	T					
79. SLB	B	Dividend	L	T					
80. XEL	A	Dividend	J	T					
81. TMCO		None	K	T					
82. US Treasury Note 912828HW3		None			Matured	04/15/13	L	D	
83. CME Group Bond 12572QAD7	B	Interest	K	T					
84. Verizon Comm. Bond 92343VAN4		None			Matured	04/15/13	K		
85. GLD		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. JCI		None			Sold	02/08/13	K	C	
87. RHI		None			Sold	07/23/13	L	E	
88. WSO		None			Sold	01/17/13	L	D	
89. AMZN		None	L	T					
90. Procter & Gamble SR Note	B	Interest	K	T					
91. DUK	B	Dividend	K	T					
92. EMR	B	Dividend	L	T					
93. ETE	B	Dividend	K	T					
94. FHCO	B	Dividend	K	T					
95. NEE	A	Dividend	K	T					
96. NRGY (Renamed: CEQP)	A	Dividend	J	T					
97. MCD	B	Dividend	K	T					
98. NI	B	Dividend	K	T					
99. NU	A	Dividend	K	T					
100. NVS	A	Dividend	J	T					
101. RGP	B	Dividend	K	T					
102. FMO	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. KYN	B	Dividend	K	T					
104. TYG	B	Dividend	K	T					
105. ACN	A	Dividend	K	T	Buy (add'l)	05/15/13	J		
106.					Buy (add'l)	06/28/13	J		
107. CVX	B	Dividend	L	T					
108. CBSH	A	Dividend	K	T					
109. ECL	A	Dividend	K	T					
110. INTC		None			Sold	04/30/13	J	A	
111. ZOLT		None	K	T					
112. EEP	B	Dividend	K	T					
113. ETP	B	Dividend	K	T					
114. PAA	A	Dividend	K	T					
115. OKS	B	Dividend	K	T					
116. BA	A	Dividend	K	T					
117. HDPSX		None	L	T					
118. TEVA		None			Sold	04/30/13	K		
119. GLD		None			Sold	05/20/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PETM		None			Buy (add'l)	03/07/13	J		
121.					Sold	09/09/13	K	D	
122. Wells Fargo Bank (bank deposit sweep)	A	Interest	K	T					
123. CMG		None	L	T	Buy (add'l)	10/09/13	K		
124.					Buy (add'l)	11/21/13	K		
125. DHR	A	Dividend	L	T					
126. ETR		None			Sold	10/09/13	J	D	
127. HCSG	B	Dividend	L	T					
128. PX	B	Dividend	L	T	Buy (add'l)	10/09/13	K		
129. V	A	Dividend	M	T					
130. KSU	A	Dividend	K	T					
131. WMB	B	Dividend	K	T					
132. GBDC	B	Dividend	K	T					
133. HRZN		None			Buy (add'l)	05/15/13	J		
134.					Sold (part)	12/02/13	J		
135.					Sold	12/03/13	J		
136. QCOM	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. NKE	A	Dividend	L	T					
138. GOOG		None			Sold	02/20/13	K	C	
139. ARRY		None			Sold	04/30/13	J	C	
140. NMFC	B	Dividend	K	T					
141. AAPL	A	Dividend	K	T					
142. LNCO	C	Dividend	K	T	Buy	02/20/13	K		
143.					Buy (add'l)	05/31/13	J		
144.					Buy (add'l)	07/02/13	J		
145. CIHIX	B	Dividend	K	T	Buy	05/14/13	K		
146. AFRYX	B	Dividend	K	T	Buy	05/15/13	K		
147. AMT	A	Dividend	K	T	Buy	06/21/13	K		
148. NRGM (Renamed: CMLP)	A	Dividend	J	T	Spinoff (from line 96)	06/19/13	J		
149. RIG	A	Dividend	K	T	Buy	07/30/13	K		
150. HCP		None			Buy	08/08/13	K		
151.					Sold	12/02/13	K		
152. AMZN		None	K	T	Buy	11/11/13	K		
153. CELG		None	K	T	Buy	11/11/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. TCS		None	K	T	Buy	12/04/13	K		
155. CSOD		None	K	T	Buy	12/04/13	K		
156. CHD	B	Dividend	M	T	Buy	1/15/13	L		
157.					Buy (add'l)	11/21/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544