

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bissoon, Cathy	2. Court or Organization US District Court for the Western District of Pa.	3. Date of Report 5/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address US Courthouse & Post Office 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Pittsburgh Zoo & PPG Aquarium
2.	Director	Mt. Lebanon Teen Center
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Medrad, Inc. -- Wages

2. 2011

SAE International -- Wages

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Niagara (Savings)	D	Interest	L	T					
2. Allegent Community Federal Credit Union (Savings/CD)	A	Interest	J	T					
3. Fidelity Bank (Savings/CD)	A	Interest	M	T					
4. IRA #1									
5. - Baron Growth Fund	C	Dividend			Sold	01/19/11	K	C	
6. - CitiBank NA Bank Deposit Program	A	Interest	J	T					
7. - American Century International Bond		None			Sold	01/19/11	J	A	
8. - Dreyfus Emerging Mkts Fund Class A	E	Dividend			Sold	01/19/11	L	D	
9. - Eaton Vance Large Cap Value Fund Class A	E	Dividend			Sold	01/19/11	L	D	
10. - Janus Forty Fund Class S	D	Dividend			Sold	01/19/11	M	E	
11. - Pimco Total Return Fund Class D	D	Dividend			Sold	01/19/11	L	B	
12. - Royce Premier Fund	E	Dividend			Sold	01/19/11	J	B	
13. - Western Asset Gov't Money Market		None			Sold	01/19/11	J		
14. - Thornburg Int'l Value Fund Class A	B	Dividend			Sold	01/19/11	K	D	
15. - Goldman Sachs TR Growth Opportunities FD Instl.	A	Dividend	K	T	Buy	01/26/11	K		
16.					Sold	06/03/11	K	A	
17. - TCW Value Opportunties Fund I Share Cl.	A	Dividend	K	T	Buy	01/26/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	06/03/11	K	A	
19. - Cambiar Small Cap Fund		None	J	T	Buy	01/26/11	J		
20. - Eatpn Vance Large Cap Value FD Cl. I		None	L	T	Buy	01/26/11	L		
21. - Ivy Mid Cap Growth Fund Cl. I		None	J	T	Buy	06/03/11	J		
22. - JPMorgan Dynamic Small Cap Growth Fund Select Class		None	J	T	Buy	01/26/11	J		
23. -Mainstay Large Cap Growth Fund Cl. I		None	L	T	Buy	01/26/11	L		
24. - Managers AMG Systematic Mid Cap Value FD Instl. Share Cl.		None	J	T	Buy	06/03/11	J		
25. - Metropolitan West Total Return Bond Fund Class I		None	L	T	Buy	01/26/11	L		
26. - Pimco Emerging Local Bond Fund Cl. P		None	K	T	Buy	01/26/11	K		
27. -Templeton Global Bond Fund Advisor Class		None	J	T	Buy	01/26/11	J		
28. - Thornburg Int'l Value Fund Cl. I		None	L	T	Buy	01/26/11	L		
29. - Virtus Emergin Markets Opportunities Fund Class I		None	K	T	Buy	01/26/11	K		
30. IRA #2									
31. - Accenture PLC		None	J	T	Buy	08/09/11	J		
32.					Sold	09/13/11	J		
33. - Covidien PLC	A	Dividend	J	T	Buy	08/09/11	J		
34.					Sold	09/13/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Ace Limited	A	Dividend	J	T	Buy	08/09/11	J		
36.					Sold	09/13/11	J	A	
37. - Tyco Int'l Ltd. Chf	A	Dividend	J	T	Buy	08/26/11	J		
38.					Sold	09/13/11	J	A	
39. - AT&T	A	Dividend	J	T	Buy	08/09/11	J		
40.					Sold	09/13/11	J	A	
41. - Abercrombie & Fitch Co Class A		None	J	T	Buy	08/10/11	J		
42.					Sold	09/13/11	J		
43. - Cambiar Small Cap Fund	B	Dividend	J	T	Buy	08/09/11	J		
44. - Alpha Natural Resources Inc.	A	Dividend	J	T	Buy	08/09/11	J		
45.					Sold	08/10/11	J	A	
46. - Altera Corp.	A	Dividend	J	T	Buy	08/09/11	J		
47.					Sold	09/13/11	J	A	
48. - Amazon Com Inc.	A	Dividend	J	T	Buy	08/09/11	J		
49.					Sold	09/13/11	J	A	
