

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanen, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 05/6/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Judge Andrew S. Hanen United States Courthouse 600 E. Harrison St., #301 Brownsville, Texas 78520 IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Self-employed attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Account #1	A	Int./Div.	M	T					
2. -Bk of America RASP									
3. -Apple									
4. -I Shares Biotech					Buy	11/10/15	J		
5. -I Shares Biotech					Sold (part)	12/04/15	J	A	
6. -Vanguard Consumer STPL									
7. -Vanguard Materials					Buy	11/10/15	J		
8. -Vanguard Materials					Sold (part)	12/04/15	J	A	
9. -Vanguard Energy					Buy	11/10/15	J		
10. -Vanguard Energy					Sold (part)	12/04/15	J	A	
11. -Vanguard Consumer Dis.									
12. -Vanguard Consumer Dis.					Sold (part)	11/10/15	K	C	
13. -Vanguard Consumer Dis.					Sold (part)	12/04/15	J	A	
14. -Vanguard Ind									
15. -Vanguard Ind					Sold (part)	11/10/15	J	A	
16. -Vanguard Ind					Sold (part)	12/04/15	J	A	
17. -Health Care Select SPDR Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Health Care Select SPDR Fund					Sold (part)	11/10/15	J	B	
19. -Health Care Select SPDR Fund					Buy	12/04/15	J		
20. -Spdr Financial									
21. -Spdr Financial					Buy	11/10/15	J		
22. -Spdr Financial					Sold (part)	12/04/15	J	A	
23. -Vanguard Info									
24. -Vanguard Info					Sold (part)	11/10/15	J	C	
25. -First TR Exchange Trade					Buy	11/10/15	J		
26. -First TRISE Cloud					Buy	11/10/15	J		
27. -First TRISE Cloud					Sold (part)	12/04/15	J	A	
28. -Consumer Dis (XLY)					Buy	11/10/15	J		
29. -Consumer Dis (XLY)					Buy	12/04/15	J		
30. Retirement Account #1	B	Int./Div.	O	T					
31. -Fidelity Short Term Bond Fund									
32. -BMO Short Term Intermediate Bond									
33. -Dartmouth College Bonds									
34. -Barclay Bank Bonds					Redeemed	04/07/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Microsoft Bonds									
36. -Walmart Bonds									
37. -Goldman Sachs Bonds									
38. -George Washington Uni. Bond									
39. -Fidelity Cash Reserves									
40. -Fidelity Contra Fund					Buy	08/11/15	J		
41. -Fidelity Equity					Buy	08/11/15	J		
42. -Fidelity Dividend					Buy	08/11/15	J		
43. -Fidelity Blue Chip					Buy	08/11/15	J		
44. Brokerage Account #1									
45. -Port Neches Grove ISD Municipal Bonds	C	Interest	M	T					
46. -Brownsville Tx Uty Systm. Municipal Bonds	C	Interest			Redeemed	09/01/15	L	A	
47. -Hutto Tex. ISD Muncipal Bonds	C	Interest	M	T					
48. -Burleson Tex ISD Municipal Bonds	C	Interest	M	T					
49. -MS Tax Free Income Trust	A	Dividend	N	T					
50. Brokerage Account #2									
51. -Harris Houston Tex. Sprt Municipal Bonds	B	Interest			Redeemed	01/28/15	M	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Lower Col. Riv. Auth. Municipal Bonds	A	Interest	J	T					
53. -Lancaster ISD Municipal Bonds	C	Interest	M	T					
54. -N. Tex. Twy. Auth. Municipal Bonds	C	Interest	L	T					
55. -Houston Tex. Higher Ed Municipal Bonds	B	Interest	L	T					
56. -BIF Tax Exempt	A	Dividend	L	T					
57. -Waco Texas CTFS Oblig Municipal Bonds	B	Interest	L	T					
58. Compass Bank	A	Interest	L	T					
59. Northwestern Mutual EOL Life Ins [REDACTED]	A	Dividend	J	T					
60. Northwestern Mutual EOL Life Ins [REDACTED]	A	Dividend	J	T					
61. Northwestern Mutual Whole Life Ins [REDACTED]	A	Dividend	J	T					
62. Northwestern Mutual Whole Life Ins [REDACTED]	C	Dividend	L	T					
63. Northwestern Mutual Whole Life Ins [REDACTED]	C	Dividend	L	T					
64. Northwestern Mutual EOL Life Ins [REDACTED]	C	Dividend	K	T					
65. IRA Account #4	A	Int./Div.	N	T					
66. -Treasury Strips									
67. -Capital Income BLDR									
68. -American FDS Portfolio Growth and Index Fund					Buy	08/12/15	K		

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69. -Apple									
70. -Spdr Indus.									
71. -Spdr Cons. STPL									
72. -Spdr Energy									
73. -Consumer Discretion - Select Sector SPDR FD									
74. -Spdr Gold									
75. -Vanguard Info									
76. -WF Sweep									
77. Brokerage Account #3									
78. -MS FT	A	Dividend	J	T					
79. -WF Sweep	A	Dividend	J	T					
80. -Cisco	A	Dividend	J	T					
81. -Valero	A	Dividend	J	T					
82. -Wells Fargo	A	Dividend	J	T					
83. -Target	A	Dividend	J	T					
84. -Kinder Morgan	A	Dividend	J	T	Buy	08/07/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew S. Hanen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544