

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marrero, Victor	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 5/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court, SDNY 500 Pearl Street New York, New York 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	New York Public Library
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

municipal government official

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DREYFUS (see line 2)									
2. NY Tax Exempt Fund	D	Dividend	L	T	Sold (part)	12/01/12	K	A	
3.									
4. NY STATE TUITION SAVINGS PLAN See Part VIII, no control	A	Dividend	J	T					
5.									
6. JPMORGAN CHASE MONEY MARKET	A	Interest	J	T					
7.									
8. NYC DEFERRED COMP. IRA	A	Dividend	L	T					
9.									
10. CHASE TRADITIONAL IRA (See lines 11 through 15)									
11. JPMorgan High Yield Bd Fund	A	Dividend			Sold	02/17/12	K	B	
12. JPMorgan Core Plus Bond Class A	A	Dividend			Sold	02/17/12	J	A	
13. JPMorgan Emerg. Mkts Debt Cl A	A	Dividend			Sold	02/17/12	K	B	
14. MFS Emerg. Mkts Debt Cl A	A	Dividend			Sold	02/17/12	K	B	
15. Principal Global Diversified Inc. A	A	Dividend			Sold	02/17/12	L	B	
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIDELITY ROLLOVER IRA: (See lines 19 through 133)									
19. Strategic Adv. Value Fund	B	Dividend	K	T	Sold (part)	02/09/12	J	A	
20.					Buy	02/13/12	J		
21.					Buy	02/22/12	J		
22.					Sold (part)	08/31/12	J	A	
23. Strategic Adv. Small Mid-Cap Fd	A	Dividend	K	T	Buy	02/13/12	J		
24.					Buy	02/22/12	J		
25.					Sold (part)	11/28/12	J	A	
26. Strategic Adv. International Fund	B	Dividend	L	T	Sold (part)	02/09/12	J	A	
27.					Buy	02/13/12	J		
28.					Buy	02/22/12	J		
29.					Sold (part)	03/08/12	J	A	
30.					Sold (part)	08/03/12	J	A	
31.					Sold (part)	08/31/12	J	A	
32. Strategic Adv. US Oppty Fund	B	Dividend	K	T	Buy	02/13/12	J		
33.					Buy	02/22/12	J		
34. Strategic Adv. Core Fund	B	Dividend	L	T	Sold (part)	01/13/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold (part)	02/09/12	J	A	
36.					Buy	02/13/12	J		
37.					Buy	02/22/12	J		
38.					Sold (part)	08/31/12	J	A	
39. Strategic Advs. Growth Fund	A	Dividend	K	T	Sold (part)	02/09/12	J		
40.					Buy	02/13/12	J		
41.					Buy	02/22/12	J		
42.					Sold (part)	03/08/12	J	A	
43.					Sold (part)	11/28/12	J	A	
44. Fidelity Real Estate Inc.	B	Dividend	K	T	Buy	02/13/12	J		
45.					Buy	02/22/12	J		
46. Strategic Advs. Emerging Markets	A	Dividend	J	T	Sold (part)	01/13/12	J	A	
47.					Buy	02/13/12	J		
48.					Buy	02/22/12	J		
49. Fidelity Select Gold		None	J	T	Buy	02/13/12	J		
50.					Buy	02/22/12	J		
51. AQR Diversified Arbitrage Class I		None	K	T	Sold (part)	02/09/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy	02/13/12	J		
53.					Buy	02/22/12	J		
54. Arden Alternative Strategies Class I		None	J	T	Buy	11/28/12	J		
55. Fidelity Investment Grade* See Part VIII					Sold	03/13/11	K	A	
56. Fidelity Short Term Bond* See Part VIII					Sold	10/13/11	K	A	
57. Fidelity GNMA Fund	B	Dividend	K	T	Sold (part)	02/09/12	J	A	
58.					Buy	02/13/12	J		
59.					Buy	02/22/12	J		
60. Fidelity Total Bond	D	Dividend	M	T	Sold (part)	02/09/12	J	A	
61.					Buy	02/13/12	K		
62.					Buy	02/22/12	K		
63.					Sold (part)	05/25/12	J	A	
64.					Sold (part)	08/31/12	J	A	
65. Strategic Advs. Core Income Fund	E	Dividend	N	T	Sold (part)	02/09/12	J	A	
66.					Buy	02/13/12	K		
67.					Buy	02/22/12	K		
68.					Buy	03/08/12	J		

