

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST. LOUIS	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW-SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING-SPOUSE	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	K	T					
2.					Redeemed (part)	12/31/15	K	A	
3. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
4. HUNTLEIGH IRA AND JOINT ACCTS	C	Int./Div.	L	T					
5. -FIRST TRUST IND/PROD DURABLE ALP FUND	A	Dividend			Sold	02/12/15	J	B	
6. -FIRST TRUST FINANCIAL ALPHA DEX FUND	A	Dividend			Sold	02/12/15	J	B	
7. -ISHARES S&P 500 GROWTH INDEX FUND	A	Dividend	J	T					
8. -POWERSHARES QQQ TR SERIES 1	A	Dividend	J	T					
9. -FIRST TRUST STOXX EUROPEAN SELECT DIV	A	Dividend			Sold (part)	07/09/15	J	A	
10. -FIRST TRUST STOXX EUROPEAN SELECT DIV	A	Dividend			Sold	12/29/15	J	A	
11. -FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend	J	T					
12.					Sold (part)	12/29/15	K	A	
13. -SELECT SECTOR SPDR HEALTHCARE	A	Dividend	J	T	Buy	02/12/15	J		
14. -POWERSHARES KBW PREMIUM YIELD EUQITY	A	Dividend			Buy	02/12/15	J		
15.					Sold	08/31/15	J	A	
16. -SELECT SECTOR SPDR CONSUMER DIS	A	Dividend	J	T	Buy	02/13/15	J		
17. -FIRST TRUST CONSUMER STAPLES APH	A	Dividend	J	T	Buy	02/13/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -VANGUARD WHITEHALL HIGH DIV YIELD	A	Dividend	J	T	Buy	02/18/15	J		
19. -VANGUARD WHITEHALL HIGH DIV YIELD	A	Dividend	J	T	Buy	12/30/15	J		
20. -WESTERN ASSET HIGH Y DEFINED OPP FUND	A	Dividend	J	T	Buy (add'l)	12/30/15	J		
21. -BLACKROCK DEF. OPPORTUNITY CREDIT TRUST	A	Dividend	J	T	Buy	12/30/15	J		
22. -GILEAD SCIENCES	A	Dividend			Buy	01/05/15	J		
23.					Sold	11/16/15	J	A	
24. FRANKLIN INCOME FUND A ACCT#2	A	Dividend	J	T					
25. BRIGHT STAR EQUITYCL H ACCT#1 BASED 10-11	A	Dividend	J	T					
26. BRIGHT STAR EQUITYCL H ACCT #2 BASED 12-14	A	Dividend	J	T					
27. BRIGHT STAR EQUITYCL H ACCT #3 BASED 15-17	A	Dividend	J	T					
28. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
29. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
30. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					
31. EDWARD D JONES ACCT #1 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
32. EDWARD D JONES ACCT #2 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
33. EDWARD D JONES ACCT #3 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
34. BRIGHT START ADVISOR EQUITY H	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. NML LIFE INSURANCE-WHOLE LIFE	C	Dividend	M	T					
36. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	L	T					
37. HARTFORD LIFE INSURANCE POLICY- CASH VALUE (X)	B	Dividend	K	T					
38. JJB PROFIT SHARING PLAN	E	Dividend	P1	T					
39. -WISDOMTREE US DIV GROWTH FUND	A	Dividend			Sold	02/12/15	M	E	
40. -SELECT SECTOR SPDR F HEALTH CARE	B	Dividend	M	T					
41. -FIRST TRUST INDUSTRIAL/ PRODUCER DURABLES ALPHADEX FUND	A	Dividend			Sold	02/12/15	M	D	
42. -JP MORGAN 100% US TREASURY SECURITIES MONEY MARKET	A	Interest	M	T					
43.					Buy (add'l)	12/31/15	M		
44. -FRANKLIN CUSTODIAN FDS INCOME SERIES ADV CL AD	E	Dividend	O	T					
45.					Sold (part)	07/22/15	L	A	
46. -CAMBRIA SHAREHOLDER ETF YIELD ETF	C	Dividend			Sold	01/23/15	M	D	
47. -FIRST TRUST STOXX ETF EUROPEAN SELECT DIV	C	Dividend	L	T					
48.					Sold (part)	07/09/15	K	A	
49. -VANGUARD WHITEHALL HIGH DIV YIELD	D	Dividend	M	T	Buy	02/12/15	M		
50. -POWERSHARES KBW PREMIUM YIELD EQUITY REIT	B	Dividend			Buy	02/12/15	L		

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51.					Sold	08/31/15	L	A	
52. -SELECT SECTOR SPDR CONSUMER DISCRETIONARY	A	Dividend	L	T	Buy	02/13/15	L		
53. -FIRST TRUST CONSUMER STAPLES ALPHADEX FUND	B	Dividend	L	T	Buy	02/13/15	L		
54. OFFICE PROPERTY, BELLEVILLE, IL ACQUIRED 12/31/12 \$150,000 PRICE	D	Rent	M	R					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 37 THROUGH 51: JJB PROFIT SHARING PLAN IS FORMALLY KNOWN AS THE JERALD J BONIFIELD PROFIT SHARING PLAN. THE REPORTING PERSON'S SPOUSE IS A TRUSTEE, PARTICIPANT, AND OWNS 25% OF THE ASSETS OF THE PROFIT SHARING PLAN SHOWN ON PART IV, LINES 37 THROUGH 51. THE PLAN IS, HOWEVER, MANAGED BY A PROFESSIONAL INVESTMENT ADVISOR AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLAN'S TRANSACTIONS NOR HAS ACCESS TO THE DATA.

ALL PERSONAL ITEMS ARE REPORTED ON LINES 1 THROUGH 36 ON THE SAME REPORT PAGE OF PART VII.

LINE 52 COLUMN A REFLECTS AN INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000, LOCATED BELLEVILLE, ST. CLAIR COUNTY, ILLINOIS, AND WAS ACQUIRED 12/31/2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ NANCY J. ROSENSTENGEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544