

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pregerson, Dean D.	2. Court or Organization Central District of California	3. Date of Report 07/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 312 N. Spring Street Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Salvation Army Los Angeles Metropolitan
2. Custodian	West,pimt Asset Management U/CA/UTMA
3. Custodian	West,pimt Asset Management U/CA/UTMA
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Pregerson, Dean D.

Date of Report

07/14/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Metlife Insurance Co. Annuity	\$66,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Pregerson, Dean D.

Date of Report

07/14/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MorganStanley	Portfolio CreditLine	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Pregerson, Dean D.

Date of Report

07/14/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Community Property A/C (H)									
2. - Microsoft	D	Dividend	N	T					
3. - Metlife Ins Co of Connecticut Annuity		None	M	T	Distributed (part)	12/31/14	L		
4. Child B (H)									
5. - Wendys International Inc	A	Dividend	J	T					
6. - Israel Due 2014 7th Dev B	A	Dividend			Redeemed	01/07/14	J	A	
7. - Angel Oak Multi Strategy	A	Dividend	J	T	Sold (part)	12/12/14	J	A	
8. - FMI Large Cap	B	Dividend	J	T					
9. - Fidelity Contrafund	B	Dividend	K	T					
10. - JP Morgan Mid-Cap Value Fund	A	Dividend	J	T	Buy (add'l)	12/16/14	J		
11. - Loomis Sayles Small Cap Growth	A	Dividend	J	T					
12. - Touchstone Small Cap Core	A	Dividend	J	T					
13. - Artisan International Fund	A	Dividend	J	T					
14. - Dodge & Cox International Stock Fund	A	Dividend	J	T					
15. - Oakmark International Small Cap Fund	A	Dividend	J	T					
16. - Aston/River Road Independent Value	A	Dividend	J	T	Sold (part)	11/03/14	J	A	
17. - Center Coast MLP Focus Instl	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Riverpark Short Term Hi Yield	A	Dividend	J	T					
19. - Stoneridge Reinsurance Risk	A	Dividend	J	T					
20. - DoubleLine Total Return Bond I	A	Int./Div.	J	T	Sold (part)	01/08/14	J	A	
21. - Vanguard Interim-Ten4/29rm Tax-Exempt Bond Fund	A	Int./Div.	J	T					
22. - Schwab AMT Tax-Free Money Fund	A	Int./Div.	J	T					
23. - ING Midcap Oppty Fund now Voya Midcap Opportunities 2014	A	Dividend	J	T					
24. - Mainstay Marketfield Fund	A	Dividend	J	T	Sold (part)	11/03/14	J	A	
25. - Wasatch Emrg Mkt Small Cap	A	Dividend	J	T					
26. - Wasatch Frontier Emerging Sm Cap	A	Dividend	J	T					
27. - Yacktman Fund	A	Dividend	K	T					
28. AB Global Evolution Frontier Mkts Income	A	Dividend	J	T	Buy	11/05/14	J		
29. Palmer Square Income Plus Fund	A	Dividend	J	T	Buy	03/12/14	J		
30. Scout Unconstrained Bond Fd	A	Dividend			Buy	01/10/14	J		
31.					Sold	03/10/14	J	A	
32. D (H)									
33. - Oakmark International Small Cap Fund					Merged (with line 15)	01/15/14	J		
34. - MainStay Marketfield Fund					Merged (with line 24)	01/15/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. - RiverNorth Corp Opportunity Fund					Sold	01/15/14	J	A	
36. - Double Line Total Return Bond I					Merged (with line 20)	01/15/14	J		
37. - Schwab AMT Tax-Free Money Fund					Merged (with line 22)	01/15/14	J		
38. - Wasatch Emerging Markets Sm Cap					Merged (with line 25)	01/15/14	J		
39. - AMG Yacktmann Fund					Merged (with line 27)	01/15/14	J		
40. Fiduciary Cap Pension Parts DDP		None			Distributed	01/01/14	J		
41. IRA #1 (H)									
42. -Schwab Money Market	A	Interest	J	T					
43. FMI Large Cap	D	Dividend	M	T	Sold (part)	06/30/14	J	B	
44. Fidelity Contrafund	D	Dividend	M	T					
45. JP Morgan Mid-Cap Value Fund	C	Dividend	K	T	Sold (part)	06/30/14	J	A	
46. Loomis Sayles Small Cap Growth	C	Dividend	K	T					
47. Touchstone Small Cap Core	C	Dividend	K	T					
48. Artisan International Fund	A	Dividend	L	T					
49. Dodge & Cox International Stock Fund	B	Dividend	L	T	Buy (add'l)	12/19/14	J		
50. Oakmark International Small Cap Fund	C	Dividend	K	T					
51. Aston/River Road INdependent Value	C	Dividend	L	T	Sold (part)	11/03/14	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Mainstay Marketfield Fund	A	Dividend	L	T	Sold (part)	11/03/14	K	D	
53. UBS E-Tracs Alerian MLP Infrastructure ET'N.	C	Dividend	L	T					
54. DoubleLine Total Return Bond I	B	Interest	K	T	Sold (part)	11/20/14	J	A	
55. Angel Oak Multi-Strategy Income	C	Dividend	K	T	Sold (part)	11/20/14	K	A	
56. ING Mid Cap changed to Voya MidCap Opportunities in 2014	C	Dividend	K	T					
57. Wasatch Emerging Market Small Cap	A	Dividend	K	T					
58. Yacktman Fund	C	Dividend	M	T	Buy (add'l)	12/26/14	J		
59. Riverpark Short Term Hi Yield	B	Dividend	K	T					
60. Scout Unconstrained Bond Fund	A	Dividend			Sold	03/13/14	K	A	
61. Semper MBS Total Return	A	Dividend			Sold	11/20/14	J	A	
62. StoneRidge Reinsurance Risk Premium Fd	D	Dividend	L	T	Buy (add'l)	12/12/14	J		
63. Wasatch Frontier Emerging Sm Countries	A	Dividend	K	T					
64. AB Global Evolution Frontier Mkts	A	Dividend	M	T	Buy	11/05/14	L		
65. Legg Mason BW Alternative Cr. Fd	B	Dividend	K	T	Buy	11/24/14	K		
66. Palmer Square Income Plus Fund	B	Dividend	K	T	Buy	03/17/14	K		
67. IRA #2 (H)									
68. Angel Oak Multi-Strategy Fund	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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Pregerson, Dean D.

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69. Double Line Total Return Bond	A	Dividend	J	T					
70. PIMCO Total Return Fund	A	Dividend	J	T					
71. Aston River Road	A	Dividend	J	T					
72. Dodge & Cox International Stock Fund	A	Dividend	J	T					
73. Fidelity Contra Fund	A	Dividend	J	T					
74. FMI Large Cap	A	Dividend	J	T					
75. Mainstay Marketfield Fund	A	Dividend	J	T					
76. Morgan Stanley Mid Cap	A	Dividend			Sold	03/11/14	J	A	
77. Oakmark International Sm Cap Fd	A	Dividend	J	T					
78. Touchstone Small Cap Core	A	Dividend	J	T					
79. Voya Midcap Opportunity Fund	A	Dividend	J	T	Buy	03/11/14	J		
80. UBS AG Jersey	A	Dividend	J	T					
81. AM Yacktman Fund	A	Dividend	J	T					
82. Stoneridge Reinsurance Risk Premium Fund	A	Dividend	J	T					
83. Wasatch Frontier Emerging Sm Countries	A	Dividend	J	T					
84. Schwab MM	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Pregerson, Dean D.

Date of Report

07/14/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Pregerson, Dean D.

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07/14/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dean D. Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544