

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Creatura, John R.	2. Court or Organization District Court - Western District of Washington	3. Date of Report 06/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 1717 Pacific Ave. Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager (corporation)	JJC & LMC, L.L.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP retirement payments
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP	\$21,204.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Erica Lauren Farrell - baby sitting wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Columbia Bank Accounts	D	Interest	K	T					
2. Morgan Stanley Accounts	D	Interest	M	T					
3. Alger Small Cap Growth Inst	D	Int./Div.	K	T					
4. American AmCAP A Common	A	Int./Div.	L	T					
5. American Europacific Grw A. Mutual fund	C	Int./Div.	N	T	Buy (add'l)	07/17/13	J		
6. American Europacific Grw F. Mutual Fund	C	Int./Div.			Sold	11/20/13	J	A	
7. American GR Fd of America Mutual Fund	E	Dividend	N	T	Sold (part)	11/20/13	J	A	
8. American Smallcap World A	A	Dividend	J	T					
9. American Wa Mutual A Common	C	Int./Div.	L	T					
10. Blackrock Equity Dividend A Common	D	Int./Div.	N	T	Sold (part)	11/20/13	J	A	
11. Blackrock Inflat Prot Bond A	B	Dividend			Sold	11/12/13	N	A	
12. Calamos Market Neutral Inc A	B	Int./Div.	K	T					
13. Delaware Small Cap Value A Mutual Fund	A	Int./Div.	J	T					
14. DWS Dremam Small Cap Val A Mutual Fund	D	Int./Div.	J	T					
15. DWS RREEF Real Estate Sec A Common	C	Int./Div.	L	T					
16. Fidelity Adv LVGD Company Stk A Common	A	Dividend	M	T					
17. Fidelity Adv New Insights A	A	Int./Div.			Sold	11/20/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Gabelli Eq Inc. AAA Mutual Fund	D	Dividend	M	T	Sold (part)	11/20/13	J	A	
19. ING Global Real Estate A (IGLAX)	A	Int./Div.			Sold	11/20/13	J	A	
20. Invesco Premier Tx Exempt Inst (PEIXX)	A	Dividend	J	T					
21. Ishares TR NYSE Composite FD Common	B	Dividend	K	T					
22. JP MORGAN HIBRG STAT MKT NEU A Mutual fund	A	Int./Div.			Sold	11/20/13	J	A	
23. JP Morgan Mid Cap Value A Common	C	Dividend	K	T					
24. Lazard Emerging Markets Open Common	B	Int./Div.	K	T					
25. Madison FDS MDCP Cl Y	B	Int./Div.	K	T					
26. MS European Equity Fund B Common	A	Dividend	J	T					
27. MSIF Growth A	C	Int./Div.	K	T	Buy	11/20/13	K		
28. Munder Mid Cap Core Growth A Mutual fund	A	Int./Div.	K	T					
29. Pimco Low Duration FD A Mutual Fund	B	Int./Div.	L	T					
30. Pimco Total Return A Mutual Fund	D	Int./Div.	M	T					
31. Pimco Real Return Fund A Common	A	Dividend			Sold	11/12/13	K	A	
32. Putnam Growth & Income A	A	Dividend	L	T					
33. Royce 100 service Mutual Fund	C	Int./Div.	J	T					
34. RS Partners A Common	D	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. T Rowe Price Group, Inc. Common	A	Dividend	K	T					
36. Templeton Glogal Bd FD A Mutual fund	D	Dividend	K	T					
37. Thornburg Inv Inc Builder A Mutual fund	B	Dividend	N	T	Buy (add'l)	11/12/13	M		
38. Virtus Multi-Sector S/T Bd A Common	B	Dividend	N	T					
39. Mediamax, Inc. Common stock		None	J	W					
40. Sandra Claggett, personal loan - note receivable		None			Matured	08/01/13	K	A	
41. Trust #1 Exemption Eq. Trust	A	Int./Div.	K	T					
42. Invesco Equal Wghtd S&P 500 Mutual Fund	C	Int./Div.	M	T					
43. Invesco Equity and Income A	B	Int./Div.	M	T					
44. Invesco Global Healthcare A Mutual Fund	D	Int./Div.	L	T					
45. Invesco VK Technology A Mutual Fund	A	Int./Div.	J	T					
46. MS Focus Growth FD A Mutual Fund	A	Int./Div.			Sold	11/12/13	J	A	
47. -Morgan Stanley Dean Witter account	A	Int./Div.	L	T					
48. - MS Invesco Balanced Fund A		None			Sold	06/05/13	J	A	
49. - MS Capital Opportunites TR A		None			Sold	06/05/13	J	A	
50. - MS Multi Cap Growth TR A	A	Int./Div.	K	T					
51. Trust 3 - BMW Bank of N. Amer. Salt Lake City CD	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. JJC & LMC, LLP	E	Int./Div.	N	W					
53. -First California Bank Accounts	A	Interest			Closed	06/05/13	J	A	
54. -AMERICAN EUROPACIFIC GRW F	C	Int./Div.			Sold	11/20/13	J	A	
55. -BANK DEPOSIT PROGRAM MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
56. -BLACKROCK US OPPORTUNITIES SVC	B	Int./Div.	J	T					
57. First Eagle Overseas A	D	Int./Div.	M	T	Buy (add'l)	07/17/13	J		
58. -GABELLI EQ INC AAA	D	Int./Div.	M	T	Sold (part)	11/20/13	J	A	
59. -Invesco Global Healthcare A	D	Int./Div.	L	T					
60. -JP MORGAN HIBRG STAT MKT NEU A	A	Int./Div.			Sold	06/05/13	J	A	
61. -LAZARD EMERGING MARKETS OPEN	A	Int./Div.	K	T					
62. -METROPOLITAN WST TOT RET BD M	A	Int./Div.			Sold	06/05/13	J	A	
63. -PRUDENTIAL SHT TRM CORP BD A	A	Dividend	J	T					
64. -ROYCE PREMIER SERVICE	C	Int./Div.	J	T					
65. -TEMPLETON GLOBAL BD FD A	A	Int./Div.	K	T					
66. -THORNBURG INTL VALUE A	A	Dividend	L	T					
67. Estate [REDACTED] - Community Bank		None			Closed	06/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item 37 - I had previously referred to this incorrectly as Thornburg Intl Value A (duplicate). The correct name is Thornburg Inv. Inc. Builder A.
Items 41-68 of Part VII - include a variety of "income" sources for a marital deduction trust, [REDACTED] estate and a [REDACTED] corporation -- These entities have received income from a variety of sources, including interest, dividends, rent and other distributions. Because I am aggregating the income for each of these entities, I have not separately identified the source of income for each asset. I am happy to provide this information, if that is necessary.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Creatura, John R.

Date of Report

06/18/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John R. Creatura**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544