AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2016

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Rodriguez, Xavier	Western District of Texas	05/03/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2016 **to** 12/31/2016
7. Chambers or Office Address		
655 E. Cesar E. Chavez Blvd. San Antonio, Texas 78206		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	Federal Bar Association, San Antonio Chapter
2.	Regent	Texas Lutheran University
3.	Board Member	St. Luke's Lutheran Health Ministries
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2016	St. Mary's Univ. School of Law - teaching	$10,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2016	Northeast I.S.D. - Teacher
2.	2016	Judson I.S.D. - Teacher
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	State Bar of Texas	January 12, 2016	Austin, Texas	Deliver CLE speech	mileage, meal
2.	State Bar of Texas	January 21-22, 2016	Austin, Texas	Deliver CLE speech	hotel, mileage, meals
3.	State Bar of Texas	February 17, 2016	Austin, Texas	Attend State Bar CLE meeting	mileage, meal
4.	University of Texas	February 19, 2016	Austin, Texas	Deliver CLE speech	mileage
5.	State Bar of Texas	February 24, 2016	Austin, Texas	Attend State Bar CLE meeting	mileage, parking
6.	State Bar of Texas	February 26, 2016	Austin, Texas	Attend State Bar CLE meeting	mileage, parking

7.	Arizona State Univ.	March 9-11, 2016	Tempe, Arizona	Deliver CLE speeches	airfare, meals, taxi
8.	MarcusEvans	March 19-22, 2016	Las Vegas, NV	Deliver CLE speech	airfare. taxi, meals
9.	State Bar of Texas	April 8, 2016	Dallas, Texas	Deliver CLE speech	airfare, taxi, airport parking
10.	ACEDS	April 18-20, 2016	New York, NY	Deliver CLE speech	airfare, taxi, meals
11.	Texas Assoc. of Defense Counsel	April 28-29, 2016	Nashville, TN	Deliver CLE speech	meals, taxi, airport parking, airfare
12.	State Bar of Texas	May 5-7, 2016	New Orleans, LA	Attend State Bar Litigation Council Meeting	airfare, taxi, airport parking, meals
13.	State Bar of Texas	May 20, 2016	San Antonio, Texas	Deliver CLE speech	parking
14.	Univ. of Texas	May 26, 2016	Austin, Texas	Deliver CLE speech	mileage
15.	State Bar of Texas	September 9, 2016	Austin, Texas	Attend State Bar CLE meeting	mileage
16.	Zapproved	September 14-16, 2016	Portland, Oregon	Deliver CLE speech	airfare, taxi, parking, meals
17.	Exterro	September 19-21, 2016	Portland, OR	Deliver CLE speech	airfare, taxi, meals
18.	State Bar of Texas	September 29-October 1, 2016	Santa Ana Pueblo, NM	Attend State Bar Litigation Council Meeting	airfare, taxi, meals
19.	KCura Corp.	October 9-10, 2016	Chicago, IL	Deliver CLE speechAttend State Bar Comm. meeting	meals, taxi
20.	State Bar of Texas	October 24-25, 2016	Houston, Texas	Deliver CLE speech	car rental, hotel, meals
21.	American Law Institute	October 27-29, 2016	New York, NY	Attend meeting	airfare, taxi, meals, parking
22.	State Bar of Texas	November 3-4, 2016	Austin, Texas	Deliver CLE speech	mileage, hotel, meals
23.	Sandpiper	November 27-29, 2016	Chicago, IL	Deliver CLE speech	airfare, hotel, taxi

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Rodriguez, Xavier	05/03/2017

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Fidelity IRA Baron Growth	A	Dividend	K	T					
2. Fidelity IRA Government Income	A	Dividend	J	T					
3. Fidelity IRA Low Priced Stock	A	Dividend	L	T					
4. Fidelity IRA Puritan	A	Dividend	J	T					
5. Fidelity IRA Intermediate Bond	A	Dividend	L	T					
6. Fidelity IRA Oakmark Equity Income	A	Dividend	K	T					
7. Frost National Bank Market Index	A	Interest	K	T					
8. Frost National Bank	A	Interest	K	T					
9. American Balanced Fund	A	Dividend	L	T					
10. American Balanced Fund - 529	A	Dividend	L	T	Sold (part)	01/22/16	K		
11. Fidelity IRA FBALX	A	Dividend	K	T					
12. Fidelity IRA Int'l Discovery	A	Dividend	J	T					
13. Fidelity IRA Contrafund	A	Dividend	M	T					
14. Fidelity IRA Emerging Markets	A	Dividend	J	T					
15. Teacher Retirement System of Texas	A	Dividend	K	T					
16. Firstmark	A	Interest	J	T					
17. Royalty Interest, Lavaca County, Texas	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity IRA Leveraged Co. Stock	A	Dividend	K	T					
19. Fidelity IRA Strategic Income	A	Dividend	L	T					
20. Fidelity Yacktman Focused	A	Dividend	L	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 10, American Balanced Fund 529 - also partial sell on 08/22/16, value K

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Xavier Rodriguez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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