

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fawsett, Patricia C.	2. Court or Organization U.S. District Court FL-M	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 401 W. Central Blvd Orlando FL 32801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Edward D. Jones Financial Companies LLLP, Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.	U.S. Bank National National Association	Bank Loan	J
3.	Chase Bank	Bank Loan	J
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERICAN FUNDS-American Balanced Fund A	B	Int./Div.	L	T					
2. AMERICAN FUNDS-Income Fund of America A	C	Int./Div.	L	T					
3. [REDACTED] / Orange Cty FL Real Estate		None	J	R					
4. ANCH NATL LIFE INS ANNTY / Polaris II / Davis Venture	A	Dividend	K	T					
5. ANCH NATL LIFE INS ANNTY / Polaris II / Amer Global growth	A	Dividend	K	T					
6. ANCH NATL LIFE INS ANNTY / Polaris II / Amer Intl Div Equity	A	Dividend	K	T					
7. ANCH NATL LIFE INS ANNTY / Polaris II / MFS MidCap Grth	A	Dividend	J	T					
8. ANCH NATL LIFE INS ANNTY / Polaris II / MFS TL Return	A	Dividend	K	T					
9. Edward Jones Money market	A	Dividend	J	T					
10. American Funds-Capital World Bond Fund A	B	Int./Div.	L	T	Sold (part)	02/13/12	J	C	
11. American Funds-Capital World Growth & Income A	A	Int./Div.	K	T					
12. Edward Jones Profit sharing	C	Dividend	L	T					
13. Edward Jones 401K (x)	C	Int./Div.	K	T					
14. American Small Cap World Fund	A	Dividend	J	T					
15. American Funds-New World Fund A	A	Dividend	K	T					
16. American Funds-Small Cap World Funds A	A	Dividend	J	T					
17. Franklin Templeton Funds-Natural Resources Fund A	A	Dividend	J	T	Sold (part)	09/25/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Templeton Funds- China Fund A	A	Dividend	K	T	Sold (part)	09/25/12	J	C	
19. Franklin Templeton Funds-BRIC Fund A	A	Dividend	J	T	Sold (part)	09/25/12	J	A	
20. Limited Partnership in Jones Financial Cos, LLLP	E	Distribution	M	U					
21. Apple Common Stock AAPL	A	Dividend	K	T	Buy (add'l)	05/15/12	J		
22. McDonalds Common Stock- MCD	A	Dividend	J	T					
23. Suncor Common Stock SU	A	Dividend	J	T					
24. 3M Common Stock MMM	A	Dividend	J	T					
25. Southern Companies Common Stock- SO	A	Dividend	J	T	Sold (part)	05/15/12	J	C	
26. Alliance Bernstein Funds- Balanced Wealth Strategies Fund A	A	Dividend	K	T					
27. Alliance Bernstein Funds- High Income Fund A	C	Dividend	K	T					
28. American Funds- US Govt Fund A	B	Dividend	L	T	Sold (part)	02/13/12	J		
29. American Funds- US Govt Securities fund A	A	Dividend	J	T					
30. American Funds - Growth Fund of America - AGTHX	A	Dividend	K	T					
31. Templeton Growth Fund A - TEPLX	A	Dividend	K	T	Buy (add'l)	12/03/12	J		
32.					Buy (add'l)	12/03/12	J		
33. Ford Common Stock- F	A	Dividend			Sold	12/10/12	J		
34. Apple (APPL) Common stock	A	Dividend	K	T	Buy (add'l)	05/21/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Boeing (BA) Common stock	A	Dividend	K	T					
36. Conoco Phillips (COP) Common stock	A	Dividend	J	T					
37. General Dynamics (GD) Common Stock	A	Dividend	K	T					
38. Illinois Tool Works (ITW) Common stock	A	Dividend	J	T					
39. Nike (NKE) Common stock	A	Dividend	K	T					
40. Proctor & Gamble (PG) Common stock	A	Dividend	K	T					
41. Sysco (SY) Common Stock	A	Dividend	K	T					
42. Teva (TEVA) Pharmaceuticals Common Stock	A	Dividend	J	T					
43. Minnesota Mining & Manufacturing (MMM) Common stock	A	Dividend	K	T					
44. Franklin Gold & Precious Metals(FKCRX) mutual fund	A	Dividend	J	T	Sold (part)	09/25/12	J		
45. Franklin Total Return (FKBAX) mutual fund	A	Dividend	K	T					
46. Franklin US Govt Securities (FKUSX) mutual fund	A	Dividend	L	T					
47. American Intermediate Bond Fund (AIBAX)	A	Dividend	K	T					
48. Lord Abbeet Bond Debenture (LBNDX) mutual fund	A	Dividend	K	T	Sold (part)	09/25/12	J	B	
49. Templeton Global Bond (TPINX) mutual fund	A	Dividend	K	T					
50. I Shares ETF-Oil Equip & Services IEZ	A	Dividend			Sold (part)	02/21/12	J		
51.					Sold	12/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. I Shares ETF-No Am Tech IGV	A	Dividend			Sold (part)	02/21/12	J		
53.					Sold	12/10/12	J		
54. I Shares ETF- US Basic Material IYM	A	Dividend			Sold (part)	02/21/12	J		
55.					Sold	12/10/12	J		
56. I Shares ETF-Sml Grth Index JKK	A	Dividend			Sold (part)	02/21/12	J		
57.					Sold	12/10/12	J		
58. I Shares ETF-So Korea Index EWY	A	Dividend			Sold (part)	02/21/12	J		
59.					Sold	12/10/12	J		
60. Franklin US Govt (FKUSX) mutual fund	A	Dividend	J	T					
61. First Trust Capital Strength Series ☐ UIT	A	Dividend	K	T	Buy	09/25/12	K		
62. First Trust Global Dividend Series ☐ UIT	A	Dividend	K	T	Buy	09/25/12	K		
63. First Trust Tax Exempt Muni Series ☐ UIT	A	Dividend	K	T	Buy	12/24/12	L		
64. Protective UL Life Policy		None	J	T	Buy	02/20/12	J		
65. Genworth Financial GNW		None	K	T	Buy	05/12/12	J		
66.					Buy (add'l)	12/24/12	J		
67. JP Morgan JPM	A	Dividend	K	T	Buy	05/15/12	J		
68.					Buy (add'l)	12/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Phillips PSX	A	Dividend	J	T	Spinoff (from line 36)	04/30/12	J		
70. American Funds Growth Fund of America AGTHX	A	Dividend	J	T	Buy	05/21/12	J		
71.					Buy (add'l)	12/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, Line 3, [REDACTED] /Orange Cty FL Real Estate purchased on 1/21/1986 for a purchase price of \$5000

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Fawsett, Patricia C.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia C. Fawsett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544