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69.					Sold (part)	08/03/12	J	A	
70.					Buy	08/31/12	J		
71.					Buy	11/15/12	J		
72. Strategic Advs. Income Opportunities	C	Dividend	L	T	Sold (part)	01/13/12	J	A	
73.					Sold (part)	02/09/12	J	A	
74.					Buy	02/13/12	J		
75.					Buy	02/22/12	J		
76.					Sold (part)	03/08/12	K	B	
77.					Sold (part)	11/15/12	J	A	
78. FIMM MMKT Port Inst. Cl	A	Dividend	L	T	Sold (part)	01/13/12	K	B	
79.					Buy	02/13/12	J		
80.					Buy	02/22/12	J		
81.					Sold (part)	02/28/12	J	A	
82.					Buy	03/08/12	J		
83.					Buy	05/25/12	J		
84.					Sold (part)	06/05/12	J	A	
85.					Buy	08/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Sold (part)	08/21/12	J	A	
87.					Buy	08/31/12	J		
88.					Buy	11/15/12	J		
89.					Sold (part)	12/04/12	J	A	
90. Strategic Advsd. Short Duration Fund	B	Dividend	M	T	Buy	01/13/12	L		
91.					Buy	01/17/12	J		
92.					Sold (part)	02/09/12	J	A	
93.					Buy	02/13/12	J		
94.					Buy	02/22/12	J		
95.					Buy	03/08/12	K		
96.					Buy	05/25/12	J		
97.					Buy	08/03/12	K		
98.					Buy	08/31/12	J		
99.					Buy	11/15/12	K		
100.					Buy	11/16/12	J		
101. Credit Suisse Comm. Rtn. Strtgy		None			Sold	01/13/12	J	A	
102. Metropolitan West Low Duration M	B	Dividend	K	T	Sold (part)	01/13/12	J	A	

