

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hegarty, Michael E.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Hegarty, Michael E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank	A	Interest	J	T	Sold (part)	12/30/16	K		
2. MDS Energy Development Program 2016		None	J	U	Buy	12/30/16	K		
3. Wells Fargo Checking	A	Interest	J	T					
4. Apple Common Stock	A	Dividend	K	T					
5. Baron Opportunity Fund	A	Dividend			Sold	04/12/16	J	B	
6. Fidelity Select Biotechnology Fund	A	Dividend			Sold	04/12/16	J	A	
7. Fidelity Low Priced Stock Fund	A	Dividend			Sold	04/12/16	K	C	
8. Fidelity Leveraged Company Stock Fund	A	Dividend			Sold	04/12/16	J	A	
9. FMI Large Cap Fund	A	Dividend			Sold	04/12/16	K	C	
10. FPA Crescent Institutional Fund	A	Dividend			Sold	04/12/16	J	A	
11. Fidelity Small Cap Discovery Fund	A	Dividend			Sold	04/12/16	J	A	
12. Fidelity Strategic Dividend & Income Fund	A	Dividend			Sold	04/12/16	J	A	
13. Hennessy Gas Utility Index Fund	A	Dividend			Sold	04/12/16	J	A	
14. Hodges Small Cap Fund	A	Dividend			Sold	04/12/16	K	B	
15. Janus Balanced Fund Class T	A	Dividend			Sold	04/12/16	J	A	
16. Janus Triton Fund Class T Shares	A	Dividend			Sold	04/12/16	J	A	
17. Pimco Fundamental Index Plus AR Fund	A	Dividend			Sold	04/12/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. TCW Total Return Bond Fund Class 1	A	Dividend			Sold	04/12/16	J	A	
19. Vanguard Small Cap Index Fund	A	Dividend			Sold	04/12/16	J	A	
20. Vanguard Total Stock Market Index ETF	A	Dividend			Sold	04/12/16	J	A	
21. Equis Investment Corp. Aggressive Allocation	B	Dividend	M	T	Buy	04/19/16	M		
22. DC ## 4-11 Wells Fargo Accounts	A	Interest	J	T					
23. DC##5-11 Ameritas Investment Corporatio Allocation 70% Equity 30% Bond	A	Int./Div.			Sold	11/04/16	M	A	
24. Phillips Edison Grocery Center REIT II	C	Int./Div.	L	T					
25. Cole Capital Credit Property Trust IV, Inc. REIT	C	Int./Div.	L	T					
26. Retirement Phillips Edison ARC Shopping Cntr REIT	C	Int./Div.	L	T					
27. Retirement Phillips Edison ARC Shopping Cntr REIT	B	Int./Div.	K	T					
28. RetirementFS Investment Corporation II	B	Int./Div.	L	T					
29. Inherited IRA Mitchell Capital Management Alloc. 75% Equity 25% Bond	A	Int./Div.			Closed	01/04/16	L	A	
30. Inherited IRA Ameritas Invstmnt Corp. Allocation 80% Equity 20% Bond	A	Dividend			Open	01/04/16	L		
31. Inherited IRA Ameritas Invstmnt Corp. Allocation 80% Equity 20% Bond	A	Dividend			Redeemed (part)	12/06/16	L	A	
32. Inherited IRA Ameritas Invstmnt Corp. Allocation 80% Equity 20% Bond	A	Dividend			Closed	08/29/16	L	A	
33. Nationwide Annuity	A	Dividend	L	T	Buy	08/29/16	L		
34. Steadfast REIT	A	Dividend	K	T	Buy	08/29/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Hamilton Point REIT	A	Dividend	L	T	Buy	12/06/16	L		
36. Moody National REIT II	A	Dividend	K	T	Buy	12/06/16	K		
37. [REDACTED] Inherited IRA Edward Jones AT&T Stock	A	Int./Div.	J	T					
38. [REDACTED] Inherited IRA Edward Jones Duke Energy Corp. Stock	A	Int./Div.	J	T					
39. [REDACTED] Inherited IRA Edward Jones General Mills Inc. Stock	A	Int./Div.	J	T					
40. [REDACTED] Inherited IRA Edward Jones Illinois Tool Works Inc. Stock	A	Int./Div.	J	T					
41. [REDACTED] Inherited IRA Edward Jones Capital Income Builder Fund Class A	A	Int./Div.	K	T					
42. [REDACTED] Inherited IRA Edward Jones Income Fund of America Fund Class A	A	Int./Div.	K	T					
43. [REDACTED] Inherited IRA Edward Jones Berkshire Hathaway Inc. Del. Class B	A	Int./Div.	J	T					
44. [REDACTED] Inherited IRA Edward Jones Johnson Controls Inc. Stock	A	Int./Div.	J	T					
45. [REDACTED] Inherited IRA Edward Jones Royal Dutch Shell PLC Stock	A	Int./Div.	J	T					
46. [REDACTED] Inherited IRA Edward Jones US Bancorp Stock	A	Int./Div.	J	T					
47. [REDACTED] Inherited IRA Edward Jones Ishares Dow J US Tech. Sect. Indx Fd	A	Int./Div.	J	T					
48. [REDACTED] Inherited IRA Edward Jones Ishares DJ US Healthcare Sector Fd	A	Int./Div.	J	T					
49. [REDACTED] Inherited IRA Edward Jones Bond Fund of America Class A	A	Int./Div.	J	T	Distributed (part)	07/19/16	J	A	
50. [REDACTED] Inherited IRA Edward Jones Capital World Bond Fund	A	Int./Div.	J	T					
51. [REDACTED] Inherited IRA Edward Jones CBA Aggressive Growth Fund Class A	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. [REDACTED] Inherited IRA Edward Jones Columbia Smallcap Value Fund Class A	A	Int./Div.	J	T					
53. [REDACTED] Inherited IRA Edward Jones Fundamental Investors Fund	A	Int./Div.	J	T					
54. [REDACTED] Inherited IRA Edward Jones Growth Fund of America Class A	A	Int./Div.	J	T					
55. [REDACTED] Inherited IRA Edward Jones Harbor Cap'l Apprec. Fund Investr Cl	A	Int./Div.	J	T					
56. [REDACTED] Inherited IRA Edward Jones Harbor Int'l Fund Investor Class	A	Int./Div.	J	T					
57. [REDACTED] Inherited IRA Edward Jones Invesco Comstock Fund Cl A	A	Int./Div.	J	T					
58. [REDACTED] Inherited IRA Edward Jones MFS Int'l New Discovery Fund Cl I	A	Int./Div.	J	T					
59. [REDACTED] Inherited IRA Edward Jones MFS Value Fund CL I	A	Int./Div.	J	T					
60. [REDACTED] Inherited IRA Edward Jones Mutual Global Discovery Fund Cl A	A	Int./Div.	J	T					
61. [REDACTED] Inherited IRA Edward Jones New World Fund Class A	A	Int./Div.	J	T					
62.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael E. Hegarty**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544