

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nugent, Robert E.	2. Court or Organization District of Kansas	3. Date of Report 04/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 104 U.S. Courthouse 401 North Market Wichita, Kansas 67202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Secretary	National Conference of Bankruptcy Judges
2.	Member	Kansas University Alumni Association, Wichita Area Chapter Board of Directors
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 6/7/12	Bloomberg, LP; Writing	\$2,556.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1-12/12	Teaching Income, USD 385
2. 1-12/12	Realtor Income, Prudential Real Estate
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Nat'l Conf. of Bankruptcy Judges	10/23/12-10/27/12	San Diegon, CA	NCBJ Annual Meeting	travel, meals and lodging
2. American Bankruptcy Institute	10-4-5/12	Kansas City MO	Midwestern Bky Institute	mileage, lodging and meals
3. Nat'l Conf. of Bankruptcy Judges	3/19-20/12	Miami Beach, FL	NCBJ Midyear Meeting	travel, meals and lodging
4. American College of Bankruptcy	3/15-16/12	Washington, DC	ACB Intallation/Annual Meeting	travel, meals and lodging
5. Kansas Womens Attorneys Association	7??/12 (one day)	Lindsborg, KS	KWAA Annual Meeting	travel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Century Cash Reserve Money market Account ("MMA")	A	Interest			Redeemed	1/17/12	J	A	
2. AmFunds Fundamental Inv. (R/O)	A	Dividend	K	T					
3. AmFunds Growth (R/O IRA)	A	Dividend	K	T					
4. AmFund New Perspective (R/O IRA)	A	Dividend	K	T					
5. Emprise Bank Account	A	Interest	J	T					
6. Emprise Bank MMA	A	Interest	J	T					
7. Emprise Bank Passbook Account II	A	Interest	J	T					
8. Emprise CD 2	A	Interest	J	T					
9. Citizens Bank CD (Y)									
10. Emprise CD 6 (77)	A	Interest	J	T	Open	3/11/11	J		
11. Citizens Bank Saving	A	Interest	M	T					
12. Learning Quest 529 Fund Short-term (American Century)	A	Distribution	J	T	Redeemed (part)	1/6/12	J	A	
13. Vanguard Small Cap Value Index	A	Dividend			Redeemed	07/11/12	J	A	
14. Vanguard Total Bond Mkt IDX	A	Dividend			Redeemed	07/11/12	J	A	
15. Vanguard 500 Index	A	Dividend			Redeemed	07/11/12	J	A	
16. Vanguard Value Index	A	Dividend			Redeemed	01/03/12	J	A	
17. Vanguard Total Internat. Index	A	Dividend			Redeemed	07/11/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. AmFunds Income Fund America (R/O IRA)	A	Dividend	K	T					
19. Citizens Bank of Kansas Dep Acct	A	Interest	J	T					
20. Northwestern Mutual Whole Life #1	A	Dividend	K	T					
21. Northwestern Mutual Whole Life #2	A	Dividend	K	T					
22. Northwestern Mutual Whole Life #3	A	Dividend	J	T					
23. Vanguard High Div Yield Idx (R/O IRA)	A	Dividend			Sold	06/12/12	K	C	
24. Vanguard Target Retirement 2020 (R/O IRA)	D	Dividend			Sold	06/12/12	N	D	
25. Vanguard Prime MM Fund (R/O IRA)	A	Int./Div.			Sold	06/12/12	J	A	
26. Emprise Bank CD 3 (19)	A	Int./Div.	J	T	Open	07/17/12	J		
27. Emprise Bank CD 4 (30)	A	Int./Div.	J	T	Open	07/17/12	K		
28. Emprise Bank CD 5 (41)	A	Int./Div.	J	T	Open	7/17/12	K		
29. Prime Fund Daily MF (R/O IRA)	A	Int./Div.	K	T	Open	6/12/12	N		
30. Amer RCP III (R/O IRA)	B	Distribution	L	T	Buy	06/12/12	K		
31. Halcon Res Corp (R/O IRA)	A	Dividend	J	T	Buy	1/26/12	J		
32. Hartford High Yield A (R/O IRA)	B	Dividend	K	T	Buy	6/12/12	K		
33. Ivy High Income A (R/O IRA)	B	Dividend	M	T	Buy	6/12/12	M		
34. Transamerica High Yield (R/O IRA)	B	Dividend	L	T	Buy	6/12/12	L		

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35. Simple IRA Amer. Fund Cap Inc Bldr	A	Dividend	J	T	Buy	10/09/12	J		
36.									
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part 7:

Line 9: Citizens Bank CD oreviously noted as redeemed in part on 3/11/11 should have been reported as redeemed in full in amount shown and transferred to Emprise Bank CD 6 which was opened the same date, see Line 10.

Lines 13-17: Vanguard taxable funds liquidated, proceeds to Emprise CDs, lines 26-28.

Line 23-25: Vanguard IRA rolled over to IRA investments listed on lines 29-34.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Robert E. Nugent**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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