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103.					Sold (part)	02/09/12	J	A	
104.					Buy	02/13/12	J		
105.					Buy	02/22/12	J		
106.					Sold (part)	03/08/12	J	A	
107.					Sold (part)	05/25/12	J	A	
108.					Sold (part)	08/03/12	J	A	
109.					Sold (part)	08/31/12	J	A	
110.					Sold (part)	11/15/12	J	A	
111. Pimco Total Return Administrative Shs	D	Dividend	M	T	Sold (part)	02/09/12	J	A	
112.					Buy	02/13/12	J		
113.					Buy	02/22/12	J		
114.					Sold (part)	05/25/12	J	A	
115.					Sold (part)	08/31/12	J	A	
116.					Sold (part)	11/15/12	J	A	
117. Pimco Short Term Administrative Shs	A	Dividend	M	T	Sold (part)	01/13/12	J	A	
118.					Sold (part)	02/09/12	J	A	
119.					Buy	02/13/12	J		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120.					Buy	02/22/12	J		
121.					Sold (part)	08/03/12	J	A	
122.					Sold (part)	11/15/12	J	A	
123.					Sold (part)	11/28/12	J	A	
124. T Rowe Price Bd Fund Adv Cl	B	Dividend			Sold (part)	01/13/12	J	A	
125.					Buy	02/13/12	J		
126.					Buy	02/22/12	J		
127.					Sold (part)	08/03/12	J	A	
128.					Sold (part)	08/31/12	J	A	
129.					Sold	11/15/12	K	B	
130. Templeton Global Bond Class A	B	Dividend	K	T	Buy	02/13/12	J		
131.					Buy	02/22/12	J		
132.					Sold (part)	03/08/12	J	A	
133. Fidelity Cash Reserves	A	Interest	J	T					
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. FIDELITY ROLLOVER IRA: (See lines 138 through 172)									
138. Strategic Adv. Value Fund	A	Dividend	J	T	Buy	10/09/12	J		
139. Strategic Adv. Small-Mid Cap Fd	A	Dividend	J	T					
140. Strategic Adv. International Fund	A	Dividend	J	T	Buy	10/09/12	J		
141. Strategic Adv. US Oppty Fund	A	Dividend	J	T					
142. Strategic Adv. Core Fund	A	Dividend	J	T	Buy	10/09/12	J		
143. Strategic Adv. Growth Fund	A	Dividend	J	T	Buy	10/09/12	J		
144. Strategic Adv. Emerging Markets	A	Dividend	J	T					
145. Fidelity Real Estate Inc.	A	Dividend	J	T					
146. Fidelity Select Gold	A	Dividend	J	T					
147. AQR Diversified Arbitrage Class I	A	Dividend	J	T					
148. Arden Alternative Strategies Class I	A	Dividend	J	T	Buy	11/28/12	J		
149. Credit Suisse Comm. Rtn. Strtg. Sh		None			Sold	01/13/12	J	A	
150. Fidelity GNMA Fund	A	Dividend	J	T					
151. Fidelity Total Bond	A	Dividend			Sold	10/09/12	J	A	
152. Strategic Adv. Core Income Fund	A	Dividend	L	T	Buy	10/09/12	K		
153.					Buy	10/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	11/15/12	J	A	
155. Strategic Adv. Income Opportunities Fund	A	Dividend	J	T					
156. Strategic Adv. Short Duration Fund	A	Dividend	J	T	Buy	01/13/12	J		
157.					Buy	03/08/12	J		
158.					Buy	08/03/12	J		
159.					Sold (part)	10/09/12	J	A	
160.					Buy	11/15/12	J		
161. Metropolitan West Low Duration M	A	Dividend	J	T					
162. Pimco Total Return Adm Shs	A	Dividend			Sold	10/09/12	J	A	
163. Pimco Short Term Administrative Shs	A	Dividend	J	T	Sold (part)	01/13/12	J	A	
164.					Sold (part)	08/03/12	J	A	
165.					Sold (part)	10/09/12	J	A	
166.					Sold (part)	11/15/12	J	A	
167. T Rowe Price Short Term Bd Fund	A	Dividend			Sold	10/09/12	J	A	
168. Templeton Global Bond Class A	A	Dividend	J	T					
169. FIMM MMKT Port Inst CL	A	Dividend	J	T	Sold (part)	01/13/12	J	A	
170.					Buy	03/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	05/25/12	J		
172. Fidelity Cash Reserves	A	Interest	J	T					
173.									
174. FIDELITY SEP IRA: (See lines 175 through 233)									
175. Strategic Adv. Value Fund	A	Dividend	K	T	Sold (part)	03/08/12	J	A	
176.					Buy	10/09/12	J		
177. Strategic Adv. Small-Mid Cap Fund	A	Dividend	J	T	Buy	10/09/12	J		
178. Strategic Adv. International Fund	A	Dividend	K	T	Sold (part)	03/08/12	J	A	
179.					Sold (part)	08/03/12	J	A	
180.					Sold (part)	08/31/12	J	A	
181.					Buy	10/09/12	J		
182. Strategic Adv. US Oppty Fund	A	Dividend	J	T	Buy	10/09/12	J		
183. Strategic Adv. Core Fund	A	Dividend	K	T	Buy	10/09/12	J		
184. Strategic Adv. Growth Fund	A	Dividend	J	T	Buy	10/09/12	J		
185.					Sold (part)	11/28/12	J	A	
186. Strategic Adv. Emerging Markets	A	Dividend	J	T					
187. Fidelity Real Estate Income	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Fidelity Select Gold	A	Dividend	J	T					
189. AQR Diversified Arbitrage Class I	A	Dividend	J	T					
190. Credit Suisse Commd Return Strtgy Shs		None			Sold	01/13/12	J	A	
191. Arden Alternative Strategies Class I		None			Buy	11/28/12	J		
192. Fidelity GNMA Fund	A	Dividend	J	T					
193. Strategic Adv. Short Duration Fund	A	Dividend	K	T	Buy	01/13/12	K		
194.					Buy	05/25/12	J		
195.					Buy	08/03/12	J		
196.					Buy	08/31/12	J		
197.					Sold (part)	10/09/12	J	A	
198.					Buy	11/15/12	J		
199.					Buy	11/16/12	J		
200.					Sold (part)	11/28/12	J	A	
201. Fidelity Total Bond	C	Dividend			Sold (part)	08/31/12	J	A	
202.					Sold	10/09/12	K	A	
203. Strategic Adv. Core Income Fund	B	Dividend	M	T	Buy	08/03/12	J		
204.					Buy	08/31/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy	10/09/12	K		
206.					Buy	10/10/12	J		
207.					Sold (part)	11/15/12	J	A	
208.					Buy	11/28/12	J		
209. Strategic Advs. Income Opportunities	A	Dividend	K	T	Sold (part)	03/08/12	J	A	
210.					Sold (part)	11/15/12	J	A	
211. FIMM MMKT Port Inst. Cl	A	Dividend	J	T	Sold (part)	01/13/12	J	A	
212.					Buy	03/08/12	J		
213.					Buy	05/25/12	J		
214.					Buy	08/31/12	J		
215.					Sold (part)	10/09/12	J	A	
216.					Buy	11/15/12	J		
217. Fidelity Money Market		None	J						
218. Metropolitan West Low Duration M	A	Dividend	J	T	Sold (part)	01/13/12	J	A	
219.					Sold (part)	03/08/12	J	A	
220.					Sold (part)	05/25/12	J	A	
221.					Sold (part)	08/03/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	11/15/12	J	A	
223. Pimco Total Retrn Administrative Shs	B	Dividend	K	T	Sold (part)	05/25/12	J	A	
224.					Sold	10/09/12	K	B	
225. Pimco Short Term Administrative Shs	A	Dividend	K	T	Sold (part)	01/13/12	J	A	
226.					Sold (part)	08/03/12	J	A	
227.					Sold (part)	10/09/12	J	A	
228.					Sold (part)	11/15/12	J	A	
229.					Sold (part)	11/28/12	J	A	
230. T Rowe Price Short Term Bd Fund Adv C1	A	Dividend	J	T	Sold (part)	01/13/12	J		
231.					Sold (part)	08/03/12	J	A	
232.					Sold	11/15/12	J	A	
233. Templeton Global Bond Class A	A	Dividend	J	T	Sold (part)	03/08/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The NY State Tuition Savings Plan investment recorded on Part VII, Page 4, Line 4 is divided into two program accounts named Moderate Growth Fund and Conservative Growth Fund, 50% in each, with no control by the investor over the securities in the portfolio.
2. In Part VII, Page 7, Lines 55 and 56 there are listings of two items, Fidelity Investment Grade and Fidelity Short Term Bond, indicating that these investments were sold on March 13, 2011 and October 13, 2011, respectively. These items were listed in my 2011 Financial Disclosure Report, but erroneously recorded as sold on those dates in part, rather than in whole.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Victor Marrero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544