

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wingate, Henry T.	2. Court or Organization U.S.D.C. (Miss. Southern)	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 501 East Court Street, Suite 6.750 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Grinnell College, Grinnell, Iowa; Member of Board of Trustees as of May, 2000
2. Executive Committee Member	Yale Law School Executive Alumni Board, New Haven, CT; Committee Member as of September 2000
3. Adjunct Professor	Mississippi College School of Law, Jackson, MS, as of January 2001
4. Trustee	Tougaloo College, Tougaloo, Mississippi, Member of Board of Trustees as of February 2010
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Univ. of Iowa College of Law	01/22/2013 to 01/24/2013	Iowa City, Iowa	Gave annual Dr. Martin Luther King, Jr., lecture	Transportation, lodging, meals
2. Grinnell College	02/21/2013 to 02/23/2013	Grinnell, Iowa	Board Meeting	Lodging, meals
3. Grinnell College	08/20/2013 to 08/22/2013	Grinnell, Iowa	Keynote Address to Peer Connections Pre-Orientation Program at Grinnell College	Transportation, lodging, meals
4. Grinnell College	10/03/2013 to 10/05/2013	Grinnell, Iowa	Board Meeting	Lodging, meals

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5.	Golden Gate Univ. School of Law	10/17/2013 to 10/20/2013	San Francisco, California	Panel Participant at 5th Annual CJ R.M. George Distinguished Lecture	Transportation, lodging, meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trustmark Nat'l Bank, Jackson, MS (CDs/ Savings/IRA)	A	Interest	K	T					
2. Prudential Life Ins.	B	Interest	M	U					
3. American Fidelity Assurance Co. Fixed Annuity - 403(b)	D	Int./Div.	M	T					
4. IRA-1									
5. - LPL Insured Cash Acct (IRA) (H)	A	Interest	J	T					
6. - Franklin/Temple Mutual Beacon (IRA)	C	Dividend	L	T					
7. -LPL Insured Cash Acct (investment)	A	Interest	J	T					
8. - Lsi Logic Corp. (f/k/a Agere Systems, Inc.)	A	Dividend	J	T					
9. - Coca Cola Company	A	Dividend	J	T					
10. - Exxon Mobil Corp.	A	Dividend	J	T					
11. - General Electric Company	A	Dividend	J	T					
12. - Walt Disney Company	A	Dividend	J	T					
13. - Allianz Life & Annuities	C	Dividend	L	T					
14. - Franklin Temple Mutual Beacon Fund	B	Dividend	K	T					
15. - Fundamental Investors Fund	D	Dividend	J	T					
16. - Growth Fund of America	D	Dividend	K	T					
17. - Income Fund of America Class C	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. - Franklin Income Fund Class C	D	Dividend	M	T					
19. - Franklin Rising Dividends Class C	D	Dividend	J	T					
20. - Mutual Series Shares Class C	D	Dividend	J	T					
21. - Mutual Series Discovery Class C	C	Dividend	K	T					
22. - Templeton Growth Class C	D	Dividend	J	T					
23. - Templeton Global Bond Fund Class C	C	Dividend	K	T					
24. - Franklin Templeton China World Fund	A	Dividend	J	T					
25. - Templeton Global Smaller Companies Growth Class C	B	Dividend	J	T					
26. Pimco Income	A	Dividend	J	T	Buy	01/16/13	J		
27. Pimco All Asset Fund	A	Dividend	J	T	Buy	01/16/13	J		
28. LPL IRA - (H)									
29. - Suntrust CD	B	Int./Div.	J	T					
30. - JP Morgan CD	B	Interest	J	T					
31. - General Electric	B	Dividend	J	T					
32. REAL ESTATE:									
33. - Parcel 1, Jackson, Hinds County, MS	B	Rent	K	W					
34. - Parcel 2, Jackson, Hinds County, MS	A	Rent	K	Q					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Parcel 3, Jackson, Hinds County, MS	C	Rent	K	W					
36. - Parcel 4, Jackson, Hinds County, MS	D	Rent	L	Q					
37. - Parcel 6, Jackson, Hinds County, MS	D	Rent	L	Q					
38. - Parcel 7, Jackson, Hinds County, MS	D	Rent	L	Q					
39. - Parcel 8, Jackson, Hinds County, MS	C	Rent	K	W					
40. - Parcel 9, Jackson, Hinds County, MS		None	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Real Estate:

Line 34: Parcel 2, Jackson, Hinds County, MS:
Date of Appraisal: September 12, 1992

Line 36: Parcel 4, Jackson, Hinds County, MS:
Date of Appraisal: November 20, 1992

Line 37: Parcel 6, Jackson, Hinds County, MS:
Date of Appraisal: April 21, 1994

Line 38: Parcel 7, Jackson, Hinds County, MS:
Date of Appraisal: April 21, 1994

Line 40: Parcel 9, Jackson, Hinds County, MS (property was vacant for 2013)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry T. Wingate**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544