50. - American Electric Power Co. Inc.	A	Dividend	J	T	Buy	08/09/11	J		
51.					Sold	09/13/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - American Express Co.	A	Dividend	J	T	Buy	08/09/11	J		
53.					Sold	09/13/11	J	A	
54. - American Tower Corp. Class A	A	Dividend	J	T	Buy	08/09/11	J		
55.					Sold	09/13/11	J	A	
56. - Ameriprise Financial Inc.	A	Dividend	J	T	Buy	08/09/11	J		
57.					Sold	09/13/11	J	A	
58. - Amgen Inc.	A	Dividend	J	T	Buy	08/09/11	J		
59.					Sold	09/13/11	J	A	
60. - Apache Corp.		None	J	T	Buy	08/09/11	J		
61.					Sold	09/13/11	J		
62. - Apple Inc.	A	Dividend	J	T	Buy	08/09/11	J		
63.					Sold	09/13/11	J	A	
64. - Autodesk Inc.		None	J	T	Buy	08/09/11	J		
65.					Sold	08/19/11	J		
66. - Avalonbay Cmnty. Inc.	A	Dividend	J	T	Buy	08/09/11	J		
67.					Sold	09/13/11	J	A	
68. - BASF SE Common Stock		None	J	T	Buy	08/09/11	J		

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69.					Sold	09/13/11	J		
70. - Baidu Inc. Spon. ADR Rptg. Ord. Shs. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
71.					Sold	09/13/11	J	A	
72. - Bank of America Corp	A	Dividend	J	T	Buy	08/09/11	J		
73.					Sold	09/13/11	J	A	
74. - BHP Billiton Ltd. Spons. ADR	A	Dividend	J	T	Buy	08/09/11	J		
75.					Sold	09/13/11	J	A	
76. - Boeing Co.	A	Dividend	J	T	Buy	08/09/11	J		
77.					Sold	09/13/11	J	A	
78. - Borgwarner Inc.	A	Dividend	J	T	Buy	08/09/11	J		
79.					Sold	09/13/11	J	A	
80. - Boston Properties	A	Dividend	J	T	Buy	08/09/11	J		
81.					Sold	09/13/11	J	A	
82. - C.H. Robinson Worldwide Inc.	A	Dividend	J	T	Buy	08/09/11	J		
83.					Sold	09/13/11	J	A	
84. - CME Group Inc.	A	Dividend	J	T	Buy	08/09/11	J		
85.					Sold	09/13/11	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - CVS Caremark Corp.	A	Dividend	J	T	Buy	08/09/11	J		
87.					Sold	09/13/11	J	A	
88. - Carival Corp.	A	Dividend	J	T	Buy	08/09/11	J		
89.					Sold	09/13/11	J	A	
90. - Cerner Corp.	A	Dividend	J	T	Buy	08/09/11	J		
91.					Sold	09/13/11	J	A	
92. - Citigroup Inc.	A	Dividend	J	T	Buy	08/09/11	J		
93.					Sold	08/10/11	J	A	
94. - Citrix Systems Inc.		None	J	T	Buy	08/09/11	J		
95.					Sold	09/13/11	J		
96. - Cliffs Natural Resources	A	Dividend	J	T	Buy	08/09/11	J		
97.					Sold	09/13/11	J	A	
98. - Cognizant Tech Solutions Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
99.					Sold	09/13/11	J	A	
100. - Comcast Corp. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
101.					Sold	09/13/11	J	A	
102. - Concho Resources Inc.	A	Dividend	J	T	Buy	08/09/11	J		

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103.					Sold	09/13/11	J	A	
104. - ConocoPhillips	A	Dividend	J	T	Buy	08/09/11	J		
105.					Sold	09/13/11	J	A	
106. - Costco Wholesale Corp. New	A	Dividend	J	T	Buy	08/09/11	J		
107.					Sold	09/13/11	J	A	
108. - Ctrip.com Int'l Ltd.-CNY	A	Dividend	J	T	Buy	08/09/11	J		
109.					Sold	09/13/11	J	A	
110. - Danaher Corp. DE	A	Dividend	J	T	Buy	08/09/11	J		
111.					Sold	09/13/11	J	A	
112. - Deere & Co.	A	Dividend	J	T	Buy	08/09/11	J		
113.					Sold	09/13/11	J	A	
114. - Walt Disney Co.		None	J	T	Buy	08/09/11	J		
115.					Sold	09/13/11	J		
116. - EMC Corp. Mass	A	Dividend	J	T	Buy	08/09/11	J		
117.					Sold	09/13/11	J	A	
118. - Eaton Vance Commodity Strategy Fund Cl. I		None	J	T	Buy	08/09/11	J		
119. - Eaton Vance Large Cap Value FD Cl. I	B	Dividend	L	T	Buy	09/13/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Ecolab Inc.	A	Dividend	J	T	Buy	08/09/11	J		
121.					Sold	09/13/11	J	A	
122. - Edwards Life Sciences Corp.	A	Dividend	J	T	Buy	08/09/11	J		
123.					Sold	09/13/11	J	A	
124. - Express Scripts Inc. Common		None	J	T	Buy	08/09/11	J		
125.					Sold	09/13/11	J		
126. - Exxon Mobil Corp.	A	Dividend	J	T	Buy	08/09/11	J		
127.					Sold	09/13/11	J	A	
128. - FMC Technologies	A	Dividend	J	T	Buy	08/09/11	J		
129.					Sold	09/13/11	J	A	
130. - Fifth Third Bancorp	A	Dividend	J	T	Buy	08/09/11	J		
131.					Sold	09/13/11	J	A	
132. - Fluor Corp. New	A	Dividend	J	T	Buy	08/09/11	J		
133.					Sold	09/13/11	J	A	
134. - Franklin Resources Inc.	A	Dividend	J	T	Buy	08/09/11	J		
135.					Sold	09/13/11	J	A	
136. - Freeport McMoran Copper & Gold Cl. B		None	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	09/13/11	J		
138. - General Dynamics Corp.		None	J	T	Buy	08/09/11	J		
139.					Sold	09/13/11	J	A	
140. - General Electric Co.	A	Dividend	J	T	Buy	08/09/11	J		
141.					Sold	09/13/11	J	A	
142. - Goldman Sachs Group Inc.		None	J	T	Buy	08/09/11	J		
143.					Sold	09/13/11	J		
144. - Goldman Sachs Absolute Return Tracker Cl. I		None	K	T	Buy	08/09/11	K		
145. - Goodrich Corp.	A	Dividend	J	T	Buy	08/09/11	J		
146.					Sold	09/13/11	J	A	
147. - Google Inc. Class A		None	J	T	Buy	08/09/11	J		
148.					Sold	09/13/11	J		
149. - Green Mountain Coffee Roasters Inc.	A	Dividend	J	T	Buy	08/09/11	J		
150.					Sold	09/13/11	J	A	
151. - HSBC Hldg. Plc. SP ADR New		None	J	T	Buy	08/09/11	J		
152.					Sold	09/12/11	J		
153. - Halliburton Co. Holdings Co.		None	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	09/13/11	J		
155. - Hess Corp.	A	Dividend	J	T	Buy	08/09/11	J		
156.					Sold	09/13/11	J	A	
157. - Humana Inc.	A	Dividend	J	T	Buy	08/09/11	J		
158.					Sold	09/13/11	J	A	
159. - ING Global Real Estate FD Cl. I		None	K	T	Buy	08/09/11	K		
160. - Illinois Tool Works Inc.	A	Dividend	J	T	Buy	08/09/11	J		
161.					Sold	09/13/11	J	A	
162. - Intercontinental Exchange Inc.	A	Dividend	J	T	Buy	08/09/11	J		
163.					Sold	09/13/11	J	A	
164. - IBM Corp.		None	J	T	Buy	08/09/11	J		
165.					Sold	09/13/11	J		
166. - Intuitive Surgical Inc.	A	Dividend	J	T	Buy	08/09/11	J		
167.					Sold	09/13/11	J	A	
168. - Intuit Inc.	A	Dividend	J	T	Buy	08/09/11	J		
169.					Sold	09/13/11	J	A	
170. - Ivy Mid Cap Growth Fund Cl. I	B	Dividend	K	T	Buy	08/09/11	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - JP Morgan Chase & Co.		None	J	T	Buy	08/09/11	J		
172.					Sold	09/13/11	J		
173. - Johnson & Johnson	A	Dividend	J	T	Buy	08/09/11	J		
174.					Sold	09/13/11	J	A	
175. - JP Morgan Dynamic Small Cap Growth Fund Select Class	B	Dividend	K	T	Buy	08/09/11	K		
176. - Keycorp New		None	J	T	Buy	08/09/11	J		
177.					Sold	09/13/11	J		
178. - Kohls Corp.		None	J	T	Buy	08/09/11	J		
179.					Sold	09/13/11	J		
180. - Kraft Foods Inc. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
181.					Sold	09/13/11	J	A	
182. - Las Vegas Sands Corp.	A	Dividend	J	T	Buy	08/09/11	J		
183.					Sold	09/13/11	J	A	
184. - Estee Lauder Cos. Inc. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
185.					Sold	09/13/11	J	A	
186. - Lincoln Nat'l Corp. Ind.		None	J	T	Buy	08/09/11	J		
187.					Sold	09/12/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Mainstay Large Cap Growth Fund Cl. I	B	Dividend	L	T	Buy	09/13/11	L		
189. - Managers AMG Systematic Mid Cap Value FD Inst. Share Cl.	A	Dividend	J	T	Buy	08/09/11	J		
190. - Metropolitan West Total Return Bond Fund Class I	A	Dividend	L	T	Buy	08/09/11	L		
191. - McDonald's Corp.	A	Dividend	J	T	Buy	08/09/11	J		
192.					Sold	09/13/11	J	A	
193. - Merck & Co. Inc. New	A	Dividend	J	T	Buy	08/09/11	J		
194.					Sold	09/13/11	J	A	
195. - MetLife Inc.		None	J	T	Buy	08/09/11	J		
196.					Sold	09/13/11	J		
197. - Microsoft Corp.	A	Dividend	J	T	Buy	08/09/11	J		
198.					Sold	09/13/11	J	A	
199. - Monsanto Co. New	A	Dividend	J	T	Buy	08/09/11	J		
200.					Sold	09/13/11	J	A	
201. - National Grid PLC	A	Dividend	J	T	Buy	08/09/11	J		
202.					Sold	09/13/11	J	A	
203. - Nestle SA Sponsored ADR		None	J	T	Buy	08/09/11	J		
204.					Sold	09/13/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Netapp Inc.		None	J	T	Buy	08/09/11	J		
206.					Sold	09/13/11	J		
207. - Netflix Inc.		None	J	T	Buy	08/09/11	J		
208.					Sold	09/13/11	J		
209. - Northrop Grumman Corp.		None	J	T	Buy	08/09/11	J		
210.					Sold	08/26/11	J		
211. - O'Reilly Automotive Inc.	A	Dividend	J	T	Buy	08/09/11	J		
212.					Sold	09/13/11	J	A	
213. - Occidental Petroleum Corp. Del.		None	J	T	Buy	08/09/11	J		
214.					Sold	09/13/11	J		
215. - Oracle Corp.	A	Dividend	J	T	Buy	09/13/11	J		
216.					Sold	09/13/11	J	A	
217. - PG&E Corp.	A	Dividend	J	T	Buy	08/09/11	J		
218.					Sold	09/13/11	J	A	
219. - PNC Financial Services	A	Dividend	J	T	Buy	08/09/11	J		
220.					Sold	09/13/11	J	A	
221. - PPG Industries Inc.		None	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	09/13/11	J		
223. - PPL Corp.	A	Dividend	J	T	Buy	08/09/11	J		
224.					Sold	09/13/11	J	A	
225. - Peabody Energy Corp.	A	Dividend	J	T	Buy	08/09/11	J		
226.					Sold	09/13/11	J	A	
227. - Pepsico Inc.		None	J	T	Buy	08/09/11	J		
228.					Sold	09/13/11	J		
229. - Perrigo Co.	A	Dividend	J	T	Buy	08/09/11	J		
230.					Sold	09/13/11	J	A	
231. - Pfizer Inc.	A	Dividend	J	T	Buy	08/09/11	J		
232.					Sold	09/13/11	J	A	
233. - Pimco Emerging Local Bond Fund Cl. P		None	K	T	Buy	08/09/11	K		
234. - Priceline.com Inc. New	A	Dividend	J	T	Buy	08/09/11	J		
235.					Sold	09/13/11	J	A	
236. - Prudential Financial Inc.		None	J	T	Buy	08/09/11	J		
237.					Sold	09/13/11	J		
238. - Public Service Enterprise Grp.	A	Dividend	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	09/13/11	J	A	
240. - Qualcomm Inc.	A	Dividend	J	T	Buy	08/09/11	J		
241.					Sold	09/13/11	J	A	
242. - Ralph Lauren Corp. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
243.					Sold	09/13/11	J	A	
244. - Reynolds American Inc.	A	Dividend	J	T	Buy	08/09/11	J		
245.					Sold	09/13/11	J	A	
246. - Rydex SGI Managed Futures Strategy Fund Cl. H		None	K	T	Buy	08/09/11	K		
247. - Salesforce.com Inc.	A	Dividend	J	T	Buy	08/09/11	J		
248.					Sold	09/13/11	J	A	
249. - Schlumberger Ltd.		None	J	T	Buy	08/09/11	J		
250.					Sold	09/13/11	J		
251. - Scripps Network Interactive	A	Dividend	J	T	Buy	08/09/11	J		
252.					Sold	09/13/11	J	A	
253. - Semptra Energy	A	Dividend	J	T	Buy	08/09/11	J		
254.					Sold	09/13/11	J	A	
255. - Shire PLC ADR	A	Dividend	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	09/13/11	J	A	
257. - Simon Ppty. Group Inc. New	A	Dividend	J	T	Buy	08/09/11	J		
258.					Sold	09/13/11	J	A	
259. - Starbucks Corp.	A	Dividend	J	T	Buy	08/09/11	J		
260.					Sold	09/13/11	J	A	
261. - Suntrust Banks Inc.		None	J	T	Buy	08/09/11	J		
262.					Sold	8/26/11	J		
263. - TD Ameritrade Holding Corp.		None	J	T	Buy	08/09/11	J		
264.					Sold	09/13/11	J		
265. - TJX Companies Inc. New	A	Dividend	J	T	Buy	08/09/11	J		
266.					Sold	09/13/11	J	A	
267. - Target Corp.	A	Dividend	J	T	Buy	08/09/11	J		
268.					Sold	09/13/11	J	A	
269. - Thermo Fischer Scientific Inc.	A	Dividend	J	T	Buy	08/09/11	J		
270.					Sold	09/13/11	J	A	
271. - Thornburg Int'l Value Fund Cl. I		None	K	T	Buy	08/09/11	K		
272. - Time Warner Cable Inc.		None	J	T	Buy	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold	09/13/11	J		
274. - Travelers Companies Inc.		None	J	T	Buy	08/09/11	J		
275.					Sold	09/13/11	J		
276. - US Bancorp Del. New	A	Dividend	J	T	Buy	08/09/11	J		
277.					Sold	09/13/11	J	A	
278. - Unilever NV NY Shs. New		None	J	T	Buy	08/09/11	J		
279.					Sold	09/13/11	J		
280. - Union Pacific Corp.		None	J	T	Buy	08/09/11	J		
281.					Sold	09/13/11	J		
282. - United Technologies Corp.	A	Dividend	J	T	Buy	08/09/11	J		
283.					Sold	09/13/11	J	A	
284. - United Health Group Inc.	A	Dividend	J	T	Buy	08/09/11	J		
285.					Sold	09/13/11	J	A	
286. - Varian Medical Systems Inc.		None	J	T	Buy	08/09/11	J		
287.					Sold	09/13/11	J		
288. - Verisign Inc.	A	Dividend	J	T	Buy	08/09/11	J		
289.					Sold	09/13/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Verizon Communications	A	Dividend	J	T	Buy	08/09/11	J		
291.					Sold	09/13/11	J	A	
292. - Visa Inc. Com. Cl. A	A	Dividend	J	T	Buy	08/09/11	J		
293.					Sold	09/13/11	J	A	
294. - Virtus Emerging Mkts. Opportunities Fund Class I		None	L	T	Buy	08/09/11	L		
295. - Vodafone Group PLC Spons. ADR New		None	J	T	Buy	08/09/11	J		
296.					Sold	09/13/11	J		
297. - Wal-Mart Stores Inc.	A	Dividend	J	T	Buy	08/09/11	J		
298.					Sold	09/13/11	J	A	
299. - Walter Energy Inc.	A	Dividend	J	T	Buy	08/09/11	J		
300.					Sold	09/13/11	J	A	
301. - Wells Fargo & Co. New	A	Dividend	J	T	Buy	08/09/11	J		
302.					Sold	09/13/11	J	A	
303. - Yum Brands Inc.	A	Dividend	J	T	Buy	08/09/11	J		
304.					Sold	09/13/11	J	A	
305. - Dreyfus Liquid Assets Inc.	A	Interest	J	T	Buy	08/08/11	J		
306. Brokerage Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. - Capital World Growth and Income Fund Class A	A	Dividend	J	T					
308. - Growth Fund America Class A	A	Dividend	K	T					
309. - New Perspective Fund Class A	A	Dividend	K	T					
310. - Washington Mutual Investors Fund Class A	A	Dividend	K	T					
311. - CitiBank NA Bank Deposit Program	A	Interest	J	T					
312. Ally Bank (Savings/CD)	A	Interest	L	T					
313. The Walt Disney Company	A	Dividend	J	T					
314. Pet Ecology Brands (Common)		None	J	T					
315. Biomass Secure Power (common)		None	J	T					
316. College Inv. Dir. Portfolio Coll. Sav. Plan Moderate	D	Int./Div.	L	T					
317. College Inv. Dir. Portfolio Coll. Sav. Plan Conservative*	D	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*In Section VII, Line 317, this CollegeInvest Direct Portfolio College Savings Plan Moderate, has been converted to a CollegeInvest Direct Portfolio College Savings Plan Conservative account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cathy Bissoon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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